

# Equivalence of local- and global-best approximations and simple stable local commuting projectors in $\mathbf{H}(\text{div}, \Omega)$

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# Outline

- 1 Introduction: classical *a priori* error estimates for mixed finite element methods
- 2 Simple stable local commuting projector in  $H(\text{div})$
- 3 Global-best – local-best equivalence
- 4 Elementwise localized approximation estimates
- 5 Elementwise localized *a priori* error estimates
  - Mixed finite element methods
  - Least-squares mixed finite element methods
- 6 Tools ( $p$ -robustness)
  - Polynomial extension on a tetrahedron
  - Broken polynomial extension on a patch
- 7 Conclusions and outlook

# Mixed finite elements for the Laplace equation

## Laplace model problem

Find  $u : \Omega \rightarrow \mathbb{R}$  s.t.

$$\begin{aligned} -\Delta u &= f && \text{in } \Omega, \\ u &= 0 && \text{on } \partial\Omega \end{aligned}$$

## Dual mixed weak formulation

Find  $(\sigma, u) \in \mathbf{H}(\text{div}, \Omega) \times L^2(\Omega)$  such that ( $\sigma = -\nabla u$ )

$$\begin{aligned} (\sigma, \mathbf{v}) - (u, \nabla \cdot \mathbf{v}) &= 0 && \forall \mathbf{v} \in \mathbf{H}(\text{div}, \Omega), \\ (\nabla \cdot \sigma, q) &= (f, q) && \forall q \in L^2(\Omega) \end{aligned}$$

## Mixed finite elements

Find  $(\sigma_h, u_h) \in V_h := RTM(\mathcal{T}) \cap H(\text{div}, \Omega) \times P_p(\mathcal{T}), p \geq 0$ , s.t.

$$(\sigma_h, \mathbf{v}_h) - (u_h, \nabla \cdot \mathbf{v}_h) = 0 \quad \forall \mathbf{v}_h \in V_h, \mathbf{v}_h \neq 0$$

$$(\nabla \cdot \sigma_h, q_h) = (f, q_h) \quad \forall q_h \in P_p(\mathcal{T})$$

• Mixed finite element spaces  $V_h$  and  $P_p(\mathcal{T})$  must satisfy the compatibility condition

$$\nabla \cdot \sigma_h \in P_{p-1}(\mathcal{T}) \quad \text{and} \quad \sigma_h \in \mathbf{H}(\text{div}, \Omega)$$

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## Notation

- $\Omega$ : computational domain (open polygon/polyhedron)
- $\mathcal{T}$ : simplicial mesh
- $p$ : polynomial degree

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# Classical *a priori* estimate via RTN interpolant

Theorem (Classical *a priori* estimate)

$$\underbrace{\|\boldsymbol{\sigma} - \boldsymbol{\sigma}_h\|}_{MFE \text{ error}} = \min_{\substack{\boldsymbol{v}_h \in \mathcal{V}_h \\ \nabla \cdot \boldsymbol{v}_h = \Pi_p f}} \|\boldsymbol{\sigma} - \boldsymbol{v}_h\| \leq \|\boldsymbol{\sigma} - \underbrace{\mathcal{I}_p^{\text{RTN}}(\boldsymbol{\sigma})}_{\substack{\in \mathcal{V}_h \\ \nabla \cdot = \Pi_p f}}\|$$

global-best on  $\Omega$   
 normal trace-continuity constraint  
 divergence constraint

Raviart–Thomas–Nédélec interpolant  $\mathcal{I}_p^{\text{RTN}}$

- simple and local: for all  $K \in \mathcal{T}$

$$\begin{aligned}
 (\mathcal{I}_p^{\text{RTN}}(\boldsymbol{\sigma}) \cdot \mathbf{n}_F, q_h)_F &= (\boldsymbol{\sigma} \cdot \mathbf{n}_F, q_h)_F \quad \forall q_h \in \mathbb{P}_p(F), \forall F \in \mathcal{F}_K, \\
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$$\nabla \cdot (\mathcal{I}_p^{\text{RTN}} \boldsymbol{\sigma}) = \Pi_p(\nabla \cdot \boldsymbol{\sigma})$$

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# Advanced interpolants/stable local commuting projectors

- Buffa and Ciarlet (2001): small regularity but still not  $H(\text{div}, \Omega)$
- Schöberl (2005): not local
- Christiansen and Winther (2008): not local
- Bespalov and Heuer (2011): lower regularity but still not  $H(\text{div}, \Omega)$
- Falk and Winther (2014)
- Christiansen (2015)
- Ern and Guermond (2017, 2018)
- ...

# Equivalence of local- and global-best approximations in $H_0^1(\Omega)$

Theorem (Equivalence in  $H_0^1$ , Aurada, Feischl, Kemetmüller, Page, Praetorius (2013), Veeser (2016))

Let  $\mathbf{u} \in H_0^1(\Omega)$  and  $p \geq 1$  be arbitrary. Then,

$$\underbrace{\min_{v_h \in \mathbb{P}_p(\mathcal{T}) \cap H_0^1(\Omega)} \|\nabla(u - v_h)\|^2}_{\begin{array}{l} \text{global-best on } \Omega \\ \text{trace-continuity constraint} \\ \text{CG space (much smaller)} \end{array}} \approx_p \underbrace{\sum_{K \in \mathcal{T}} \min_{v_h \in \mathbb{P}_p(K)} \|\nabla(u - v_h)\|_K^2}_{\begin{array}{l} \text{local-best on each } K \in \mathcal{T} \\ \text{no trace-continuity constraint} \\ \text{DG space (much bigger)} \end{array}}.$$

- $\approx_p$ : up to a generic constant that only depends on space dimension  $d$ , shape-regularity of the mesh  $\mathcal{T}$ , and polynomial degree  $p$

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# Simple map $P_p : \mathbf{H}(\text{div}, \Omega) \rightarrow \mathbf{RTN}_p(\mathcal{T}) \cap \mathbf{H}(\text{div}, \Omega)$

Definition (Map  $P_p$  by local projection and flux reconstruction)

Let  $\sigma \in \mathbf{H}(\text{div}, \Omega)$  and  $p \geq 0$  be arbitrary.

- Define discontinuous  $\xi_h \in \mathbf{RTN}_p(\mathcal{T})$  as elementwise  $L^2$ -orthogonal projection of  $\sigma$

$$\xi_h|_K := \arg \min_{v_h \in \mathbf{RTN}_p(K)} \|\sigma - v_h\|_K^2 \quad \forall K \in \mathcal{T}.$$

- For each vertex  $a \in \mathcal{V}$ , solve the MFE constrained minimization problem on the patch  $\mathcal{T}_a$  around  $a$

Destuynder & Métivet (1999), Braess and Schöberl (2008)

$$\sigma_h^a := \arg \min_{v_h \in \mathbf{RTN}_p(\mathcal{T}_a)} \| \psi_a \xi_h - v_h \|_{\omega_a}$$

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Let  $\sigma \in \mathbf{H}(\text{div}, \Omega)$  and  $p \geq 0$  be arbitrary.

- Define discontinuous  $\xi_h \in \mathbf{RTN}_p(\mathcal{T})$  as **elementwise  $L^2$ -orthogonal projection** of  $\sigma$

$$\xi_h|_K := \arg \min_{v_h \in \mathbf{RTN}_p(K)} \|\sigma - v_h\|_K^2 \quad \forall K \in \mathcal{T}.$$

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Destuynder & Métivet (1999), Braess and Schöberl (2008)

$$\sigma_h^a := \arg \min_{v_h \in V_h^a \cap \mathbf{RTN}_p(\mathcal{T}_a) \cap H_0(\omega_a, \omega_a)} \| \psi_a \xi_h - v_h \|_{\omega_a}$$

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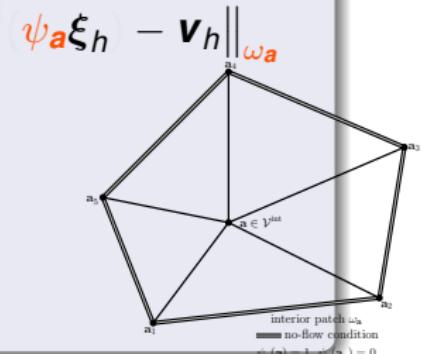
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$$P_p \sigma := \sigma_h := \sum_{a \in \mathcal{V}} \sigma_h^a$$

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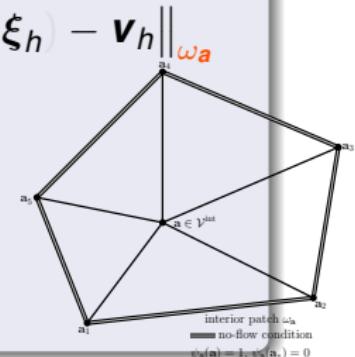
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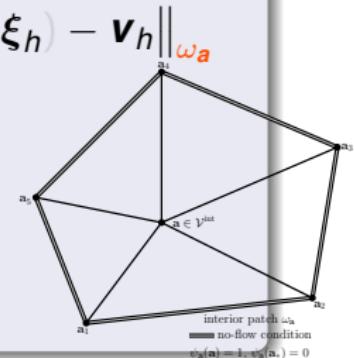
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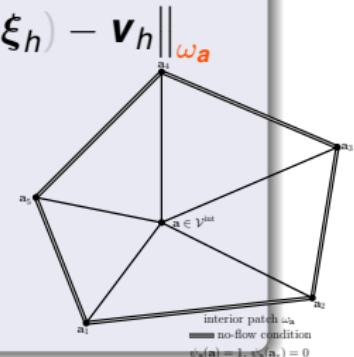
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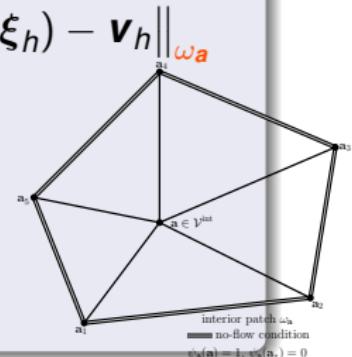
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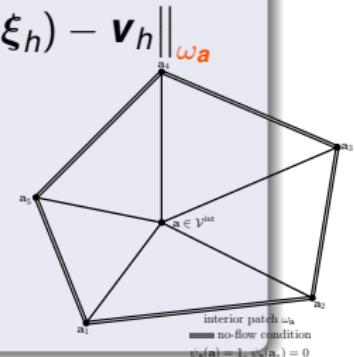
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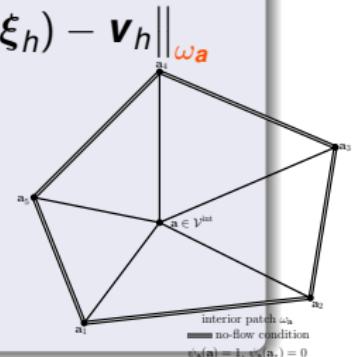
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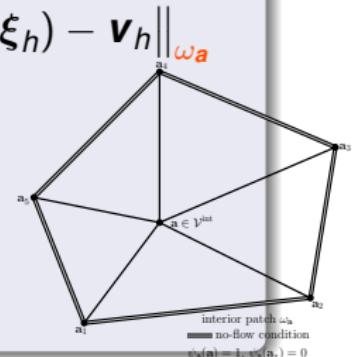
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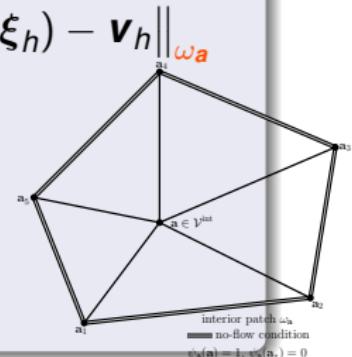
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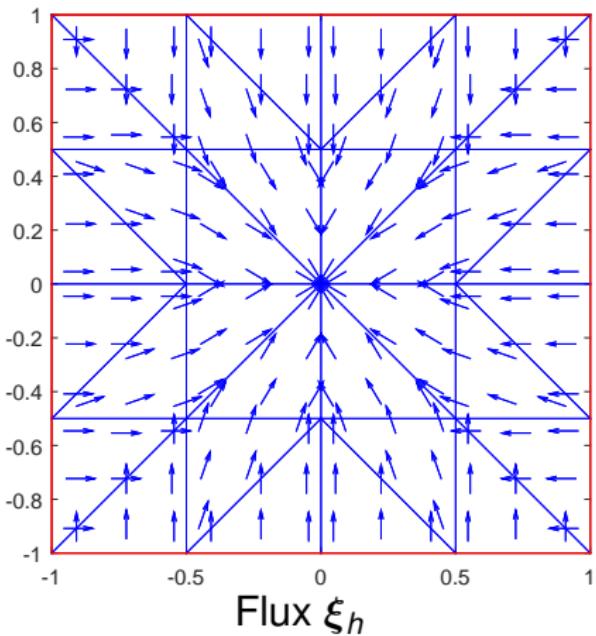
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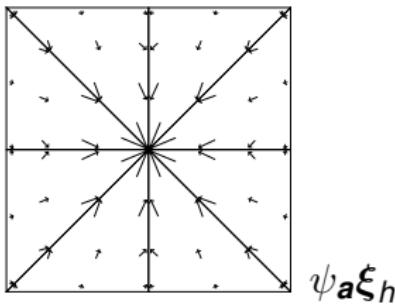
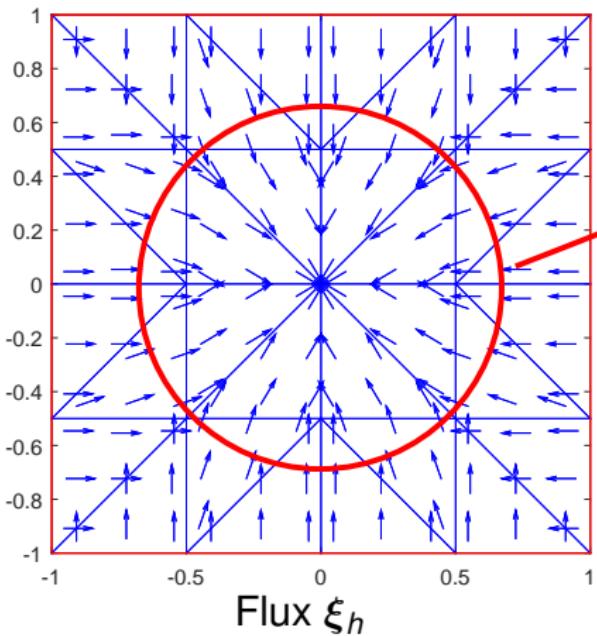


# Equilibrated flux reconstruction in 2D



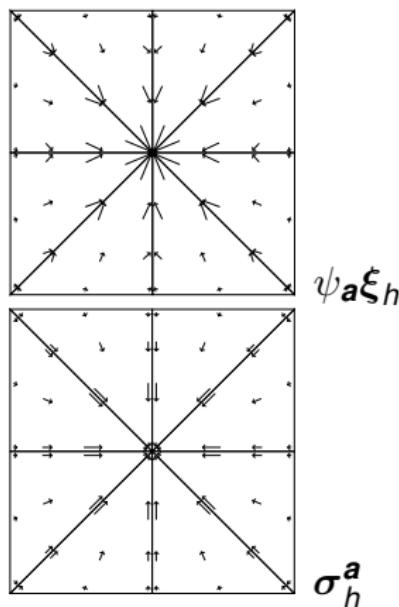
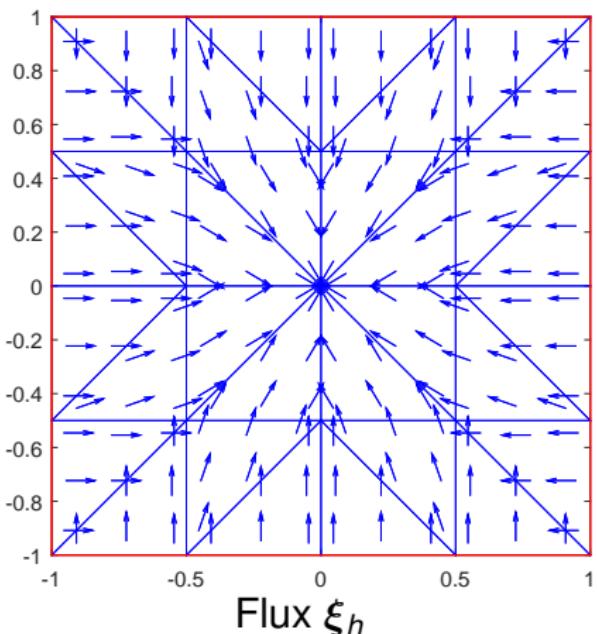
$$\underbrace{\boldsymbol{\xi}_h \in \mathbf{RTN}_p(\mathcal{T}), \nabla \cdot \boldsymbol{\sigma} \in L^2(\Omega)}_{(\nabla \cdot \boldsymbol{\sigma}, \psi_a)_{\omega_a} + (\boldsymbol{\xi}_h, \nabla \psi_a)_{\omega_a} = 0 \quad \forall a \in \mathcal{V}^{\text{int}}}$$

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 $\psi_a \xi_h$ 

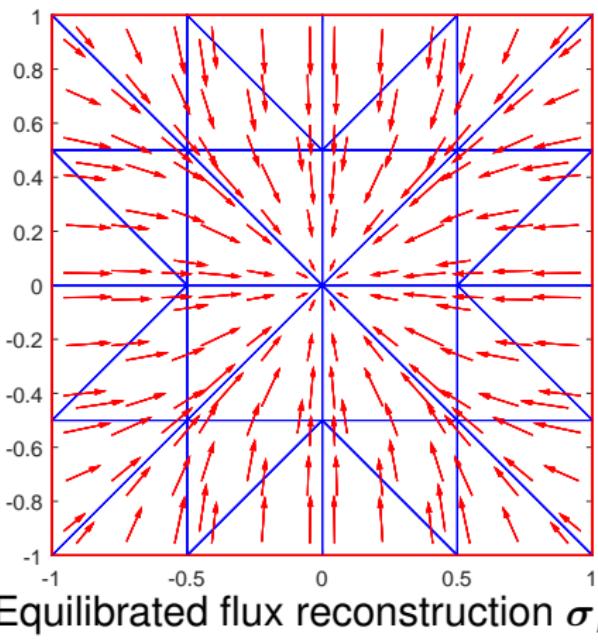
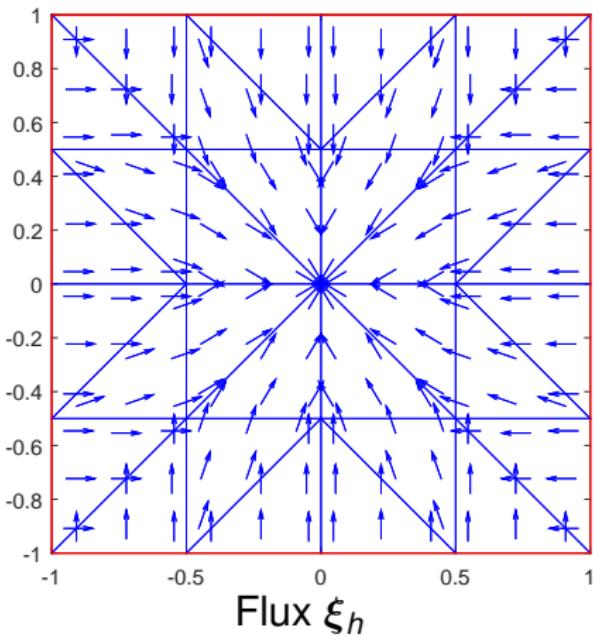
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$$\underbrace{\boldsymbol{\xi}_h \in \mathbf{RTN}_p(\mathcal{T}), \nabla \cdot \boldsymbol{\sigma} \in L^2(\Omega)}_{(\nabla \cdot \boldsymbol{\sigma}, \psi_a)_{\omega_a} + (\boldsymbol{\xi}_h, \nabla \psi_a)_{\omega_a} = 0 \quad \forall a \in \mathcal{V}^{\text{int}}} \rightarrow \boldsymbol{\sigma}_h \in \mathbf{RTN}_p(\mathcal{T}) \cap \mathbf{H}(\text{div}, \Omega), \nabla \cdot \boldsymbol{\sigma}_h = \Pi_p \nabla \cdot \boldsymbol{\sigma}$$

# Stable local commuting projector in $H(\text{div})$

Theorem (Stable local commuting projector, Ern, Gudi, Smears, & V. (2019))

Let  $\sigma \in H(\text{div}, \Omega)$  and  $p \geq 0$  be arbitrary. Then,  $P_p \sigma := \sigma_h \in RTN_p(\mathcal{T}) \cap H(\text{div}, \Omega)$  from construction is locally defined,

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$P_p \sigma = \sigma$  if  $\sigma \in RTN_p(\mathcal{T}) \cap H(\text{div}, \Omega)$  — projector;

$$\|P_p \sigma - \sigma\|_{H(\text{div}, \Omega)}^2 \leq h^{p+1} \| \sigma \|_{H^{p+1}(\Omega)}^2 + h^{p+1} \| \sigma \|_{H^1(\Omega)}^2 \| \sigma \|_{H^{p+1}(\Omega)}^2.$$

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## Comments

- $P_p$  defined on  $\mathbf{H}(\text{div}, \Omega)$
- $\lesssim_p$ : only depends on  $d$ , shape-regularity of  $\mathcal{T}$ , and  $p$
- $h_K \|\nabla \cdot \sigma - \Pi_p(\nabla \cdot \sigma)\|_K$ : data oscillation term common in a *posteriori* analysis, disappears when  $\nabla \cdot \sigma$  is a piecewise  $p$ -degree polynomial

# Proof: local problems, commutativity

- recall  $\xi_h \in \mathbf{RTN}_p(\mathcal{T})$  is elementwise  $L^2$ -orthogonal projection of  $\sigma$

$$\xi_h|_K := \arg \min_{\mathbf{v}_h \in \mathbf{RTN}_p(K)} \|\sigma - \mathbf{v}_h\|_K^2 \quad \forall K \in \mathcal{T}$$

- since  $\nabla \psi_a \in \mathbf{RTN}_p(K)$ ,  $\forall a \in \mathcal{V}_K$ ,  $p \geq 0$ ,

$$(\sigma - \xi_h, \nabla \psi_a)_K = 0 \quad \forall K \in \mathcal{T}$$

- since  $\sigma|_{\omega_a} \in \mathbf{H}(\text{div}, \omega_a)$  and  $\psi_a \in H_0^1(\omega_a)$  ( $a \in \mathcal{V}^{\text{int}}$ ), Green theorem

$$(\nabla \cdot \sigma, \psi_a)_{\omega_a} + (\sigma, \nabla \psi_a)_{\omega_a} = 0$$



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• Implications of projections:

$$\sigma_h := \operatorname{arg} \min_{\sigma \in \mathbf{RTN}_p(\mathcal{T})} \| \nabla \cdot (\sigma - \mathbf{v}_h) \|^2$$

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$$\sigma_h^a := \arg \min_{\mathbf{v}_h \in V_h^a := \mathbf{RTN}_p(\mathcal{T}_a) \cap \mathbf{H}_0(\text{div}, \omega_a)} \| I_p^{\text{RTN}}(\psi_a \xi_h) - \mathbf{v}_h \|_{\omega_a}$$

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# Proof: stability of the flux reconstruction

**Theorem (Local stability)** Braess, Pillwein, Schöberl (2009; 2D), Ern, V. (2016; 3D), using ▶ Tools

There holds

$$\min_{\begin{array}{l} \mathbf{v}_h \in \mathbf{RTN}_p(\mathcal{T}_a) \cap \mathbf{H}_0(\operatorname{div}, \omega_a) \\ \nabla \cdot \mathbf{v}_h = \Pi_p(\nabla \cdot \boldsymbol{\sigma} \psi_a + \boldsymbol{\xi}_h \cdot \nabla \psi_a) \end{array}} \| I_p^{\text{RTN}}(\psi_a \boldsymbol{\xi}_h) - \mathbf{v}_h \|_{\omega_a} \lesssim \min_{\begin{array}{l} \mathbf{v} \in \mathbf{H}_0(\operatorname{div}, \omega_a) \\ \nabla \cdot \mathbf{v} = \Pi_p(\nabla \cdot \boldsymbol{\sigma} \psi_a + \boldsymbol{\xi}_h \cdot \nabla \psi_a) \end{array}} \| I_p^{\text{RTN}}(\psi_a \boldsymbol{\xi}_h) - \mathbf{v} \|_{\omega_a}.$$

Corollary (Global stability)

$P_p \boldsymbol{\sigma} = \boldsymbol{\sigma}_h$  is closer to  $\boldsymbol{\xi}_h$  than any  $\boldsymbol{\sigma} \in \mathbf{H}(\operatorname{div}, \Omega)$  up to divergence oscillation:

$$\| \boldsymbol{\xi}_h - \boldsymbol{\sigma}_h \| \lesssim_p \| \boldsymbol{\xi}_h - \boldsymbol{\sigma} \| + \left\{ \sum_{K \in \mathcal{T}} h_K^2 \| \nabla \cdot (\boldsymbol{\xi}_h - \boldsymbol{\sigma}) \|_K^2 \right\}^{1/2}.$$

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# Proof: projection and $L^2$ stability of the map $P_p$

## Projection property of $P_p$

if  $\sigma \in RTN_p(\mathcal{T}) \cap H(\text{div}, \Omega)$ , then  $\xi_h = \sigma$  from [construction](#), global

•  $H(\text{div})$  stability

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## $L^2$ stability of the map $P_p$ up to oscillation

triangle inequality  $\|\sigma_h\| \leq \|\sigma - \sigma_h\| + \|\sigma\|$ , triangle inequality

$$\|\sigma - \sigma_h\| \leq \|\sigma - \xi_h\| + \|\xi_h - \sigma_h\|,$$

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# Outline

- 1 Introduction: classical *a priori* error estimates for mixed finite element methods
- 2 Simple stable local commuting projector in  $H(\text{div})$
- 3 Global-best – local-best equivalence
- 4 Elementwise localized approximation estimates
- 5 Elementwise localized *a priori* error estimates
  - Mixed finite element methods
  - Least-squares mixed finite element methods
- 6 Tools ( $p$ -robustness)
  - Polynomial extension on a tetrahedron
  - Broken polynomial extension on a patch
- 7 Conclusions and outlook

# Global-best approx. $\approx$ local-best approx. in $\mathbf{H}(\text{div})$

Theorem (Constrained equivalence in  $\mathbf{H}(\text{div})$ , Ern, Gudi, Smears, & V. (2019))

Let  $\sigma \in \mathbf{H}(\text{div}, \Omega)$  and  $p \geq 0$  be arbitrary. Then,

$$\min_{\substack{\mathbf{v}_h \in RTN_p(\mathcal{T}) \cap \mathbf{H}(\text{div}, \Omega) \\ \nabla \cdot \mathbf{v}_h = \Pi_p(\nabla \cdot \sigma)}} \left[ \|\sigma - \mathbf{v}_h\|_K^2 + \sum_{K \in \mathcal{T}} h_K^2 \|\nabla \cdot (\sigma - \mathbf{v}_h)\|_K^2 \right]$$

global-best on  $\Omega$   
 normal trace-continuity constraint  
 divergence constraint  
 MFE space (much smaller)

$$\approx_p \sum_{K \in \mathcal{T}} \min_{\mathbf{v}_h \in RTN_p(K)} \left[ \|\sigma - \mathbf{v}_h\|_K^2 + h_K^2 \|\nabla \cdot (\sigma - \mathbf{v}_h)\|_K^2 \right].$$

local-best on each  $K$   
 no normal trace-continuity constraint  
 no divergence constraint  
 broken MFE space (much bigger)

- the right number (a priori) much smaller than the left one
- $\approx_p$ : only depends on  $d$ , shape-regularity of  $\mathcal{T}$ , and  $p$
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$$\approx_p \underbrace{\sum_{K \in \mathcal{T}} \min_{\mathbf{v}_h \in RTN_p(K)} \left[ \|\sigma - \mathbf{v}_h\|_K^2 + h_K^2 \|\nabla \cdot (\sigma - \mathbf{v}_h)\|_K^2 \right]}_{\begin{array}{l} \text{local-best on each } K \\ \text{no normal trace-continuity constraint} \\ \text{no divergence constraint} \\ \text{broken MFE space (much bigger)} \end{array}}.$$

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# Proof ideas

- global H(div) stability

$$\|\boldsymbol{\xi}_h - \boldsymbol{\sigma}_h\| \lesssim_p \|\boldsymbol{\xi}_h - \boldsymbol{\sigma}\| + \left\{ \sum_{K \in \mathcal{T}} h_K^2 \|\nabla \cdot (\boldsymbol{\xi}_h - \boldsymbol{\sigma})\|_K^2 \right\}^{1/2}$$

- bound on minimum, triangle inequality

$$\begin{aligned} & \min_{\substack{\boldsymbol{v}_h \in RTN_p(\mathcal{T}) \cap H(\text{div}, \Omega) \\ \nabla \cdot \boldsymbol{v}_h = \Pi_p(\nabla \cdot \boldsymbol{\sigma})}} \|\boldsymbol{\sigma} - \boldsymbol{v}_h\| \leq \|\boldsymbol{\sigma} - \boldsymbol{\sigma}_h\| \leq \|\boldsymbol{\sigma} - \boldsymbol{\xi}_h\| + \|\boldsymbol{\xi}_h - \boldsymbol{\sigma}_h\| \\ & \lesssim_p \left\{ \sum_{K \in \mathcal{T}} [\|\boldsymbol{\sigma} - \boldsymbol{\xi}_h\|_K^2 + h_K^2 \|\nabla \cdot (\boldsymbol{\sigma} - \boldsymbol{\xi}_h)\|_K^2] \right\}^{1/2} \end{aligned}$$

$\approx [L^2(K)]^d$ -orthogonal projection consequence

$$\|\boldsymbol{\sigma} - \boldsymbol{\xi}_h\|_K^2 + h_K^2 \|\nabla \cdot (\boldsymbol{\sigma} - \boldsymbol{\xi}_h)\|_K^2 \lesssim_p \min_{\boldsymbol{v}_h \in RTN_p(K)} [\|\boldsymbol{\sigma} - \boldsymbol{v}_h\|_K^2 + h_K^2 \|\nabla \cdot (\boldsymbol{\sigma} - \boldsymbol{v}_h)\|_K^2]$$

divergence constraint

global approximation error estimate

# Proof ideas

- global ▶  $H(\text{div})$  stability

$$\|\boldsymbol{\xi}_h - \boldsymbol{\sigma}_h\| \lesssim_p \|\boldsymbol{\xi}_h - \boldsymbol{\sigma}\| + \left\{ \sum_{K \in \mathcal{T}} h_K^2 \|\nabla \cdot (\boldsymbol{\xi}_h - \boldsymbol{\sigma})\|_K^2 \right\}^{1/2}$$

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$$\begin{aligned} & \min_{\substack{\boldsymbol{v}_h \in \mathbf{RTN}_p(\mathcal{T}) \cap \mathbf{H}(\text{div}, \Omega) \\ \nabla \cdot \boldsymbol{v}_h = \Pi_p(\nabla \cdot \boldsymbol{\sigma})}} \|\boldsymbol{\sigma} - \boldsymbol{v}_h\| \leq \|\boldsymbol{\sigma} - \boldsymbol{\sigma}_h\| \leq \|\boldsymbol{\sigma} - \boldsymbol{\xi}_h\| + \|\boldsymbol{\xi}_h - \boldsymbol{\sigma}_h\| \\ & \lesssim_p \left\{ \sum_{K \in \mathcal{T}} [\|\boldsymbol{\sigma} - \boldsymbol{\xi}_h\|_K^2 + h_K^2 \|\nabla \cdot (\boldsymbol{\sigma} - \boldsymbol{\xi}_h)\|_K^2] \right\}^{1/2} \end{aligned}$$

- $[L^2(K)]^d$ -orthogonal projection consequence

$$\|\boldsymbol{\sigma} - \boldsymbol{\xi}_h\|_K^2 + h_K^2 \|\nabla \cdot (\boldsymbol{\sigma} - \boldsymbol{\xi}_h)\|_K^2 \lesssim_p \min_{\boldsymbol{v}_h \in \mathbf{RTN}_p(K)} [\|\boldsymbol{\sigma} - \boldsymbol{v}_h\|_K^2 + h_K^2 \|\nabla \cdot (\boldsymbol{\sigma} - \boldsymbol{v}_h)\|_K^2]$$

- divergence constraint

$$\sum_{K \in \mathcal{T}} h_K^2 \|\nabla \cdot \boldsymbol{\sigma} - \Pi_p(\nabla \cdot \boldsymbol{\sigma})\|_K^2 \leq \sum_{K \in \mathcal{T}} \min_{\boldsymbol{v}_h \in \mathbf{RTN}_p(K)} [\|\boldsymbol{\sigma} - \boldsymbol{v}_h\|_K^2 + h_K^2 \|\nabla \cdot (\boldsymbol{\sigma} - \boldsymbol{v}_h)\|_K^2]$$

# Proof ideas

- global ▶  $H(\text{div})$  stability

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# Approximation optimal in $h$ for any regularity

Corollary (Elementwise localized approximation estimate)

For any  $\sigma \in \mathbf{H}(\text{div}, \Omega)$  s.t., locally on any  $K \in \mathcal{T}$ ,

$$\sigma|_K \in \mathbf{H}^s(K), \quad s > 0,$$

there holds

$$\begin{aligned} & \left\{ \min_{\substack{\mathbf{v}_h \in \mathbf{RTN}_p(\mathcal{T}) \cap \mathbf{H}(\text{div}, \Omega) \\ \nabla \cdot \mathbf{v}_h = \Pi_p(\nabla \cdot \sigma)}} \left[ \|\sigma - \mathbf{v}_h\|^2 + \sum_{K \in \mathcal{T}} h_K^2 \|\nabla \cdot (\sigma - \mathbf{v}_h)\|_K^2 \right] \right\}^{\frac{1}{2}} \\ & \lesssim_p \left\{ \sum_{K \in \mathcal{T}} \min_{\mathbf{v}_h \in \mathbf{RTN}_p(K)} \left[ \|\sigma - \mathbf{v}_h\|_K^2 + h_K^2 \|\nabla \cdot (\sigma - \mathbf{v}_h)\|_K^2 \right] \right\}^{\frac{1}{2}} \\ & \lesssim_{p,\sigma} h^{\min\{p+1,s\}}. \end{aligned}$$

- $\lesssim_{p,\sigma}$ : only depends on  $d$ , shape-regularity of  $\mathcal{T}$ ,  $p$ , and

$$\left\{ \sum_{K \in \mathcal{T}} |\sigma|_{\mathbf{H}^{\min\{p+1,s\}}(K)}^2 \right\}^{1/2}$$

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# Approximation optimal in $h$ and $p$ for small regularity

Corollary (Elementwise localized approximation estimate)

Let  $p \geq 1$ . For any  $\sigma \in \mathbf{H}(\text{div}, \Omega)$  s.t., locally on any  $K \in \mathcal{T}$ ,

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there holds

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- $\lesssim_{\sigma}$ : only  $d$ , reg. of  $\mathcal{T}$ , and  $\left\{ \sum_{K \in \mathcal{T}} |\sigma|_{\mathbf{H}^s(K)}^2 \right\}^{1/2}$
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# Laplace model problem: $-\Delta u = f$ in $\Omega$ , $u = 0$ on $\partial\Omega$

Corollary (Localized *a priori* estimate for mixed finite elements)

Let  $\sigma$  be the weak solution and  $\sigma_h$  its MFE approximation. Then

$$\|\sigma - \sigma_h\| = \underbrace{\min_{\substack{\mathbf{v}_h \in \mathbf{RTN}_p(\mathcal{T}) \cap \mathbf{H}(\text{div}, \Omega) \\ \nabla \cdot \mathbf{v}_h = \Pi_p(\nabla \cdot \sigma)}} \|\sigma - \mathbf{v}_h\|}_{\begin{array}{c} \text{global-best on } \Omega \\ \text{normal trace-continuity constraint} \\ \text{divergence constraint} \end{array}}$$

$$\lesssim_p \left\{ \sum_{K \in \mathcal{T}} \underbrace{\min_{\mathbf{v}_h \in \mathbf{RTN}_p(K)} \left[ \|\sigma - \mathbf{v}_h\|_K^2 + h_K^2 \|\nabla \cdot (\sigma - \mathbf{v}_h)\|_K^2 \right]}_{\begin{array}{c} \text{local-best on each } K \\ \text{no normal trace-continuity constraint} \\ \text{no divergence constraint} \end{array}} \right\}^{\frac{1}{2}}$$

$$\lesssim_{p,\sigma} h^{\min\{p+1,s\}}$$

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# Laplace model problem: $-\Delta u = f$ in $\Omega$ , $u = 0$ on $\partial\Omega$

## Mixed least-squares weak formulation

Find  $(\sigma, u) \in \mathbf{H}(\text{div}, \Omega) \times H_0^1(\Omega)$  such that

$$\begin{aligned} (\sigma + \nabla u, \nabla v) &= 0 & \forall v \in H_0^1(\Omega), \\ (\nabla \cdot \sigma, \nabla \cdot p) + (\sigma + \nabla u, p) &= (f, \nabla \cdot p) & \forall p \in \mathbf{H}(\text{div}, \Omega). \end{aligned}$$

## Least-squares mixed finite elements

Let  $V_h := RTN_p(\mathcal{T}) \cap \mathbf{H}(\text{div}, \Omega)$ ,  $p \geq 0$ ,  $V_h := \mathbb{P}_q(\mathcal{T}) \cap H_0^1(\Omega)$ ,  $q \geq 1$ . Find  $(\sigma_h, u_h) \in V_h \times V_h$  such that

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Lemma (A priori bound for least-squares mixed finite elements)

*There exists a positive constant  $C$  only depending on  $\Omega$  s.t.*

$$\begin{aligned} & \|\boldsymbol{\sigma} - \boldsymbol{\sigma}_h\| + \|\nabla(u - u_h)\| \\ & \leq C \left( \min_{\substack{\boldsymbol{v}_h \in \mathbf{RTN}_p \cap \mathbf{H}(\text{div}, \Omega) \\ \nabla \cdot \boldsymbol{v}_h = \Pi_p(\nabla \cdot \boldsymbol{\sigma})}} \|\boldsymbol{\sigma} - \boldsymbol{v}_h\| + \min_{\substack{\boldsymbol{v}_h \in \mathbb{P}_q(\mathcal{T}) \cap H_0^1(\Omega)}} \|\nabla(u - v_h)\| \right), \\ & \|\nabla \cdot (\boldsymbol{\sigma} - \boldsymbol{\sigma}_h)\|^2 \leq \|\nabla \cdot \boldsymbol{\sigma} - \Pi_p(\nabla \cdot \boldsymbol{\sigma})\|^2 + \frac{3}{2} \|\nabla(u - u_h)\|^2 \\ & \quad + \frac{3}{2} \min_{\substack{\boldsymbol{v}_h \in \mathbf{RTN}_p \cap \mathbf{H}(\text{div}, \Omega) \\ \nabla \cdot \boldsymbol{v}_h = \Pi_p(\nabla \cdot \boldsymbol{\sigma})}} \|\boldsymbol{\sigma} - \boldsymbol{v}_h\|^2. \end{aligned}$$

combine with  $\mathbf{H}(\text{div}, \Omega)$  local/global-best and  $H_0^1(\Omega)$  local/global-best :

Corollary (Localized *a priori* estimate for least-squares MFEs)

Let  $\boldsymbol{\sigma}|_K \in \mathbf{H}^s(K)$ ,  $s > 0$ , and  $u|_K \in H^{1+t}(K)$ ,  $t > 0$ ,  $\forall K \in \mathcal{T}$ . Then

$$\|\boldsymbol{\sigma} - \boldsymbol{\sigma}_h\| + \|\nabla(u - u_h)\| + \|\nabla \cdot (\boldsymbol{\sigma} - \boldsymbol{\sigma}_h)\| \lesssim_{p,\sigma,u} h^{\min\{p+1,s\}} + h^{\min\{q,t\}}.$$

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# Polynomial extension on a tetrahedron

**Lemma ( $H(\text{div})$  polynomial extension on a tetrahedron** Costabel & McIntosh (2010); Ainsworth & Demkowicz (2009; 2D), Demkowicz, Gopalakrishnan, & Schöberl (2012); Ern & V. (2016))

Let  $p \geq 0$ ,  $K \in \mathcal{T}$ ,  $\mathcal{F}_K^N \subset \mathcal{F}_K$ . Let  $\mathbf{r} \in \mathbb{P}_p(\mathcal{F}_K^N) \times \mathbb{P}_p(K)$ , satisfying the compatibility  $\sum_{F \in \mathcal{F}_K} (r_F, 1)_F = (r_K, 1)_K$  if  $\mathcal{F}_K^N = \mathcal{F}_K$ . Then

$$\min_{\substack{\mathbf{v}_h \in RTN_p(K) \\ \mathbf{v}_h \cdot \mathbf{n}_K = r_F \quad \forall F \in \mathcal{F}_K^N \\ \nabla \cdot \mathbf{v}_h = r_K}} \|\mathbf{v}_h\|_K \lesssim \min_{\substack{\mathbf{v} \in H(\text{div}, K) \\ \mathbf{v} \cdot \mathbf{n}_K = r_F \quad \forall F \in \mathcal{F}_K^N \\ \nabla \cdot \mathbf{v} = r_K}} \|\mathbf{v}\|_K .$$

# Polynomial extension on a tetrahedron

**Lemma ( $H(\text{div})$  polynomial extension on a tetrahedron** Costabel & McIntosh (2010); Ainsworth & Demkowicz (2009; 2D), Demkowicz, Gopalakrishnan, & Schöberl (2012); Ern & V. (2016))

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$$\min_{\substack{\mathbf{v}_h \in \mathbf{RTN}_p(K) \\ \mathbf{v}_h \cdot \mathbf{n}_K = r_F \quad \forall F \in \mathcal{F}_K^N \\ \nabla \cdot \mathbf{v}_h = r_K}} \|\mathbf{v}_h\|_K \lesssim \min_{\substack{\mathbf{v} \in H(\text{div}, K) \\ \mathbf{v} \cdot \mathbf{n}_K = r_F \quad \forall F \in \mathcal{F}_K^N \\ \nabla \cdot \mathbf{v} = r_K}} \|\mathbf{v}\|_K .$$

## Context

- $-\Delta \zeta_K = r_K$  in  $K$ ,
- $-\nabla \zeta_K \cdot \mathbf{n}_K = r_F$  on all  $F \in \mathcal{F}_K^N$ ,
- $\zeta_K = 0$  on all  $F \in \mathcal{F}_K \setminus \mathcal{F}_K^N$ .

Set  $\varphi_K := -\nabla \zeta_K$ .

# Polynomial extension on a tetrahedron

**Lemma ( $H(\text{div})$  polynomial extension on a tetrahedron**) Costabel & McIntosh (2010); Ainsworth & Demkowicz (2009; 2D), Demkowicz, Gopalakrishnan, & Schöberl (2012); Ern & V. (2016))

Let  $p \geq 0$ ,  $K \in \mathcal{T}$ ,  $\mathcal{F}_K^N \subset \mathcal{F}_K$ . Let  $\mathbf{r} \in \mathbb{P}_p(\mathcal{F}_K^N) \times \mathbb{P}_p(K)$ , satisfying the compatibility  $\sum_{F \in \mathcal{F}_K} (r_F, 1)_F = (r_K, 1)_K$  if  $\mathcal{F}_K^N = \mathcal{F}_K$ . Then

$$\min_{\substack{\mathbf{v}_h \in \mathbf{RTN}_p(K) \\ \mathbf{v}_h \cdot \mathbf{n}_K = r_F \quad \forall F \in \mathcal{F}_K^N \\ \nabla \cdot \mathbf{v}_h = r_K}} \|\mathbf{v}_h\|_K \lesssim \min_{\substack{\mathbf{v} \in H(\text{div}, K) \\ \mathbf{v} \cdot \mathbf{n}_K = r_F \quad \forall F \in \mathcal{F}_K^N \\ \nabla \cdot \mathbf{v} = r_K}} \|\mathbf{v}\|_K = \|\varphi_K\|_K.$$

## Context

- $-\Delta \zeta_K = r_K$  in  $K$ ,
- $-\nabla \zeta_K \cdot \mathbf{n}_K = r_F$  on all  $F \in \mathcal{F}_K^N$ ,
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Let  $p \geq 0$ ,  $K \in \mathcal{T}$ ,  $\mathcal{F}_K^N \subset \mathcal{F}_K$ . Let  $\mathbf{r} \in \mathbb{P}_p(\mathcal{F}_K^N) \times \mathbb{P}_p(K)$ , satisfying the compatibility  $\sum_{F \in \mathcal{F}_K} (r_F, 1)_F = (r_K, 1)_K$  if  $\mathcal{F}_K^N = \mathcal{F}_K$ . Then

$$\|\varphi_{h,K}\|_K \stackrel{\text{MFEs}}{=} \min_{\substack{\mathbf{v}_h \in \mathbf{RTN}_p(K) \\ \mathbf{v}_h \cdot \mathbf{n}_K = r_F \quad \forall F \in \mathcal{F}_K^N \\ \nabla \cdot \mathbf{v}_h = r_K}} \|\mathbf{v}_h\|_K \lesssim \min_{\substack{\mathbf{v} \in H(\text{div}, K) \\ \mathbf{v} \cdot \mathbf{n}_K = r_F \quad \forall F \in \mathcal{F}_K^N \\ \nabla \cdot \mathbf{v} = r_K}} \|\mathbf{v}\|_K = \|\varphi_K\|_K.$$

## Context

- $-\Delta \zeta_K = \mathbf{r}_K \quad \text{in } K,$
- $-\nabla \zeta_K \cdot \mathbf{n}_K = \mathbf{r}_F \quad \text{on all } F \in \mathcal{F}_K^N,$
- $\zeta_K = 0 \quad \text{on all } F \in \mathcal{F}_K \setminus \mathcal{F}_K^N.$

Set  $\varphi_K := -\nabla \zeta_K$ .

# Outline

- 1 Introduction: classical *a priori* error estimates for mixed finite element methods
- 2 Simple stable local commuting projector in  $H(\text{div})$
- 3 Global-best – local-best equivalence
- 4 Elementwise localized approximation estimates
- 5 Elementwise localized *a priori* error estimates
  - Mixed finite element methods
  - Least-squares mixed finite element methods
- 6 Tools ( $p$ -robustness)
  - Polynomial extension on a tetrahedron
  - Broken polynomial extension on a patch
- 7 Conclusions and outlook

# Broken polynomial extension on a patch

Theorem (Broken  $\mathbf{H}(\text{div})$  polynomial extension on a patch Braess,

Pillwein, & Schöberl (2009; 2D), Ern & V. (2016; 3D))

For  $p \geq 0$  and  $\mathbf{a} \in \mathcal{V}^{\text{int}}$ , let  $\mathbf{r} \in \mathbb{P}_p(\mathcal{F}_{\mathbf{a}}) \times \mathbb{P}_p(\mathcal{T}_{\mathbf{a}})$ . Suppose the compatibility

$$\sum_{K \in \mathcal{T}_{\mathbf{a}}} (r_K, 1)_K - \sum_{F \in \mathcal{F}_{\mathbf{a}}} (r_F, 1)_F = 0.$$

Then

$$\min_{\begin{array}{l} \mathbf{v}_h \in \mathbf{RTN}_p(\mathcal{T}_{\mathbf{a}}) \\ \mathbf{v}_h \cdot \mathbf{n}_F = r_F \quad \forall F \in \mathcal{F}_{\mathbf{a}}^{\text{ext}} \\ [\![\mathbf{v}_h \cdot \mathbf{n}_F]\!] = r_F \quad \forall F \in \mathcal{F}_{\mathbf{a}}^{\text{int}} \\ \nabla_h \cdot \mathbf{v}_h|_K = r_K \quad \forall K \in \mathcal{T}_{\mathbf{a}} \end{array}} \|\mathbf{v}_h\|_{\omega_{\mathbf{a}}} \lesssim \min_{\begin{array}{l} \mathbf{v} \in \mathbf{H}(\text{div}, \mathcal{T}_{\mathbf{a}}) \\ \mathbf{v} \cdot \mathbf{n}_F = r_F \quad \forall F \in \mathcal{F}_{\mathbf{a}}^{\text{ext}} \\ [\![\mathbf{v} \cdot \mathbf{n}_F]\!] = r_F \quad \forall F \in \mathcal{F}_{\mathbf{a}}^{\text{int}} \\ \nabla_h \cdot \mathbf{v}|_K = r_K \quad \forall K \in \mathcal{T}_{\mathbf{a}} \end{array}} \|\mathbf{v}\|_{\omega_{\mathbf{a}}}.$$

# Outline

- 1 Introduction: classical *a priori* error estimates for mixed finite element methods
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# Conclusions and outlook

## Conclusions

- a simple stable local commuting projector in  $\mathbf{H}(\text{div}, \Omega)$
- global-best – local-best equivalence in  $\mathbf{H}(\text{div}, \Omega)$
- optimal localized approximation estimates
- optimal localized *a priori* error estimates for mixed finite elements and least-squares mixed finite elements
- $p$ -robust estimates optimal for  $hp$  methods and low regularity solutions

## Ongoing work

- extensions to other settings

# Conclusions and outlook

## Conclusions

- a simple stable local commuting projector in  $\mathbf{H}(\text{div}, \Omega)$
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## Ongoing work

- extensions to other settings

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**Thank you for your attention!**

# Potentials

Lemma ( $H^1$  polynomial extension on a tetrahedron Babuška, Suri (1987; 2D), Muñoz-Sola (1997), Demkowicz, Gopalakrishnan, & Schöberl (2009))

Let  $p \geq 1$ ,  $K \in \mathcal{T}$ , and  $\mathcal{F}_K^D \subset \mathcal{F}_K$ . Let  $r \in \mathbb{P}_p(\mathcal{F}_K^D)$  be continuous on  $\mathcal{F}_K^D$ . Then

$$\min_{\substack{v_h \in \mathbb{P}_p(K) \\ v_h = r_F \text{ on all } F \in \mathcal{F}_K^D}} \|\nabla v_h\|_K \lesssim \underbrace{\min_{\substack{v \in H^1(K) \\ v = r_F \text{ on all } F \in \mathcal{F}_K^D}} \|\nabla v\|_K}_{\|r\|_{H^{1/2}(\partial K)}}.$$

**Lemma ( $H^1$  polynomial extension on a tetrahedron** Babuška, Suri (1987; 2D), Muñoz-Sola (1997), Demkowicz, Gopalakrishnan, & Schöberl (2009))

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## Context

- $-\Delta \zeta_K = 0 \quad \text{in } K,$
- $\zeta_K = r_F \quad \text{on all } F \in \mathcal{F}_K^D,$
- $-\nabla \zeta_K \cdot \mathbf{n}_K = 0 \quad \text{on all } F \in \mathcal{F}_K \setminus \mathcal{F}_K^D.$

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$$\min_{\substack{v_h \in \mathbb{P}_p(K) \\ v_h = r_F \text{ on all } F \in \mathcal{F}_K^D}} \|\nabla v_h\|_K \lesssim \underbrace{\min_{\substack{v \in H^1(K) \\ v = r_F \text{ on all } F \in \mathcal{F}_K^D}} \|\nabla v\|_K}_{\|r\|_{H^{1/2}(\partial K)}} = \|\nabla \zeta_K\|_K.$$

## Context

$$-\Delta \zeta_K = 0 \quad \text{in } K,$$

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Let  $p \geq 1$ ,  $K \in \mathcal{T}$ , and  $\mathcal{F}_K^D \subset \mathcal{F}_K$ . Let  $r \in \mathbb{P}_p(\mathcal{F}_K^D)$  be continuous on  $\mathcal{F}_K^D$ . Then

$$\|\nabla \zeta_{h,K}\|_K \stackrel{FEs}{=} \min_{\substack{v_h \in \mathbb{P}_p(K) \\ v_h = r_F \text{ on all } F \in \mathcal{F}_K^D}} \|\nabla v_h\|_K \lesssim \underbrace{\min_{\substack{v \in H^1(K) \\ v = r_F \text{ on all } F \in \mathcal{F}_K^D}} \|\nabla v\|_K}_{\|r\|_{H^{1/2}(\partial K)}} = \|\nabla \zeta_K\|_K.$$

## Context

- $-\Delta \zeta_K = 0$  in  $K$ ,
- $\zeta_K = r_F$  on all  $F \in \mathcal{F}_K^D$ ,
- $-\nabla \zeta_K \cdot \mathbf{n}_K = 0$  on all  $F \in \mathcal{F}_K \setminus \mathcal{F}_K^D$ .

# Potentials

Theorem (Broken  $H^1$  polynomial extension on a patch Ern & V. (2015, 2016))

For  $p \geq 1$  and  $\mathbf{a} \in \mathcal{V}^{\text{int}}$ , let  $r \in \mathbb{P}_p(\mathcal{F}_{\mathbf{a}}^{\text{int}})$ . Suppose the compatibility

$$r_F|_{F \cap \partial\omega_{\mathbf{a}}} = 0 \quad \forall F \in \mathcal{F}_{\mathbf{a}}^{\text{int}},$$

$$\sum_{F \in \mathcal{F}_e} \iota_{F,e} r_F|_e = 0 \quad \forall e \in \mathcal{E}_{\mathbf{a}}.$$

Then

$$\min_{\substack{v_h \in \mathbb{P}_p(\mathcal{T}_{\mathbf{a}}) \\ v_h=0 \quad \forall F \in \mathcal{F}_{\mathbf{a}}^{\text{ext}} \\ [[v_h]] = r_F \quad \forall F \in \mathcal{F}_{\mathbf{a}}^{\text{int}}}} \|\nabla_h v_h\|_{\omega_{\mathbf{a}}} \lesssim \min_{\substack{v \in H^1(\mathcal{T}_{\mathbf{a}}) \\ v=0 \quad \forall F \in \mathcal{F}_{\mathbf{a}}^{\text{ext}} \\ [[v]] = r_F \quad \forall F \in \mathcal{F}_{\mathbf{a}}^{\text{int}}}} \|\nabla_h v\|_{\omega_{\mathbf{a}}}.$$