

p-robust global–local equivalence,
p-stable local (commuting) projectors,
and optimal elementwise *hp* approximation estimates
in $H^1(\Omega)$ and $\boldsymbol{H}(\text{div}, \Omega)$

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Inria Paris & Ecole des Ponts

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DE PARIS

Outline

1 Introduction

2 *p*-stable local (commuting) projectors

- *p*-stable local commuting projector in $\mathbf{H}(\text{div}, \Omega)$
- *p*-stable local projector in $H^1(\Omega)$

3 *p*-robust global-best–local-best equivalence

- *p*-robust global-best–local-best equivalence in $H^1(\Omega)$
- *p*-robust global-best–local-best equivalence in $\mathbf{H}(\text{div}, \Omega)$

4 Optimal elementwise *hp* approximation error estimates

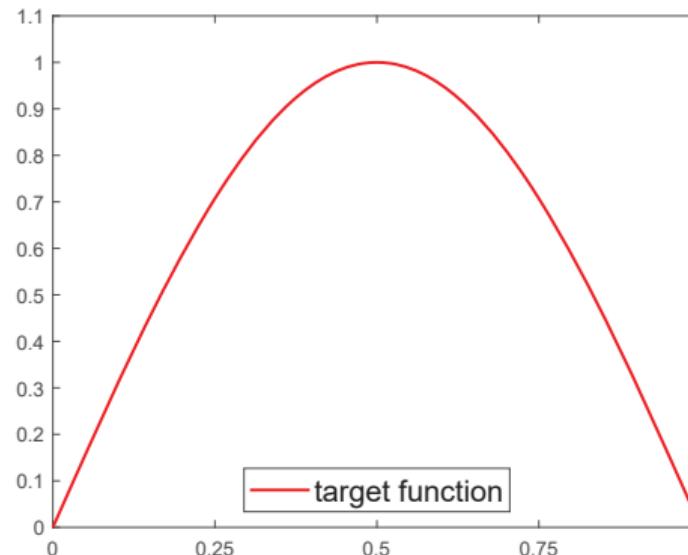
- Optimal elementwise *hp* approximation error estimates in $H^1(\Omega)$
- Optimal elementwise *hp* approximation error estimates in $\mathbf{H}(\text{div}, \Omega)$

5 Tools

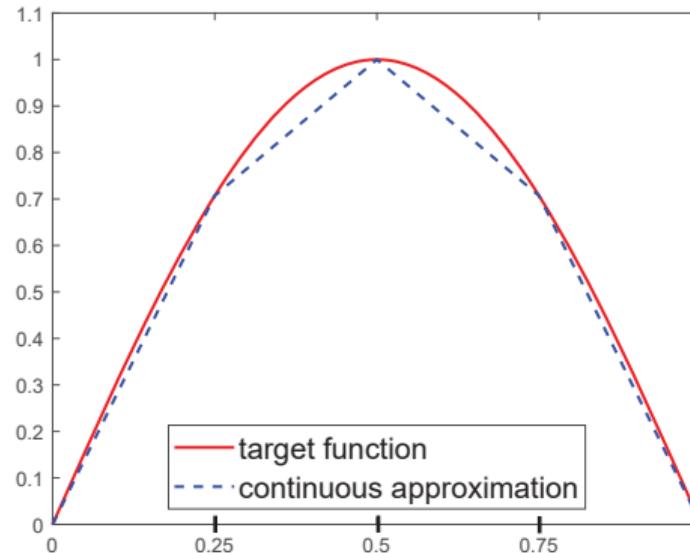
- Equilibration in $\mathbf{H}(\text{div})$
- *p*-stable (broken) polynomial extensions
- *p*-stable decompositions

6 Conclusions

Equivalence of global- and local-best approximations in $H_0^1(\Omega)$: 1D

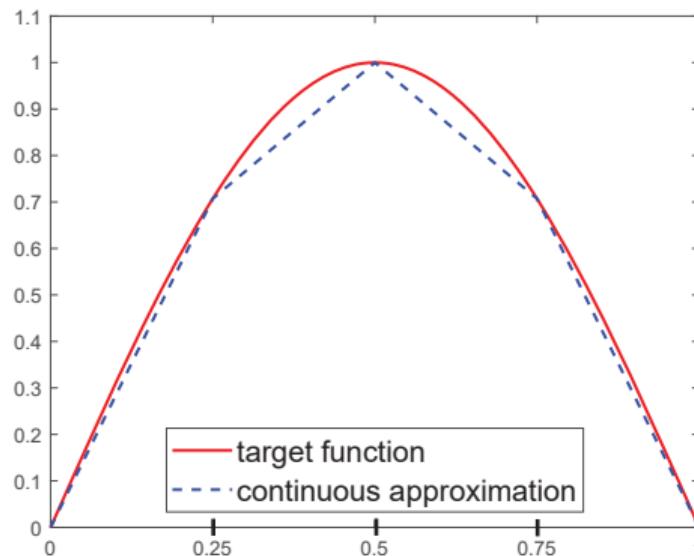


Target function in $H_0^1(\Omega)$

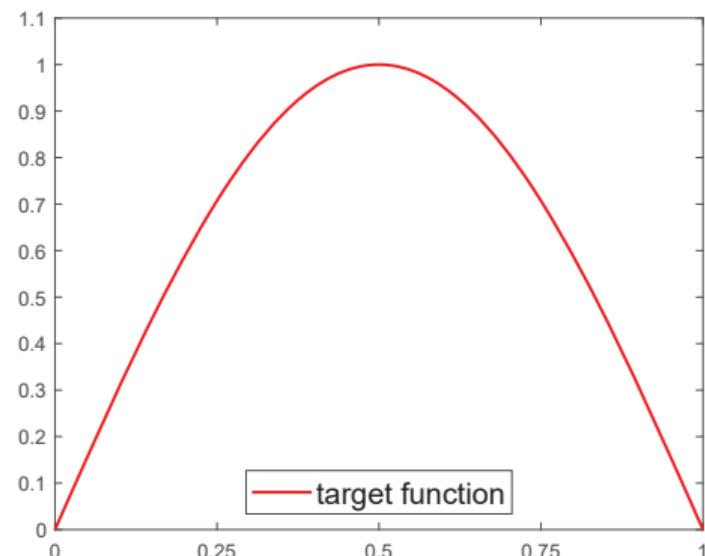
Equivalence of **global-** and **local-best** approximations in $H_0^1(\Omega)$: 1D

Best approximation by **continuous**
piecewise polynomials in
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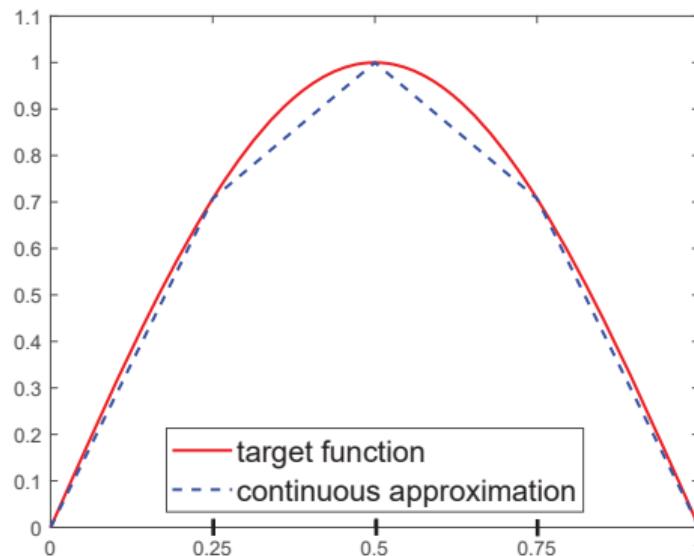


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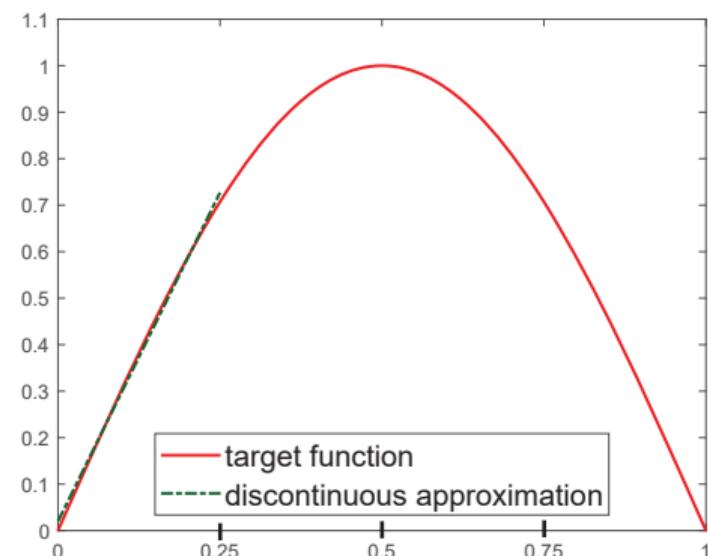


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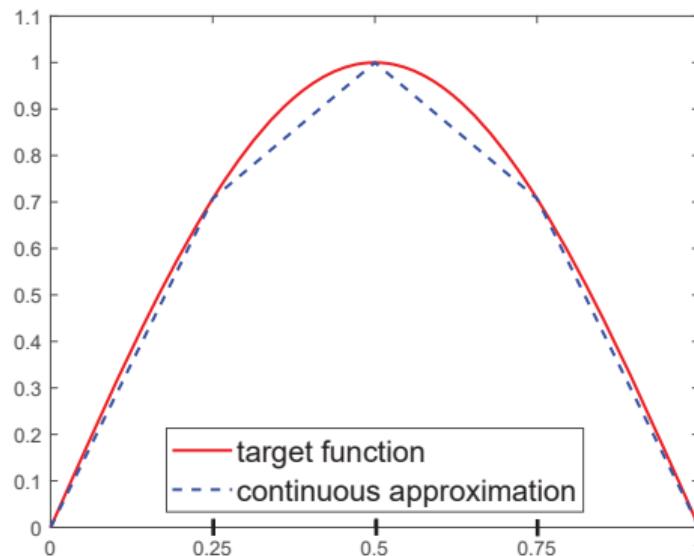


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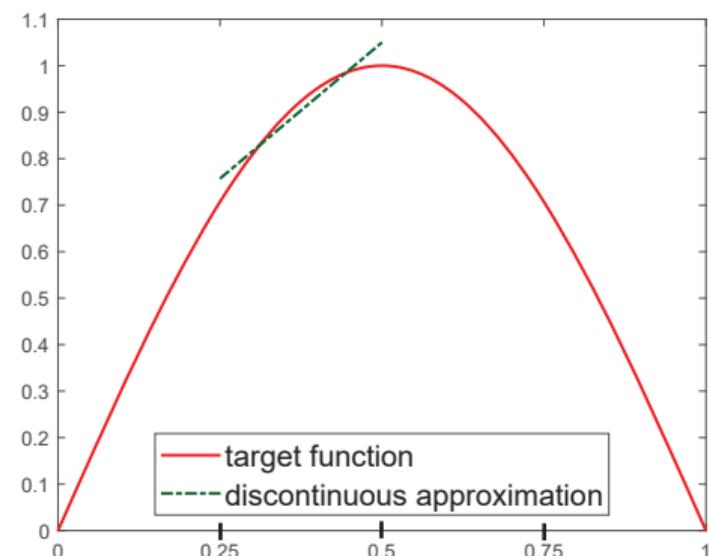


Best approximation by **discontinuous**
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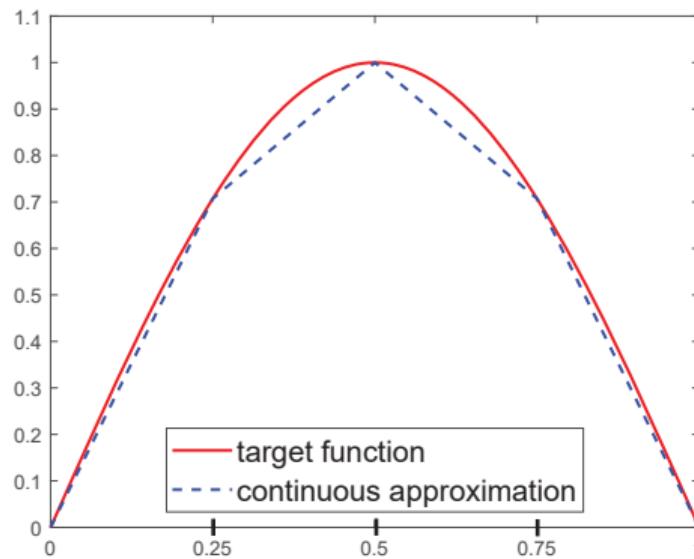


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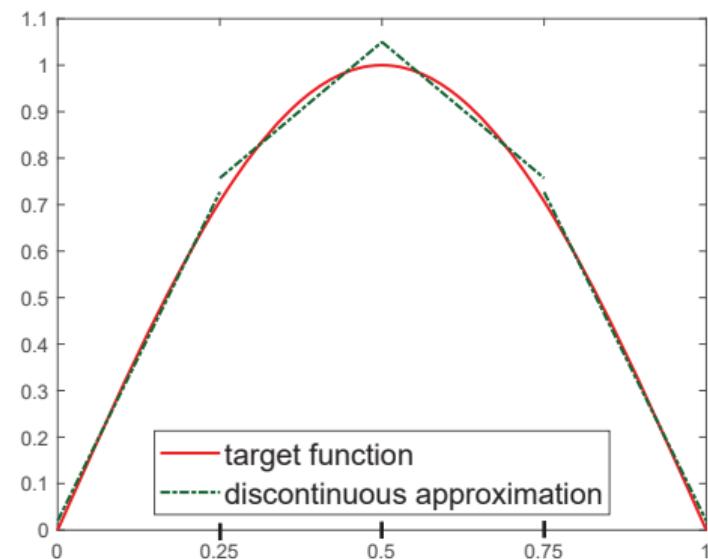


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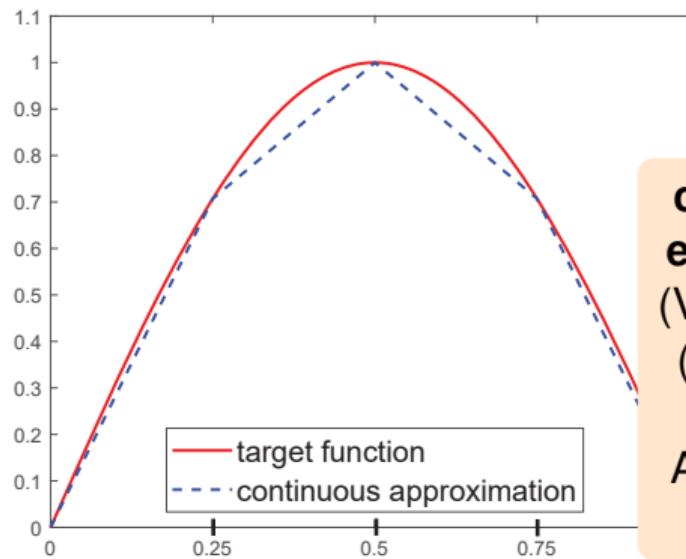


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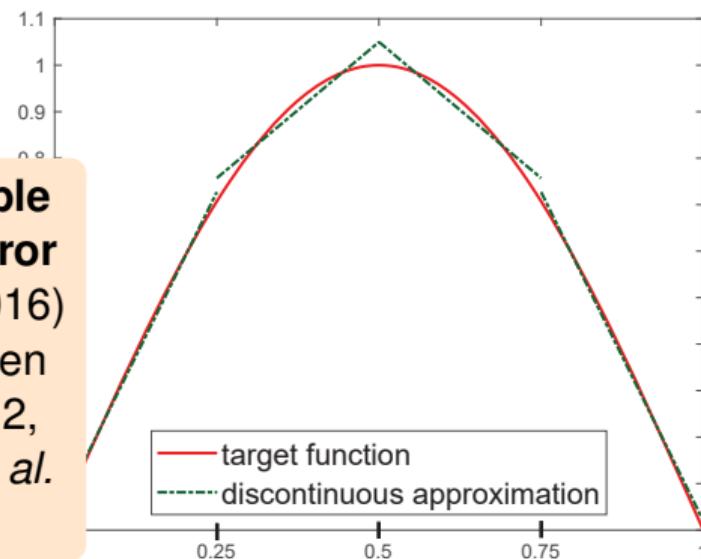


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Equivalence of global- and local-best approximations in $H_0^1(\Omega)$: 1D



comparable energy error
(Veeser 2016)
(Carstensen *et al.* 2012,
Aurada *et al.* 2013)



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Two key Sobolev spaces

 $H^1(\Omega)$

scalar-valued $L^2(\Omega)$ functions with weak gradients in $L^2(\Omega)$,
 $H^1(\Omega) := \{\mathbf{v} \in L^2(\Omega); \nabla \mathbf{v} \in L^2(\Omega)\}$

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Two key Sobolev spaces with BCs

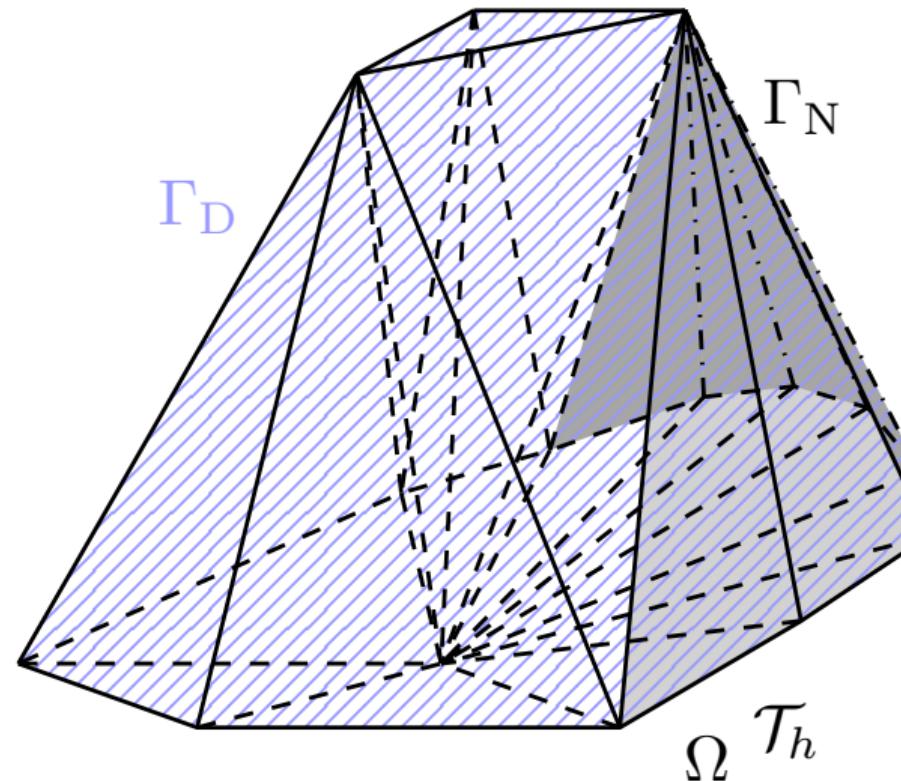
$$H_{0,D}^1(\Omega)$$

$H_{0,D}^1(\Omega) := \{\boldsymbol{v} \in H^1(\Omega); \boldsymbol{v} = 0 \text{ on } \Gamma_D\}$

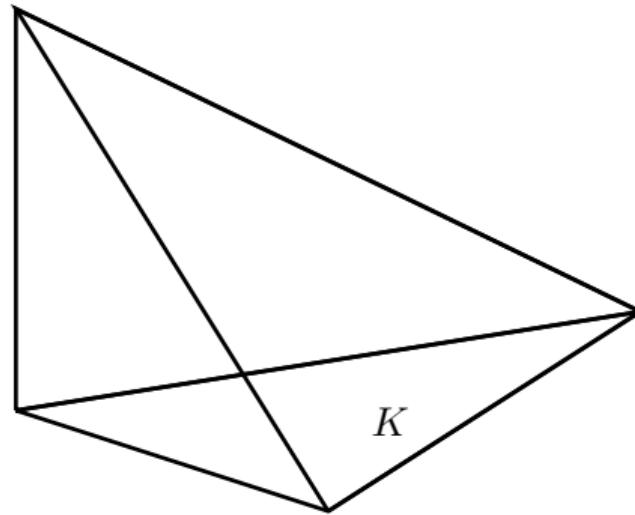
$$H_{0,N}(\text{div}, \Omega)$$

$H_{0,N}(\text{div}, \Omega) := \{\boldsymbol{v} \in \boldsymbol{H}(\text{div}, \Omega); \boldsymbol{v} \cdot \boldsymbol{n}_\Omega = 0 \text{ on } \Gamma_N \text{ in appropriate sense}\}$

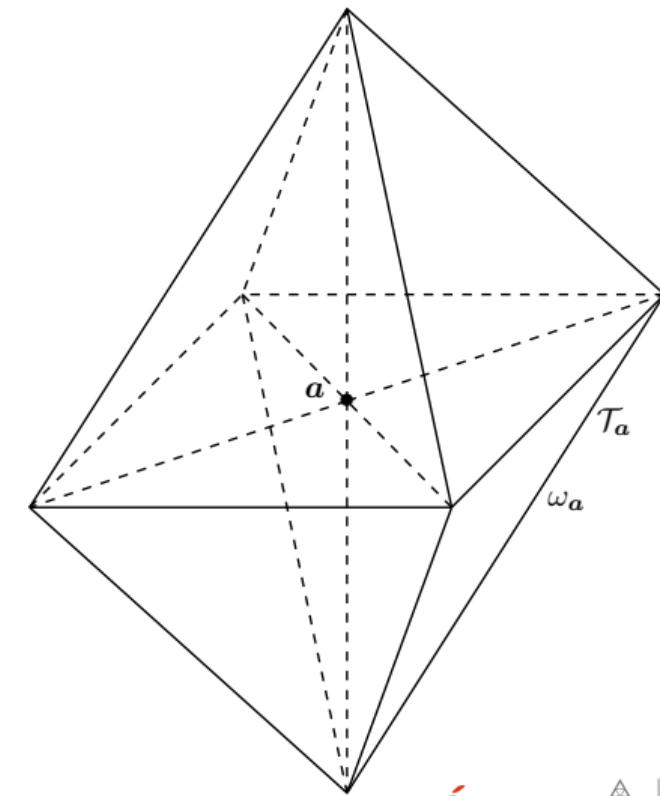
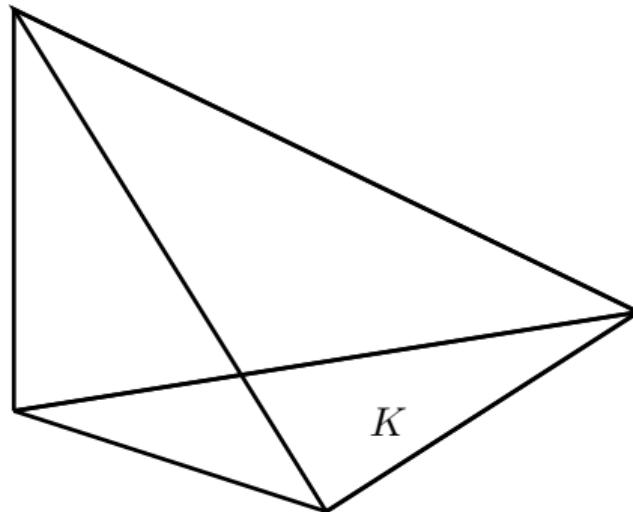
Meshes, elements, and patches



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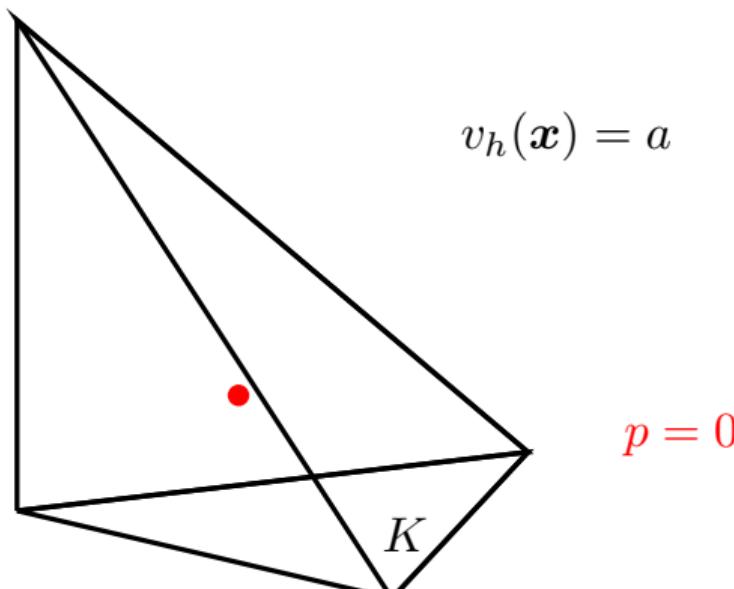


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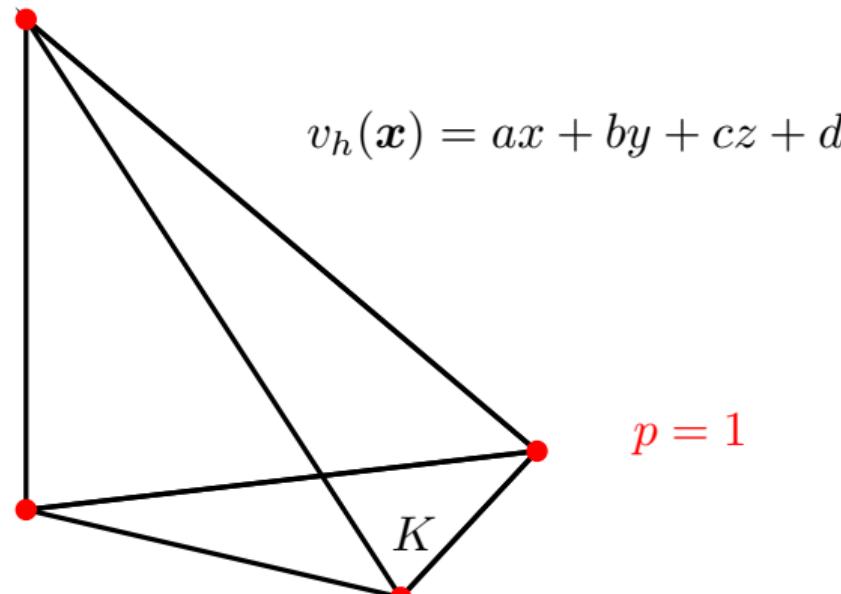


Polynomial space $\mathcal{P}_p(K)$, $p \geq 0$

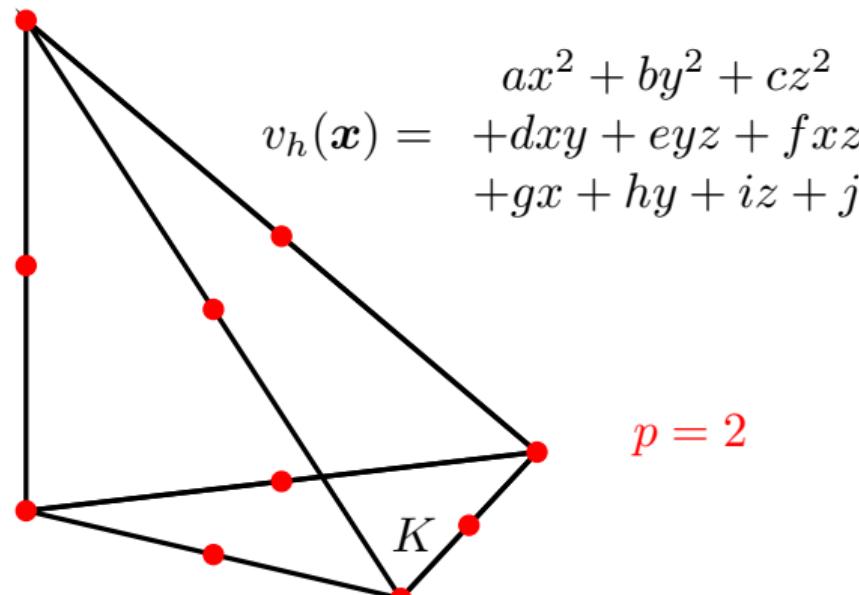
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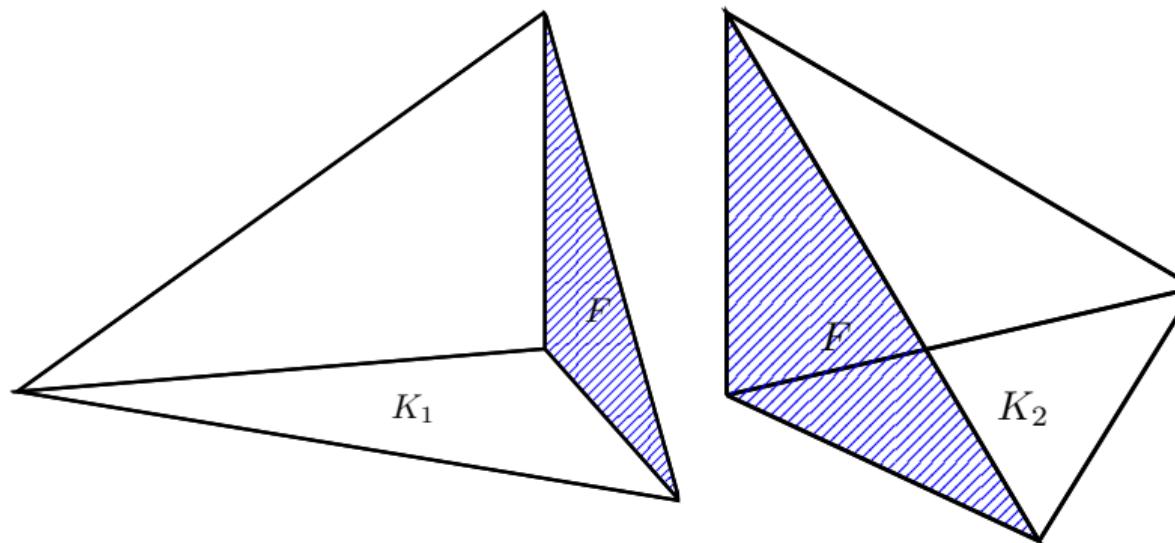


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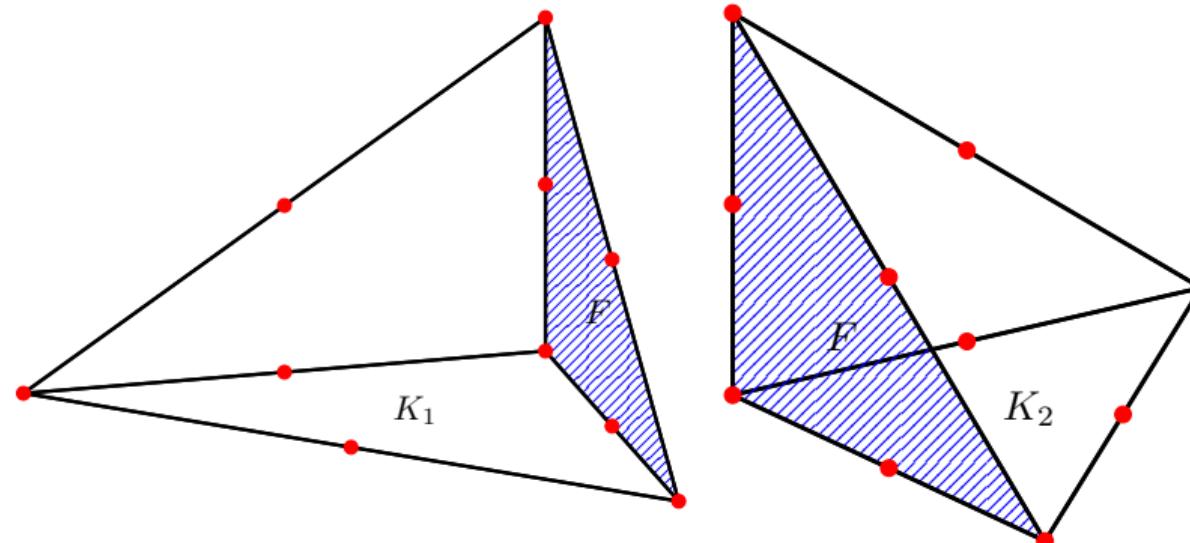
Lagrange piecewise polynomial space $\mathcal{P}_p(\mathcal{T}_h) \cap H^1(\Omega)$, $p \geq 1$

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- $v \in H^1(K_1 \cup K_2)$ iff $v \in H^1(K_1)$, $v \in H^1(K_2)$, and $(v|_{K_1})|_F = (v|_{K_2})|_F$
- ⇒ ensure this by putting sufficient DoFs at the face F

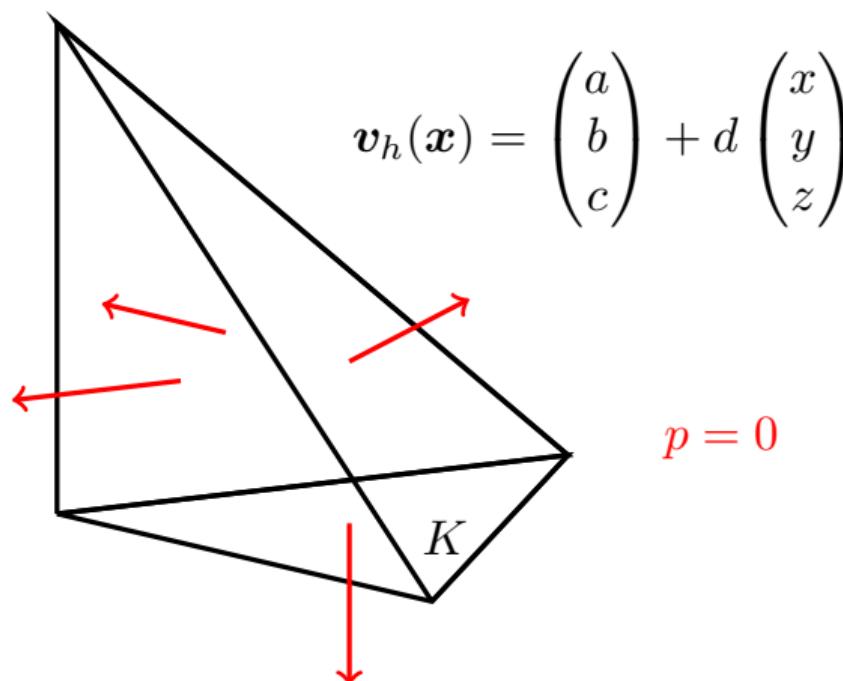
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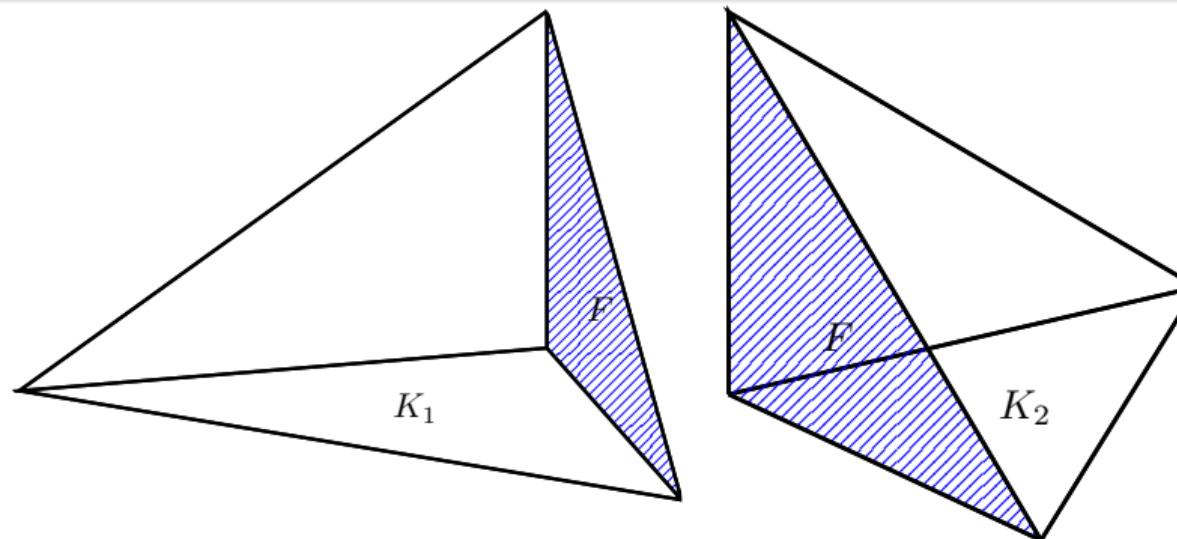
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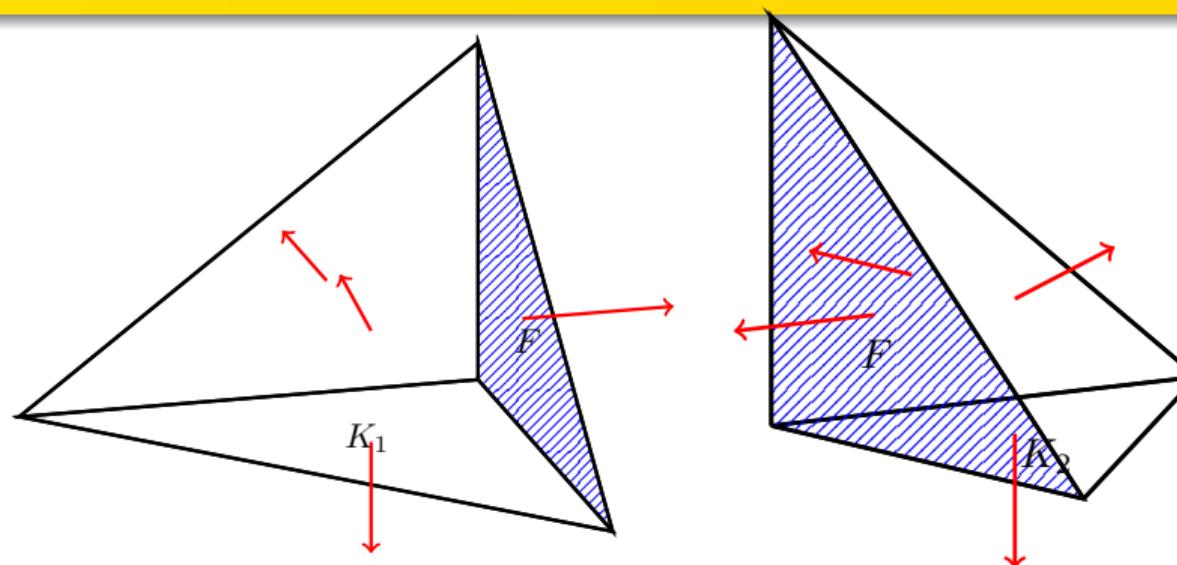


Raviart–Thomas piecewise polynomial space $\mathcal{RT}_p(\mathcal{T}_h) \cap \mathbf{H}(\text{div}, \Omega)$, $p \geq 0$



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Commuting de Rham diagram

Commuting de Rham diagram

$$H_{0,N}^1(\Omega) \xrightarrow{\nabla} H_{0,N}(\text{curl}, \Omega) \xrightarrow{\nabla \times} H_{0,N}(\text{div}, \Omega) \xrightarrow{\nabla \cdot} L_*^2(\Omega)$$

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$$\mathcal{P}_{p+1}(\mathcal{T}_h) \cap H_{0,N}^1(\Omega) \xrightarrow{\nabla} \mathcal{N}_p(\mathcal{T}_h) \cap \mathbf{H}_{0,N}(\text{curl}, \Omega) \xrightarrow{\nabla \times} \mathcal{RT}_p(\mathcal{T}_h) \cap \mathbf{H}_{0,N}(\text{div}, \Omega) \xrightarrow{\nabla \cdot} \mathcal{P}_p(\mathcal{T}_h) \cap L_*^2(\Omega)$$

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 \downarrow P_{h(p+1)}^{\text{grad}} & & \downarrow \boldsymbol{P}_{hp}^{\text{curl}} & & \downarrow \boldsymbol{P}_{hp}^{\text{div}} & & \downarrow \Pi_h^p \\
 \mathcal{P}_{p+1}(\mathcal{T}_h) \cap H_{0,N}^1(\Omega) & \xrightarrow{\nabla} & \mathcal{N}_p(\mathcal{T}_h) \cap \boldsymbol{H}_{0,N}(\text{curl}, \Omega) & \xrightarrow{\nabla \times} & \mathcal{RT}_p(\mathcal{T}_h) \cap \boldsymbol{H}_{0,N}(\text{div}, \Omega) & \xrightarrow{\nabla \cdot} & \mathcal{P}_p(\mathcal{T}_h) \cap L_*^2(\Omega)
 \end{array}$$

Commuting de Rham diagram: operator P_{hp}^{div}

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Properties of P_{hp}^{div}

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- ⑥ satisfies the **commuting property** expressed by the arrows
- ⑦ is **projector**, i.e., leaves intact piecewise polynomials

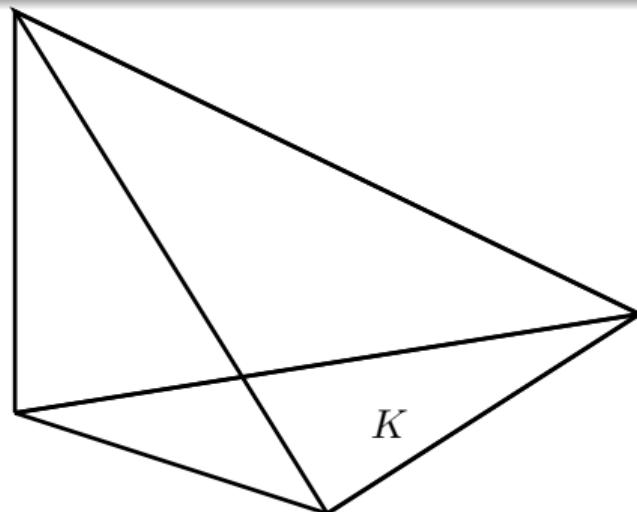
Stable local commuting projectors defined on $\mathbf{H}(\text{div})/\mathbf{H}(\text{curl})$

- Schöberl (2001, 2005): **not local**
- Christiansen and Winther (2008): **not local**
- Bespalov and Heuer (2011): low regularity but still **not $\mathbf{H}(\text{div})/\mathbf{H}(\text{curl})$**
- Falk and Winther (2014): **local** and $\mathbf{H}(\text{div})/\mathbf{H}(\text{curl})$ -stable but **not L^2 -stable**
- Ern and Guermond (2016): **not local**
- Ern and Guermond (2017): $\mathbf{H}(\text{div})/\mathbf{H}(\text{curl})$ regularity but **not commuting**
- Licht (2019): **essential boundary conditions** on a part of $\partial\Omega$
- Arnold and Guzmán (2021): **L^2 -stable**
- Ern, Gudi, Smears, and Vohralík (2022) & Chaumont-Frelet and Vohralík (2024): all the above properties
- **none is p -robust**

Stable local commuting projectors defined on $\mathbf{H}(\text{div})/\mathbf{H}(\text{curl})$

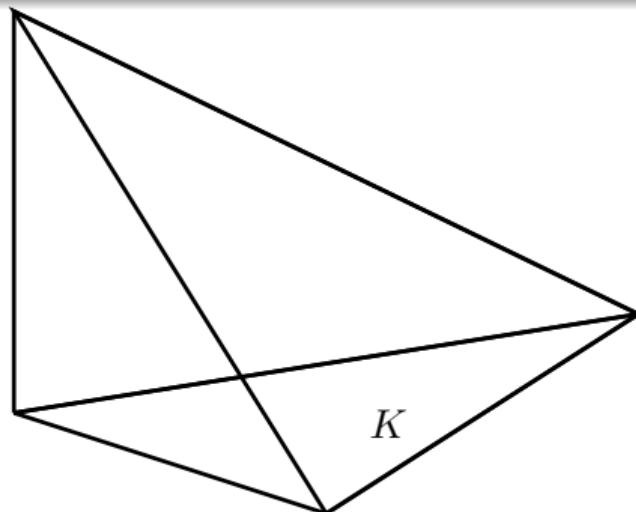
- Schöberl (2001, 2005): **not local**
- Christiansen and Winther (2008): **not local**
- Bespalov and Heuer (2011): low regularity but still **not $\mathbf{H}(\text{div})/\mathbf{H}(\text{curl})$**
- Falk and Winther (2014): **local** and $\mathbf{H}(\text{div})/\mathbf{H}(\text{curl})$ -stable but **not L^2 -stable**
- Ern and Guermond (2016): **not local**
- Ern and Guermond (2017): $\mathbf{H}(\text{div})/\mathbf{H}(\text{curl})$ regularity but **not commuting**
- Licht (2019): **essential boundary conditions** on a part of $\partial\Omega$
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Classical elementwise interpolation



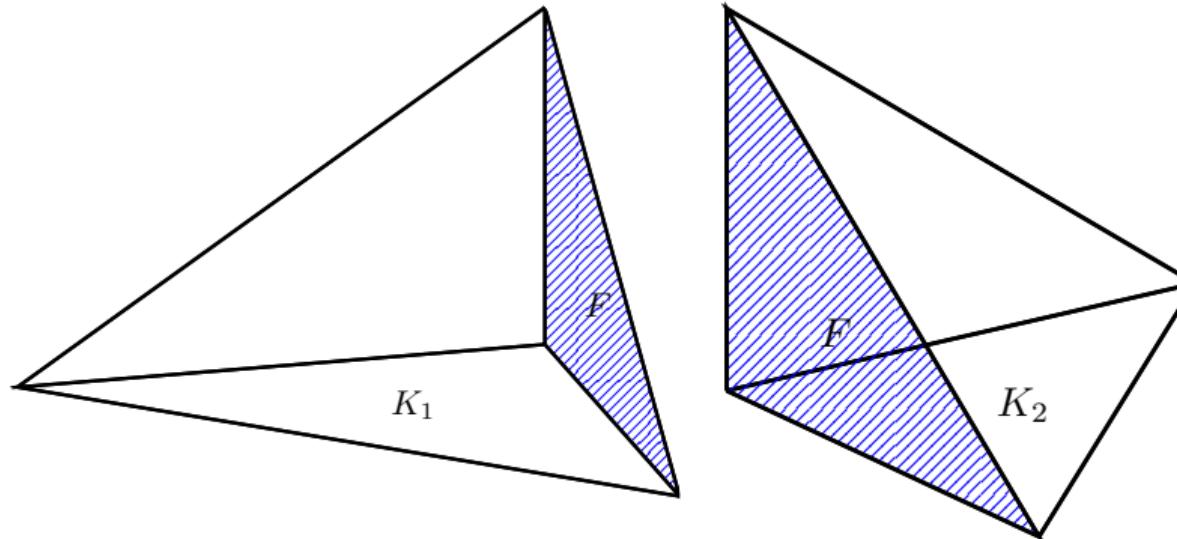
- $\|\mathbf{v} - \mathbf{v}_h\|^2 = \sum_{K \in \mathcal{T}_h} \|\mathbf{v} - \mathbf{v}_h\|_K^2$
- $\mathbf{v} \in \mathbf{H}(\text{div}, \Omega) \Rightarrow \mathbf{v}|_K \in \mathbf{H}(\text{div}, K) \Rightarrow$ so interpolate $\mathbf{v}|_K$, elementwise interpolation

Classical elementwise interpolation



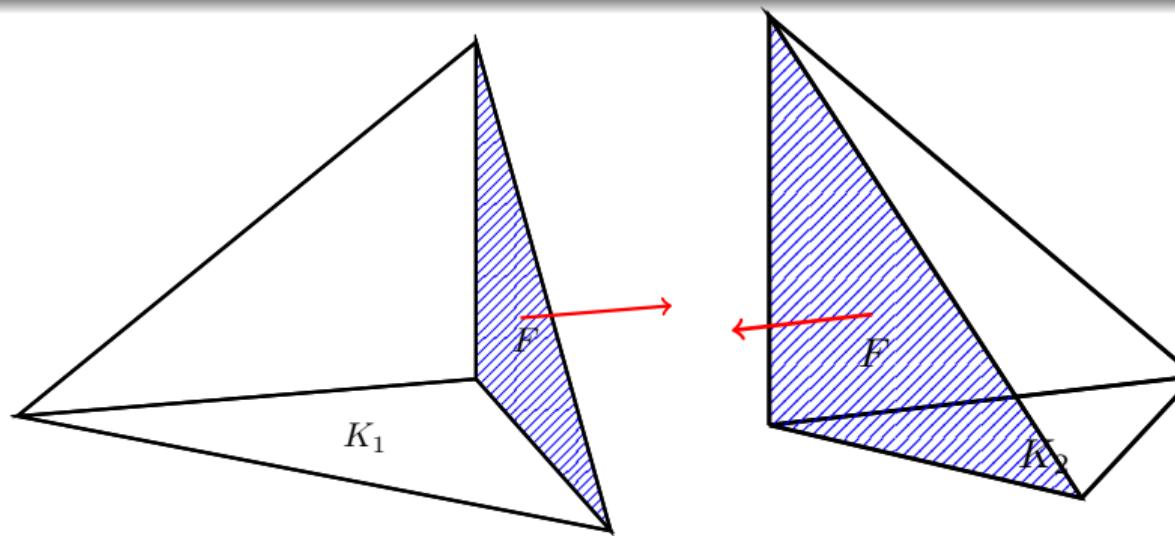
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Classical elementwise interpolation: conformity enforcement



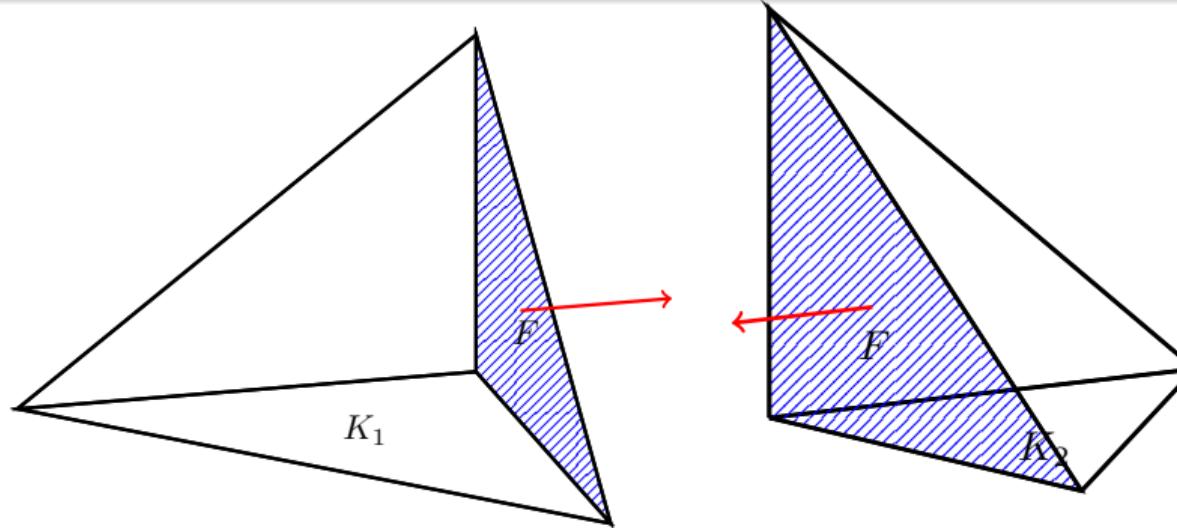
- $\mathbf{v}_h \in \mathcal{RT}_p(K_1, K_2) \cap \mathbf{H}(\text{div}, K_1 \cup K_2)$ iff $\mathbf{v}_h|_{K_1} \in \mathcal{RT}_p(K_1)$, $\mathbf{v}_h|_{K_2} \in \mathcal{RT}_p(K_2)$, and $(\mathbf{v}_h|_{K_1} \cdot \mathbf{n}_F)|_F = (\mathbf{v}_h|_{K_2} \cdot \mathbf{n}_F)|_F$

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Classical elementwise interpolation: conformity enforcement

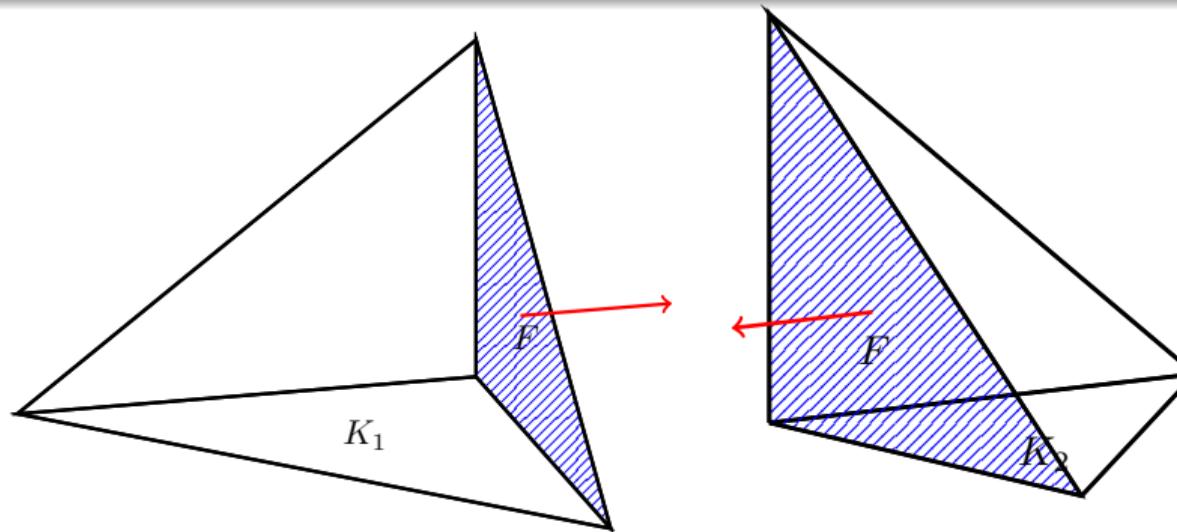


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Clash

Face normal trace integrals $\langle \mathbf{v} \cdot \mathbf{n}_F, 1 \rangle_F / |F|$ not available in $\mathbf{H}(\text{div})$.

Classical elementwise interpolation: conformity enforcement

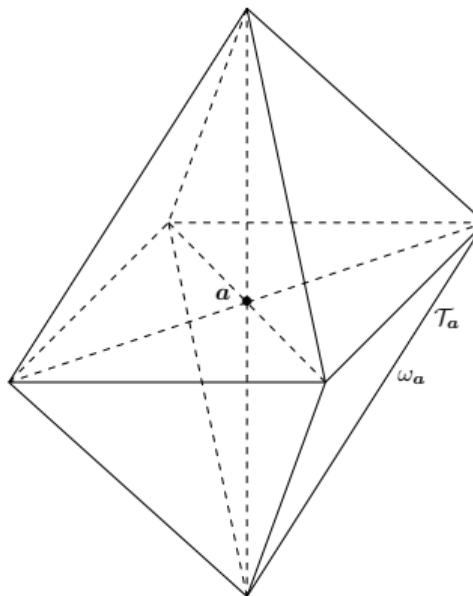


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Conclusion

Not a single tetrahedron $K \in \mathcal{T}_h$ if the minimal regularity $\mathbf{v} \in \mathbf{H}(\text{div}, \Omega)$ requested.

Classical patchwise interpolation (Clément)

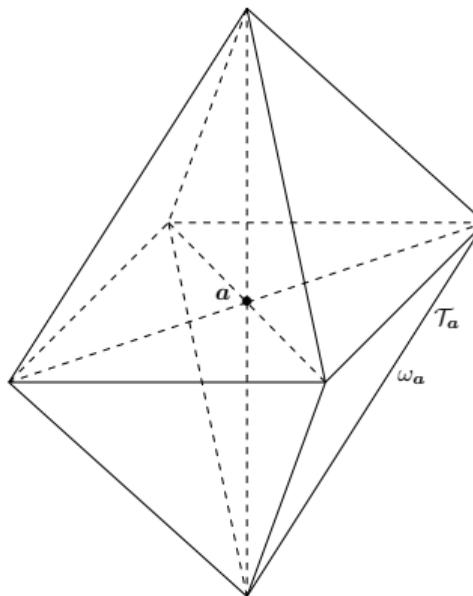


- some local-best polynomial approximation on ω_a
- values on ω_a as coefficients for basis functions supported on ω_a

Conclusion

Allows the **minimal regularity** but breaks the **projection property**, the **elementwise structure**, and the **commuting diagram**.

Classical patchwise interpolation (Clément)



- some local-best polynomial approximation on ω_a
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Conclusion

Allows the **minimal regularity** but breaks the **projection property**, the **elementwise structure**, and the **commuting diagram**.

A p -stable local commuting projector $\mathbf{P}_{hp}^{\text{div}}$

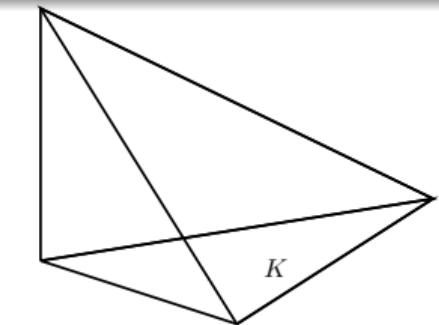
Let $\mathbf{v} \in \mathbf{H}_{0,\text{N}}(\text{div}, \Omega)$ be given.

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Let $\mathbf{v} \in \mathbf{H}_{0,\text{N}}(\text{div}, \Omega)$ be given.

- For each $K \in \mathcal{T}_h$, define the **elementwise L^2 -orthogonal projection**

$$\tau_h|_K := \arg \min_{\mathbf{v}_h \in \mathcal{RT}_p(K)} \|\mathbf{v} - \mathbf{v}_h\|_K$$



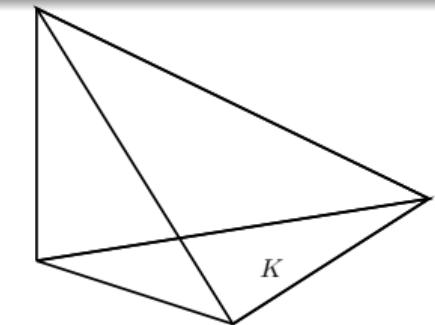
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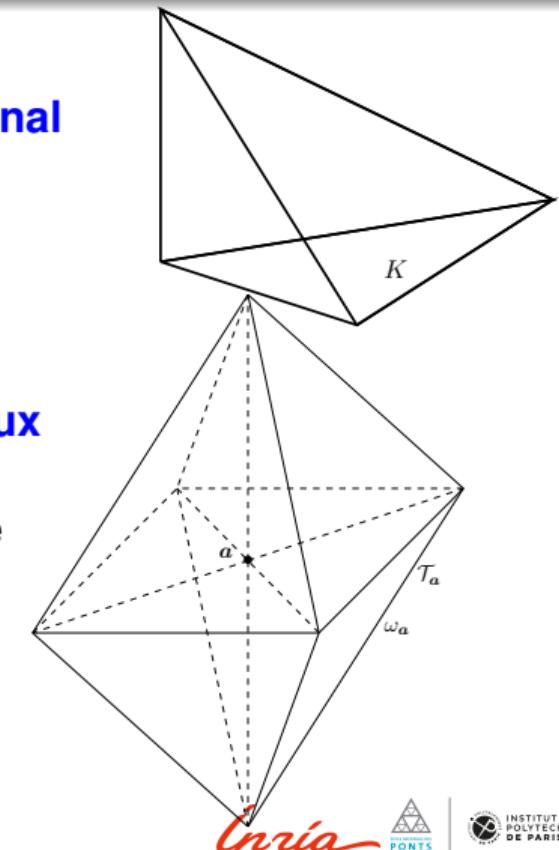
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- Obtain $\sigma_h \in \mathcal{RT}_p(\mathcal{T}_h) \cap \mathbf{H}_{0,\text{N}}(\text{div}, \Omega)$ by applying the **flux equilibration**

to τ_h ; in particular, $\sigma_h := \sum_{\mathbf{a} \in \mathcal{V}_h} \sigma_h^{\mathbf{a}}$, where $\sigma_h^{\mathbf{a}}$ are obtained by local energy minimizations on the vertex patch subdomains $\omega_{\mathbf{a}}$.



A p -stable local commuting projector $\mathbf{P}_{hp}^{\text{div}}$

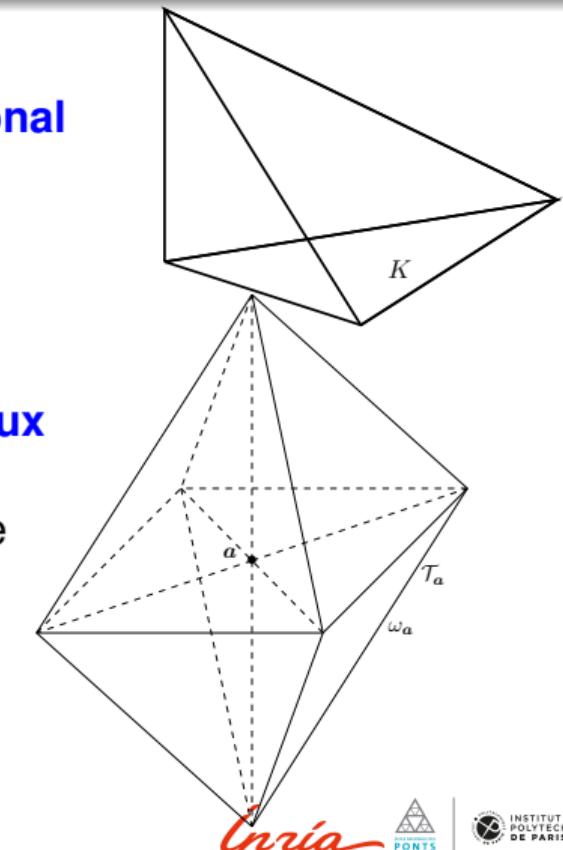
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A p -stable local commuting projector $\mathbf{P}_{hp}^{\text{div}}$

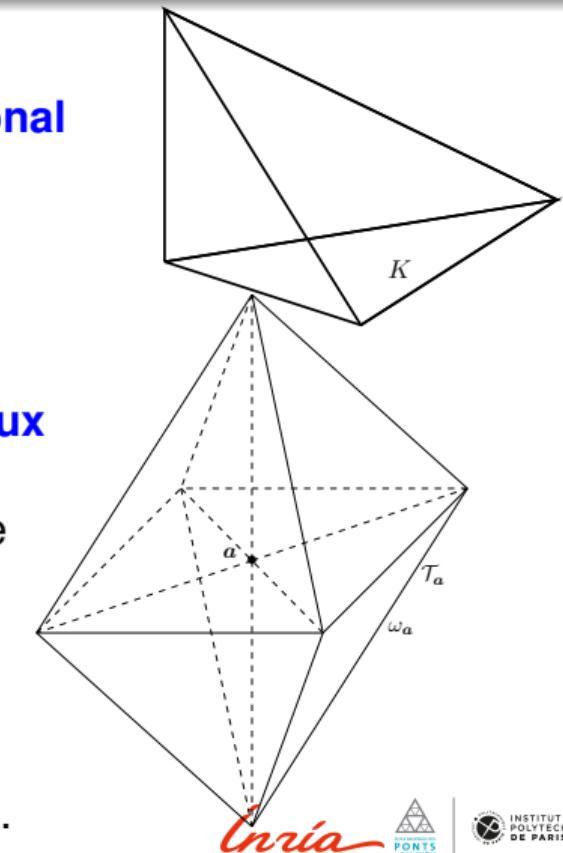
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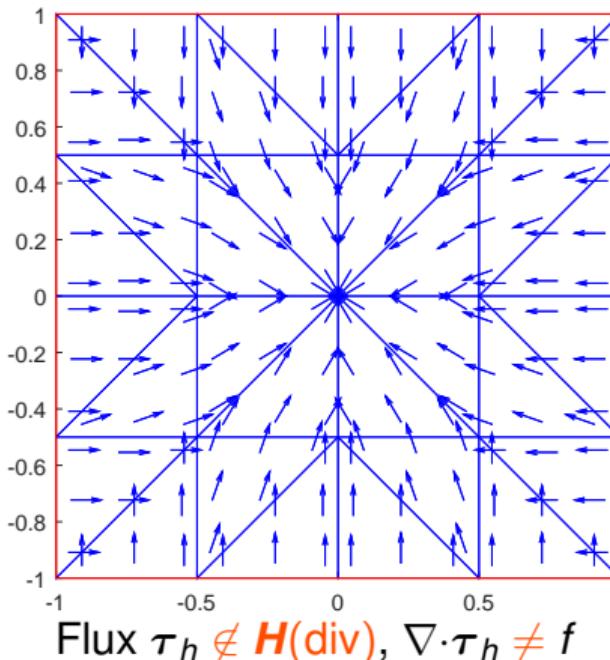
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- Apply a **p -stable decomposition** on extended vertex patch subdomains $\tilde{\omega}_{\mathbf{a}}$ to conforming projections of the reminder $\tau_h - \sigma_h \Rightarrow$ correction ζ_h ; $\mathbf{P}_{hp}^{\text{div}}(\mathbf{v}) := \sigma_h + \zeta_h$.



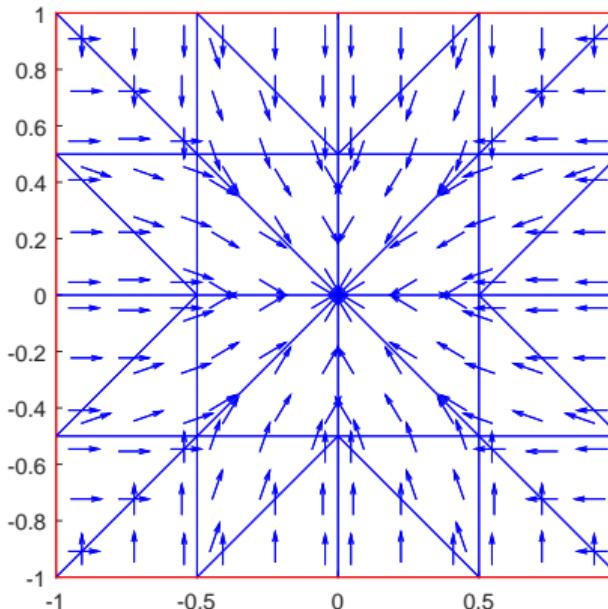
Equilibration in $H(\text{div})$

Bossavit (1998), Destuynder & Métivet (1998), Braess & Schöberl (2008), Ern & V. (2013)



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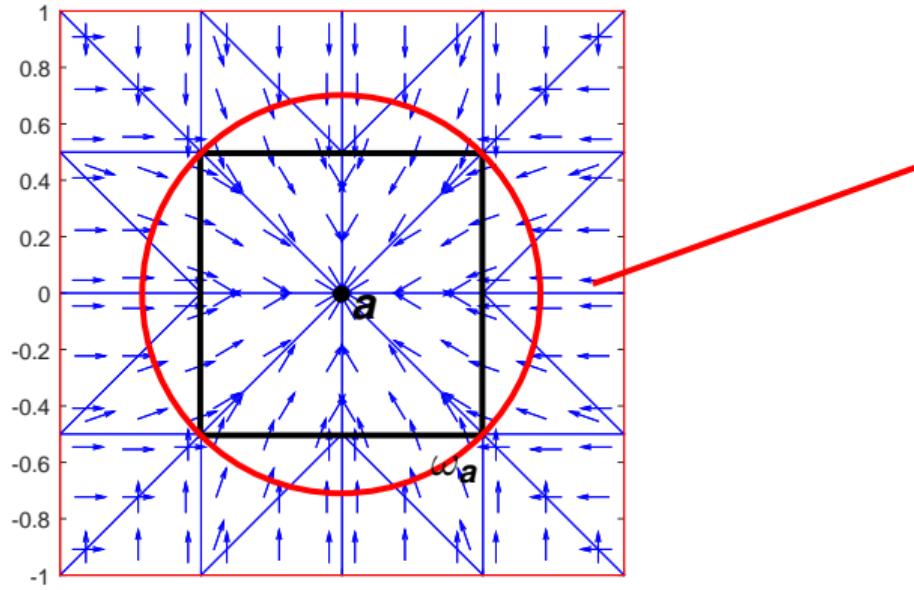
Flux $\tau_h \notin H(\text{div})$, $\nabla \cdot \tau_h \neq f$

$$\underbrace{\tau_h \in \mathcal{RT}_p(\mathcal{T}_h), f \in \mathcal{P}_p(\mathcal{T}_h)}_{}$$

$$(f, \psi^a)_{\omega_a} + (\tau_h, \nabla \psi^a)_{\omega_a} = 0 \quad \forall a \in \mathcal{V}_h^{\text{int}}$$

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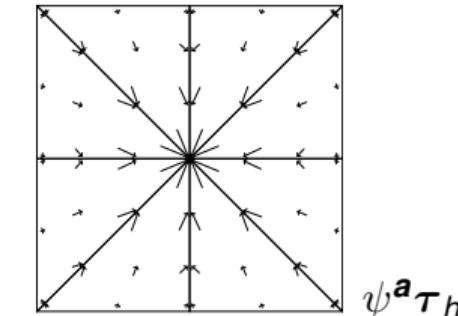
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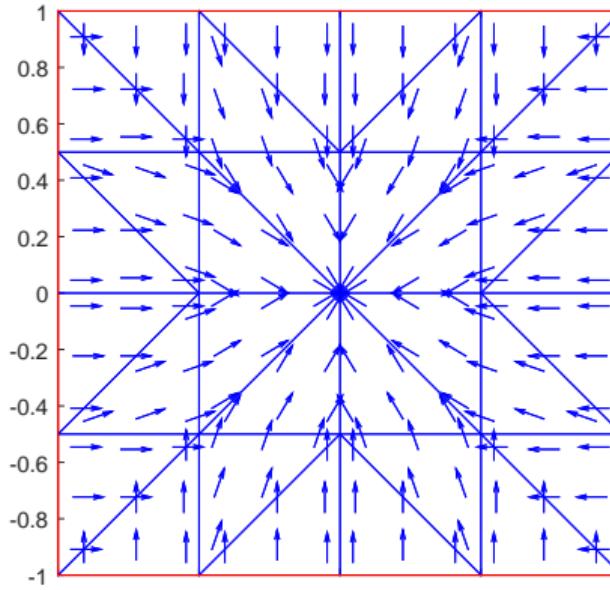
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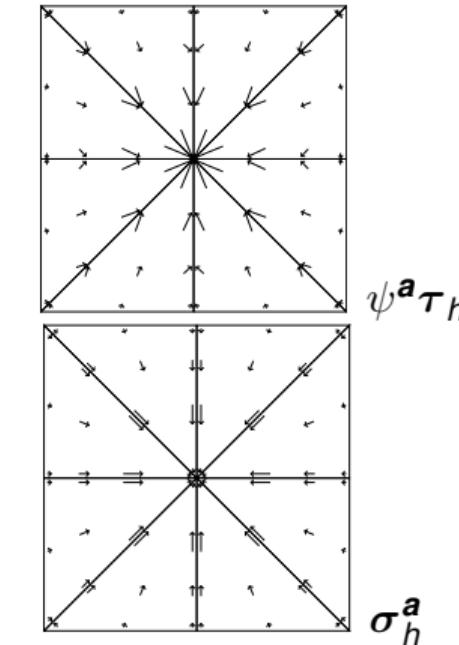
$\psi^a \tau_h$

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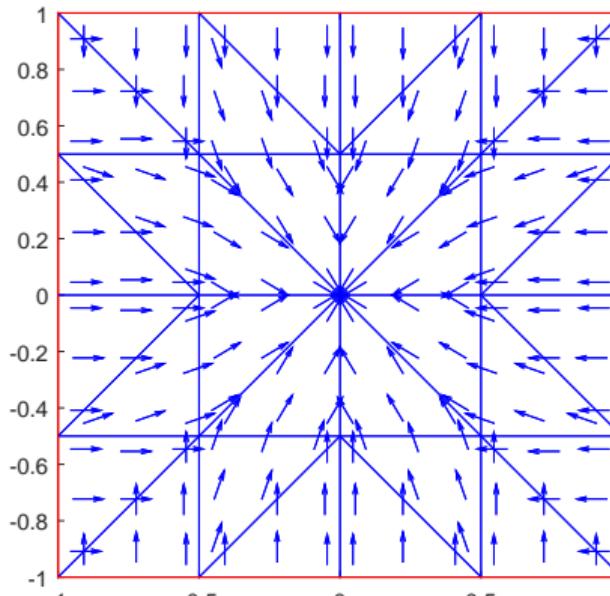
σ^a_h

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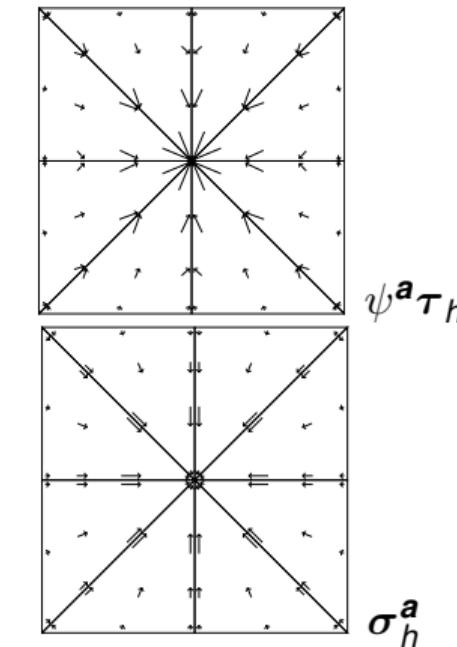
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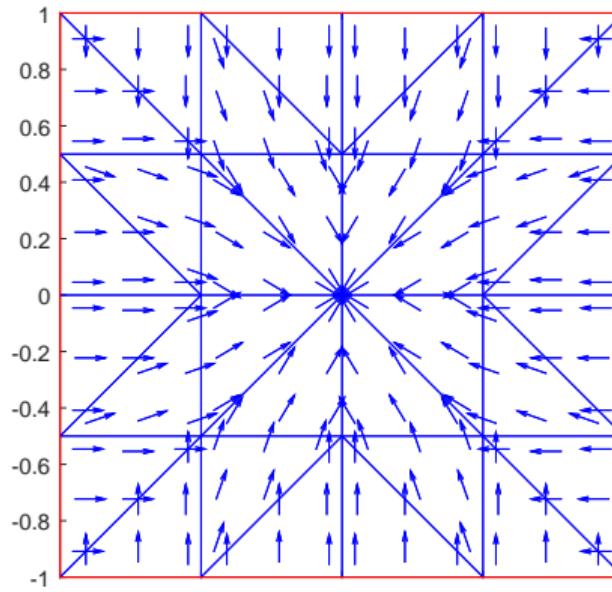
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$$\sigma_h^a := \arg \min_{\mathbf{v}_h \in \mathcal{RT}_{p+1}(\mathcal{T}_a) \cap H_0(\text{div}, \omega_a)} \| \psi^a \tau_h - \mathbf{v}_h \|_{\omega_a}^2$$

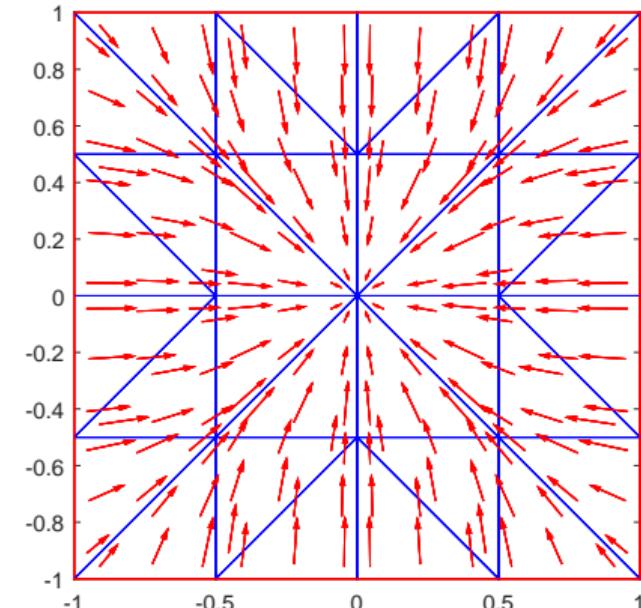
$$\nabla \cdot \mathbf{v}_h = f \psi^a + \tau_h \cdot \nabla \psi^a$$

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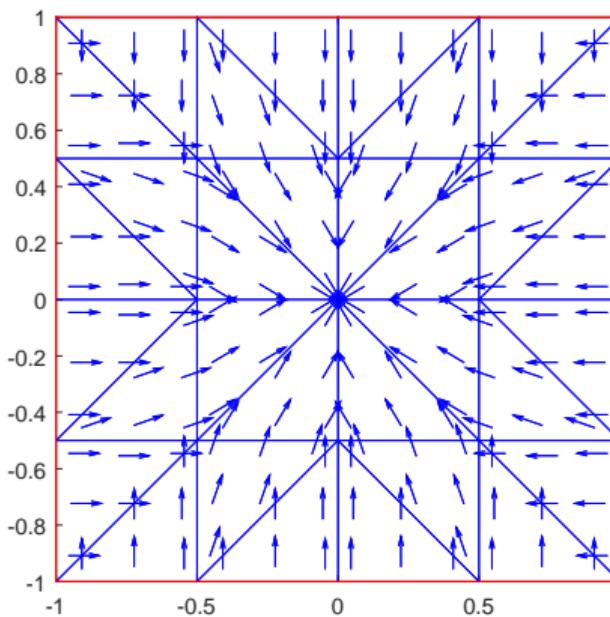


Equilibrated flux rec. σ_h

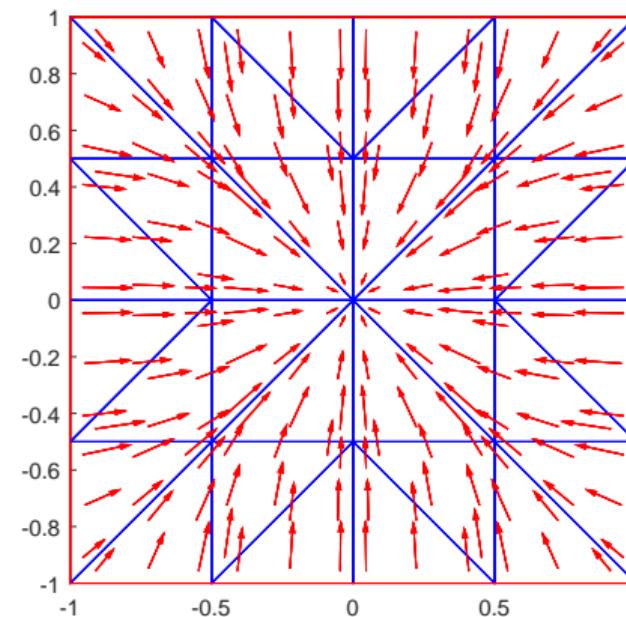
$$\underbrace{\tau_h \in \mathcal{RT}_p(\mathcal{T}_h), f \in \mathcal{P}_p(\mathcal{T}_h)}_{(f, \psi^a)_{\omega a} + (\tau_h, \nabla \psi^a)_{\omega a} = 0 \quad \forall a \in \mathcal{V}_h^{\text{int}}} \rightarrow \sigma_h := \sum_{a \in \mathcal{V}_h} \sigma_h^a \in \mathcal{RT}_{p+1}(\mathcal{T}_h) \cap H(\text{div}), \nabla \cdot \sigma_h = f$$

Equilibration in $H(\text{div})$

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(patchwise **flux equilibration**)

$$\sigma_h := \sum_{\mathbf{a} \in \mathcal{V}_h} \sigma_h^{\mathbf{a}} \quad (\text{gluing patchwise contributions})$$

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$(\mathbf{v}_h, \mathbf{r}_h)_K = (I_{\mathcal{RT}}^{h,p}(\psi^{\mathbf{a}} \tau_h), \mathbf{r}_h)_K \quad \forall \mathbf{r}_h \in [\mathcal{P}_0(K)]^d, \forall K \in \mathcal{T}_{\mathbf{a}}$ if $p \geq 1$

(patchwise **flux equilibration** with an **additional orthogonality constraint**)

$$\sigma_h := \sum_{\mathbf{a} \in \mathcal{V}_h} \sigma_h^{\mathbf{a}} \quad (\text{gluing patchwise contributions})$$

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$$③ \quad \zeta_h^{\mathbf{a}} := \arg \min_{\substack{\mathbf{v}_h \in \mathcal{RT}_p(\tilde{\mathcal{T}}_{\mathbf{a}}) \cap H_{0,N}(\text{div}, \tilde{\omega}_{\mathbf{a}}) \\ \nabla \cdot \mathbf{v}_h = \mathbf{0}}} \|\tau_h - \sigma_h - \mathbf{v}_h\|_{\tilde{\omega}_{\mathbf{a}}}$$

$$(\mathbf{v}_h, \mathbf{r}_h)_K = (\tau_h - \sigma_h, \mathbf{r}_h)_K = 0 \quad \forall \mathbf{r}_h \in [\mathcal{P}_0(K)]^d, \forall K \in \tilde{\mathcal{T}}_{\mathbf{a}}$$

(patchwise divergence-free remainder equilibration with an additional constraint)

A p -stable local commuting projector $\mathbf{P}_{hp}^{\text{div}}$

$$① \quad \tau_h|_K := \arg \min_{\mathbf{v}_h \in \mathcal{RT}_p(K)} \|\mathbf{v} - \mathbf{v}_h\|_K \quad (\text{elementwise } L^2\text{-orthogonal projection})$$

$$② \quad \sigma_h^{\mathbf{a}} := \arg \min_{\substack{\mathbf{v}_h \in \mathcal{RT}_p(\mathcal{T}_{\mathbf{a}}) \cap \mathbf{H}_0(\text{div}, \omega_{\mathbf{a}}) \\ \nabla \cdot \mathbf{v}_h = \Pi_h^p(\psi^{\mathbf{a}} \nabla \cdot \mathbf{v} + \nabla \psi^{\mathbf{a}} \cdot \mathbf{v})}} \|I_{\mathcal{RT}}^{h,p}(\psi^{\mathbf{a}} \tau_h) - \mathbf{v}_h\|_{\omega_{\mathbf{a}}} \\ (\mathbf{v}_h, \mathbf{r}_h)_K = (I_{\mathcal{RT}}^{h,p}(\psi^{\mathbf{a}} \tau_h), \mathbf{r}_h)_K \quad \forall \mathbf{r}_h \in [\mathcal{P}_0(K)]^d, \forall K \in \mathcal{T}_{\mathbf{a}} \quad \text{if } p \geq 1$$

(patchwise **flux equilibration** with an **additional orthogonality constraint**)

$$\sigma_h := \sum_{\mathbf{a} \in \mathcal{V}_h} \sigma_h^{\mathbf{a}} \quad (\text{gluing patchwise contributions})$$

$$③ \quad \zeta_h^{\mathbf{a}} := \arg \min_{\substack{\mathbf{v}_h \in \mathcal{RT}_p(\tilde{\mathcal{T}}_{\mathbf{a}}) \cap \mathbf{H}_{0,N}(\text{div}, \tilde{\omega}_{\mathbf{a}}) \\ \nabla \cdot \mathbf{v}_h = \mathbf{0}}} \|\tau_h - \sigma_h - \mathbf{v}_h\|_{\tilde{\omega}_{\mathbf{a}}} \\ (\mathbf{v}_h, \mathbf{r}_h)_K = (\tau_h - \sigma_h, \mathbf{r}_h)_K = 0 \quad \forall \mathbf{r}_h \in [\mathcal{P}_0(K)]^d, \forall K \in \tilde{\mathcal{T}}_{\mathbf{a}}$$

(patchwise divergence-free remainder equilibration with an additional constraint)

$$\zeta_h^{\mathbf{a}} = \sum_{\mathbf{b} \in \tilde{\mathcal{V}}_{\mathbf{a}}} \zeta_h^{\mathbf{a}, \mathbf{b}} \text{ with in particular } \zeta_h^{\mathbf{a}, \mathbf{a}} \in \mathcal{RT}_p(\mathcal{T}_{\mathbf{a}}) \cap \mathbf{H}_0(\text{div}, \omega_{\mathbf{a}}), \nabla \cdot \zeta_h^{\mathbf{a}, \mathbf{a}} = 0 \\ (\text{patchwise } p\text{-stable remainder decomposition})$$

A p -stable local commuting projector $\mathbf{P}_{hp}^{\text{div}}$

$$① \quad \tau_h|_K := \arg \min_{\mathbf{v}_h \in \mathcal{RT}_p(K)} \|\mathbf{v} - \mathbf{v}_h\|_K \quad (\text{elementwise } L^2\text{-orthogonal projection})$$

$$② \quad \sigma_h^{\mathbf{a}} := \arg \min_{\substack{\mathbf{v}_h \in \mathcal{RT}_p(\mathcal{T}_a) \cap \mathbf{H}_0(\text{div}, \omega_a) \\ \nabla \cdot \mathbf{v}_h = \mathbf{0} \\ (\mathbf{v}_h, \mathbf{r}_h)_K = (I_{\mathcal{RT}}^{h,p}(\psi^{\mathbf{a}} \tau_h), \mathbf{r}_h)_K}} \|I_{\mathcal{RT}}^{h,p}(\psi^{\mathbf{a}} \tau_h) - \mathbf{v}_h\|_{\omega_a}$$

$\forall \mathbf{r}_h \in [\mathcal{P}_0(K)]^d, \forall K \in \mathcal{T}_a \quad \text{if } p \geq 1$

(patchwise **flux equilibration** with an **additional orthogonality constraint**)

$$\sigma_h := \sum_{\mathbf{a} \in \mathcal{V}_h} \sigma_h^{\mathbf{a}} \quad (\text{gluing patchwise contributions})$$

$$③ \quad \zeta_h^{\mathbf{a}} := \arg \min_{\substack{\mathbf{v}_h \in \mathcal{RT}_p(\tilde{\mathcal{T}}_a) \cap \mathbf{H}_{0,N}(\text{div}, \tilde{\omega}_a) \\ \nabla \cdot \mathbf{v}_h = \mathbf{0} \\ (\mathbf{v}_h, \mathbf{r}_h)_K = (\tau_h - \sigma_h, \mathbf{r}_h)_K = 0}} \|\tau_h - \sigma_h - \mathbf{v}_h\|_{\tilde{\omega}_a}$$

$\forall \mathbf{r}_h \in [\mathcal{P}_0(K)]^d, \forall K \in \tilde{\mathcal{T}}_a$

(patchwise divergence-free remainder equilibration with an additional constraint)

$$\zeta_h^{\mathbf{a}} = \sum_{\mathbf{b} \in \tilde{\mathcal{V}}_a} \zeta_h^{\mathbf{a}, \mathbf{b}} \text{ with in particular } \zeta_h^{\mathbf{a}, \mathbf{a}} \in \mathcal{RT}_p(\mathcal{T}_a) \cap \mathbf{H}_0(\text{div}, \omega_a), \nabla \cdot \zeta_h^{\mathbf{a}, \mathbf{a}} = 0$$

(patchwise **p -stable** remainder **decomposition**)

$$\zeta_h := \sum_{\mathbf{a} \in \mathcal{V}_h} \zeta_h^{\mathbf{a}, \mathbf{a}}$$

(gluing patchwise correction contributions)

A p -stable local commuting projector $\mathbf{P}_{hp}^{\text{div}} := \boldsymbol{\sigma}_h + \boldsymbol{\zeta}_h$

$$① \quad \tau_h|_K := \arg \min_{\mathbf{v}_h \in \mathcal{RT}_p(K)} \|\mathbf{v} - \mathbf{v}_h\|_K \quad (\text{elementwise } L^2\text{-orthogonal projection})$$

$$② \quad \boldsymbol{\sigma}_h^{\mathbf{a}} := \arg \min_{\substack{\mathbf{v}_h \in \mathcal{RT}_p(\mathcal{T}_a) \cap \mathbf{H}_0(\text{div}, \omega_a) \\ \nabla \cdot \mathbf{v}_h = \mathbf{I}_{\mathcal{RT}}^{h,p}(\psi^{\mathbf{a}} \nabla \cdot \mathbf{v} + \nabla \psi^{\mathbf{a}} \cdot \mathbf{v})}} \|\mathbf{I}_{\mathcal{RT}}^{h,p}(\psi^{\mathbf{a}} \tau_h) - \mathbf{v}_h\|_{\omega_a}$$

$$(\mathbf{v}_h, \mathbf{r}_h)_K = (\mathbf{I}_{\mathcal{RT}}^{h,p}(\psi^{\mathbf{a}} \tau_h), \mathbf{r}_h)_K \quad \forall \mathbf{r}_h \in [\mathcal{P}_0(K)]^d, \forall K \in \mathcal{T}_a \quad \text{if } p \geq 1$$

(patchwise **flux equilibration** with an **additional orthogonality constraint**)

$$\boldsymbol{\sigma}_h := \sum_{\mathbf{a} \in \mathcal{V}_h} \boldsymbol{\sigma}_h^{\mathbf{a}} \quad (\text{gluing patchwise contributions})$$

$$③ \quad \boldsymbol{\zeta}_h^{\mathbf{a}} := \arg \min_{\substack{\mathbf{v}_h \in \mathcal{RT}_p(\tilde{\mathcal{T}}_a) \cap \mathbf{H}_{0,N}(\text{div}, \tilde{\omega}_a) \\ \nabla \cdot \mathbf{v}_h = \mathbf{0}}} \|\tau_h - \boldsymbol{\sigma}_h - \mathbf{v}_h\|_{\tilde{\omega}_a}$$

$$(\mathbf{v}_h, \mathbf{r}_h)_K = (\tau_h - \boldsymbol{\sigma}_h, \mathbf{r}_h)_K = 0 \quad \forall \mathbf{r}_h \in [\mathcal{P}_0(K)]^d, \forall K \in \tilde{\mathcal{T}}_a$$

(patchwise divergence-free remainder equilibration with an additional constraint)

$$\boldsymbol{\zeta}_h^{\mathbf{a}} = \sum_{\mathbf{b} \in \tilde{\mathcal{V}}_a} \boldsymbol{\zeta}_h^{\mathbf{a}, \mathbf{b}} \text{ with in particular } \boldsymbol{\zeta}_h^{\mathbf{a}, \mathbf{a}} \in \mathcal{RT}_p(\mathcal{T}_a) \cap \mathbf{H}_0(\text{div}, \omega_a), \nabla \cdot \boldsymbol{\zeta}_h^{\mathbf{a}, \mathbf{a}} = 0$$

$$(\text{patchwise } p\text{-stable remainder decomposition})$$

$$\boldsymbol{\zeta}_h := \sum_{\mathbf{a} \in \mathcal{V}_h} \boldsymbol{\zeta}_h^{\mathbf{a}, \mathbf{a}}$$

$$(\text{gluing patchwise correction contributions})$$

A p -stable local commuting projector $\mathbf{P}_{hp}^{\text{div}}$

Theorem $(\mathbf{P}_{hp}^{\text{div}} : \mathbf{H}_{0,\text{N}}(\text{div}, \Omega) \rightarrow \mathcal{RT}_p(\mathcal{T}_h) \cap \mathbf{H}_{0,\text{N}}(\text{div}, \Omega))$

$\mathbf{P}_{hp}^{\text{div}}$ is **commuting** since

$$\nabla \cdot \mathbf{P}_{hp}^{\text{div}}(\mathbf{v}) = \Pi_h^p(\nabla \cdot \mathbf{v}) \quad \forall \mathbf{v} \in \mathbf{H}_{0,\text{N}}(\text{div}, \Omega),$$

A p -stable local commuting projector $\mathbf{P}_{hp}^{\text{div}}$

Theorem $(\mathbf{P}_{hp}^{\text{div}} : \mathbf{H}_{0,\text{N}}(\text{div}, \Omega) \rightarrow \mathcal{RT}_p(\mathcal{T}_h) \cap \mathbf{H}_{0,\text{N}}(\text{div}, \Omega))$

$\mathbf{P}_{hp}^{\text{div}}$ is **commuting** and **projector** since

$$\begin{aligned}\nabla \cdot \mathbf{P}_{hp}^{\text{div}}(\mathbf{v}) &= \Pi_h^p(\nabla \cdot \mathbf{v}) & \forall \mathbf{v} \in \mathbf{H}_{0,\text{N}}(\text{div}, \Omega), \\ \mathbf{P}_{hp}^{\text{div}}(\mathbf{v}) &= \mathbf{v} & \forall \mathbf{v} \in \mathcal{RT}_p(\mathcal{T}_h) \cap \mathbf{H}_{0,\text{N}}(\text{div}, \Omega).\end{aligned}$$

A p -stable local commuting projector $\mathbf{P}_{hp}^{\text{div}}$

Theorem $(\mathbf{P}_{hp}^{\text{div}} : \mathbf{H}_{0,\text{N}}(\text{div}, \Omega) \rightarrow \mathcal{RT}_p(\mathcal{T}_h) \cap \mathbf{H}_{0,\text{N}}(\text{div}, \Omega))$

$\mathbf{P}_{hp}^{\text{div}}$ is **commuting** and **projector** since

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It has **p -robust local-best approximation property**

since, for all $\mathbf{v} \in \mathbf{H}_{0,\text{N}}(\text{div}, \Omega)$ and $K \in \mathcal{T}_h$,

$$\begin{aligned}\|\mathbf{v} - \mathbf{P}_{hp}^{\text{div}}(\mathbf{v})\|_K^2 + \left(\frac{h_K}{p+1} \|\nabla \cdot (\mathbf{v} - \mathbf{P}_{hp}^{\text{div}}(\mathbf{v}))\|_K \right)^2 \\ \lesssim \sum_{L \in \tilde{\mathcal{T}}_K} \left\{ \min_{\mathbf{v}_h \in \mathcal{RT}_p(L)} \|\mathbf{v} - \mathbf{v}_h\|_L^2 + \left(\frac{h_L}{p+1} \|\nabla \cdot \mathbf{v} - \Pi_h^p(\nabla \cdot \mathbf{v})\|_L \right)^2 \right\},\end{aligned}$$

A p -stable local commuting projector $\mathbf{P}_{hp}^{\text{div}}$

Theorem $(\mathbf{P}_{hp}^{\text{div}} : \mathbf{H}_{0,\text{N}}(\text{div}, \Omega) \rightarrow \mathcal{RT}_p(\mathcal{T}_h) \cap \mathbf{H}_{0,\text{N}}(\text{div}, \Omega))$

$\mathbf{P}_{hp}^{\text{div}}$ is **commuting** and **projector** since

$$\begin{aligned}\nabla \cdot \mathbf{P}_{hp}^{\text{div}}(\mathbf{v}) &= \Pi_h^p(\nabla \cdot \mathbf{v}) & \forall \mathbf{v} \in \mathbf{H}_{0,\text{N}}(\text{div}, \Omega), \\ \mathbf{P}_{hp}^{\text{div}}(\mathbf{v}) &= \mathbf{v} & \forall \mathbf{v} \in \mathcal{RT}_p(\mathcal{T}_h) \cap \mathbf{H}_{0,\text{N}}(\text{div}, \Omega).\end{aligned}$$

It has **p -robust local-best approximation property** and is **p -robustly L^2 stable up to data oscillation**, since, for all $\mathbf{v} \in \mathbf{H}_{0,\text{N}}(\text{div}, \Omega)$ and $K \in \mathcal{T}_h$,

$$\begin{aligned}\|\mathbf{v} - \mathbf{P}_{hp}^{\text{div}}(\mathbf{v})\|_K^2 + \left(\frac{h_K}{p+1} \|\nabla \cdot (\mathbf{v} - \mathbf{P}_{hp}^{\text{div}}(\mathbf{v}))\|_K \right)^2 \\ \lesssim \sum_{L \in \tilde{\mathcal{T}}_K} \left\{ \min_{\mathbf{v}_h \in \mathcal{RT}_p(L)} \|\mathbf{v} - \mathbf{v}_h\|_L^2 + \left(\frac{h_L}{p+1} \|\nabla \cdot \mathbf{v} - \Pi_h^p(\nabla \cdot \mathbf{v})\|_L \right)^2 \right\},\end{aligned}$$

$$\|\mathbf{P}_{hp}^{\text{div}}(\mathbf{v})\|_K^2 \lesssim \sum_{L \in \tilde{\mathcal{T}}_K} \left\{ \|\mathbf{v}\|_L^2 + \left(\frac{h_L}{p+1} \|\nabla \cdot \mathbf{v} - \Pi_h^p(\nabla \cdot \mathbf{v})\|_L \right)^2 \right\}.$$

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- *p*-stable local commuting projector in $H(\text{div}, \Omega)$
- ***p*-stable local projector in $H^1(\Omega)$**

3 *p*-robust global-best–local-best equivalence

- *p*-robust global-best–local-best equivalence in $H^1(\Omega)$
- *p*-robust global-best–local-best equivalence in $H(\text{div}, \Omega)$

4 Optimal elementwise *hp* approximation error estimates

- Optimal elementwise *hp* approximation error estimates in $H^1(\Omega)$
- Optimal elementwise *hp* approximation error estimates in $H(\text{div}, \Omega)$

5 Tools

- Equilibration in $H(\text{div})$
- *p*-stable (broken) polynomial extensions
- *p*-stable decompositions

6 Conclusions

A p -stable local projector $P_{h(p+1)}^{\text{grad}}$

Theorem $(P_{h(p+1)}^{\text{grad}} : H_{0,\text{N}}^1(\Omega) \rightarrow \mathcal{P}_{p+1}(\mathcal{T}_h) \cap H_{0,\text{N}}^1(\Omega))$

$P_{h(p+1)}^{\text{grad}}$ is a **projector** since

$$P_{h(p+1)}^{\text{grad}}(v) = v \quad \forall v \in \mathcal{P}_{p+1}(\mathcal{T}_h) \cap H_{0,\text{N}}^1(\Omega).$$

A p -stable local projector $P_{h(p+1)}^{\text{grad}}$

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It has **p -robust local-best approximation property**

since, for all $v \in H_{0,\text{N}}^1(\Omega)$ and $K \in \mathcal{T}_h$,

$$\|\nabla(v - P_{h(p+1)}^{\text{grad}}(v))\|_K^2 \lesssim \sum_{L \in \tilde{\mathcal{T}}_K} \min_{v_h \in \mathcal{P}_{p+1}(L)} \|\nabla(v - v_h)\|_L^2,$$

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It has **p -robust local-best approximation property** and is **p -robustly H^1 stable** since, for all $v \in H_{0,\text{N}}^1(\Omega)$ and $K \in \mathcal{T}_h$,

$$\|\nabla(v - P_{h(p+1)}^{\text{grad}}(v))\|_K^2 \lesssim \sum_{L \in \tilde{\mathcal{T}}_K} \min_{v_h \in \mathcal{P}_{p+1}(L)} \|\nabla(v - v_h)\|_L^2,$$

$$\|\nabla P_{h(p+1)}^{\text{grad}}(v)\|_K^2 \lesssim \sum_{L \in \tilde{\mathcal{T}}_K} \|\nabla v\|_L^2.$$

A p -stable local projector $P_{h(p+1)}^{\text{grad}}$

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$$\|\nabla P_{h(p+1)}^{\text{grad}}(v)\|_K^2 \lesssim \sum_{L \in \tilde{\mathcal{T}}_K} \|\nabla v\|_L^2.$$

- \lesssim : up to a generic constant that only depends on space dimension d and shape-regularity of the mesh \mathcal{T}_h

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- Optimal elementwise *hp* approximation error estimates in $\mathbf{H}(\text{div}, \Omega)$

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- *p*-stable decompositions

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Global-best approximation \approx local-best approximation

Previous contributions

- Carstensen, Peterseim, and Schedensack (2012): H^1 (lowest-order case $p = 1$)
- Aurada, Feischl, Kemetmüller, Page, and Praetorius (2013): H^1 (boundary approximation context)
- Veeser (2016): H^1 (any p , p -dependent constant)
- Canuto, Nochetto, Stevenson, and Verani (2017): H^1 (improvement of the p dependence of the equivalence constant in 2D)
- Ern, Gudi, Smears, and Vohralík (2022): $H(\text{div})$ (any p , p -dependent constant)
- Chaumont-Frelet and Vohralík (2021, 2022): $H(\text{curl})$ (any p , p -dependent constant)
- Gawlik, Holst, and Licht (2021): finite element exterior calculus context (any p , p -dependent constant)

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Equivalence of global- and local-best approximations in $H_0^1(\Omega)$

Theorem (Global-local equivalence in H_0^1 , Carstensen, Peterseim, & Schedensack (2012), Aurada, Feischl, Kemetmüller, Page, & Praetorius (2013), Veeser (2016), Canuto, Nochetto, Stevenson, & Verani (2017))

bigger \approx_p *smaller*

Equivalence of global- and local-best approximations in $H_0^1(\Omega)$

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$$\min_{\text{smaller space}} \approx_p \min_{\text{bigger space}}$$

Equivalence of global- and local-best approximations in $H_0^1(\Omega)$

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$$\min_{\text{CG space}} \approx_p \min_{\text{DG space}}$$

- \approx_p : up to a generic constant that only depends on space dimension d , shape-regularity of the mesh T_h , and polynomial degree p

Equivalence of global- and local-best approximations in $H_0^1(\Omega)$

Theorem (p -robust global-local equivalence in $H_{0,D}^1(\Omega)$)

Let $v \in H_{0,D}^1(\Omega)$ and $p \geq 1$ be arbitrary. Then,

$$\underbrace{\min_{v_h \in \mathcal{P}_p(\mathcal{T}_h) \cap H_0^1(\Omega)} \|\nabla(v - v_h)\|^2}_{\begin{array}{l} \text{global-best on } \Omega \\ \text{trace-continuity constraint} \\ \text{CG space (much smaller)} \end{array}}$$

$$\underbrace{\sum_{K \in \mathcal{T}_h} \min_{v_h \in \mathcal{P}_p(K)} \|\nabla(v - v_h)\|_K^2}_{\begin{array}{l} \text{local-best on each } K \in \mathcal{T}_h \\ \text{trace-continuity constraint} \\ \text{CG space much smaller} \end{array}}$$

- ≈: up to a generic constant that only depends on space dimension d and shape-regularity of the mesh \mathcal{T}_h
- also for varying polynomial degree

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- \approx : up to a generic constant that only depends on space dimension d and shape-regularity of the mesh \mathcal{T}_h
- also for varying polynomial degree

Equivalence of global- and local-best approximations in $H_0^1(\Omega)$

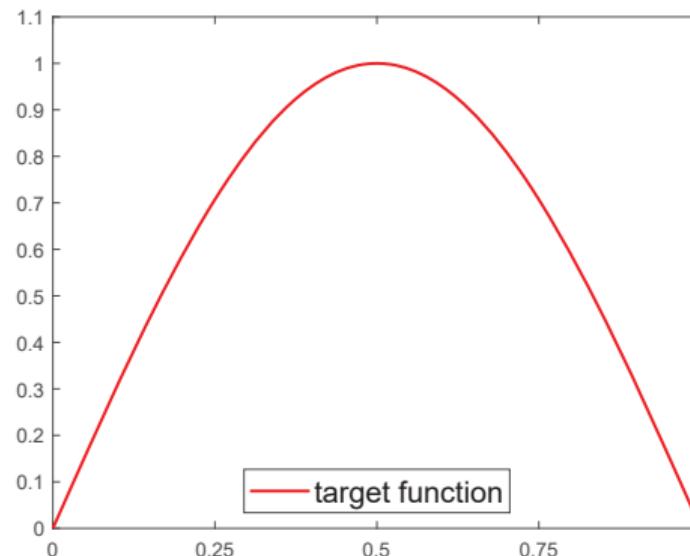
Theorem (p -robust global-local equivalence in $H_{0,D}^1(\Omega)$)

Let $\mathbf{v} \in H_{0,D}^1(\Omega)$ and $p \geq 1$ be arbitrary. Then,

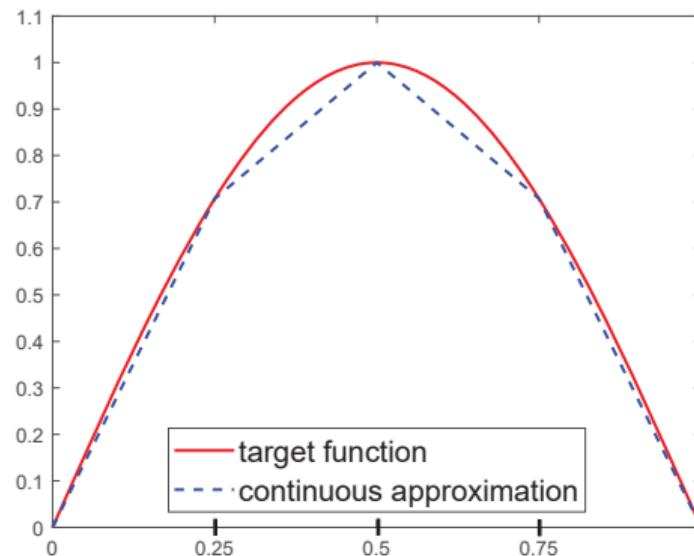
$$\underbrace{\min_{v_h \in \mathcal{P}_p(\mathcal{T}_h) \cap H_0^1(\Omega)} \|\nabla(v - v_h)\|^2}_{\begin{array}{l} \text{global-best on } \Omega \\ \text{trace-continuity constraint} \\ \text{CG space (much smaller)} \end{array}} \approx \sum_{K \in \mathcal{T}_h} \underbrace{\min_{v_h \in \mathcal{P}_p(K)} \|\nabla(v - v_h)\|_K^2}_{\begin{array}{l} \text{local-best on each } K \in \mathcal{T}_h \\ \text{no trace-continuity constraint} \\ \text{DG space (much bigger)} \end{array}}.$$

- \approx : up to a generic constant that only depends on space dimension d and shape-regularity of the mesh \mathcal{T}_h
- also for varying polynomial degree

Equivalence of global- and local-best approximations in $H_0^1(\Omega)$: 1D

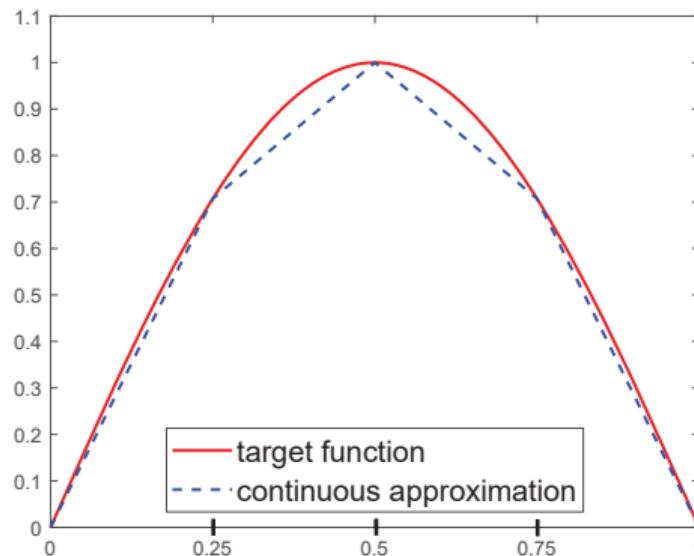


Target function in $H_0^1(\Omega)$

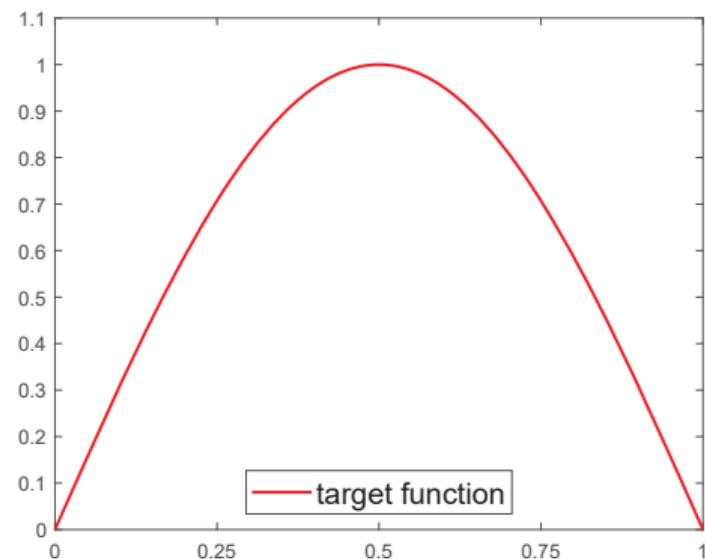
Equivalence of global- and local-best approximations in $H_0^1(\Omega)$: 1D

Best approximation by **continuous**
piecewise polynomials in
 $\mathcal{P}_1(\mathcal{T}_h) \cap H_0^1(\Omega)$, **global** problem

Equivalence of global- and local-best approximations in $H_0^1(\Omega)$: 1D

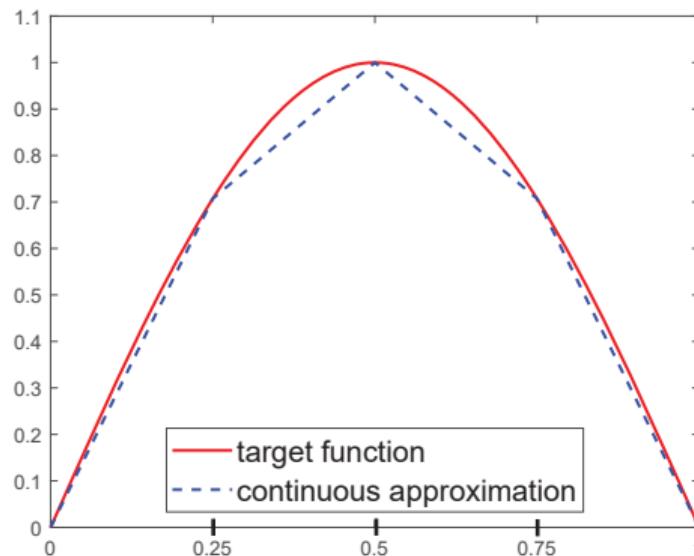


Best approximation by **continuous**
piecewise polynomials in
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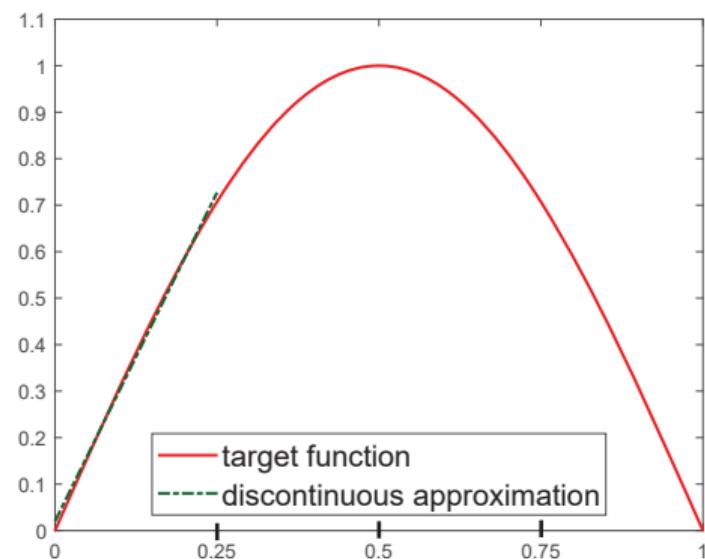


Target function in $H_0^1(\Omega)$

Equivalence of global- and local-best approximations in $H_0^1(\Omega)$: 1D

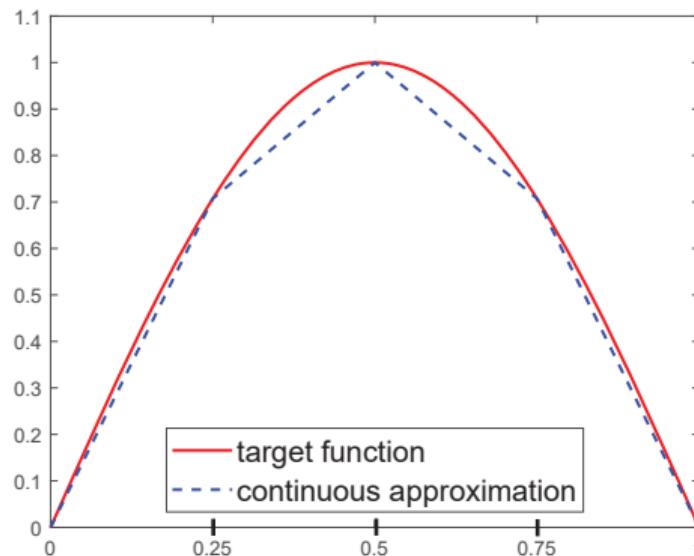


Best approximation by **continuous**
piecewise polynomials in
 $\mathcal{P}_1(\mathcal{T}_h) \cap H_0^1(\Omega)$, **global** problem

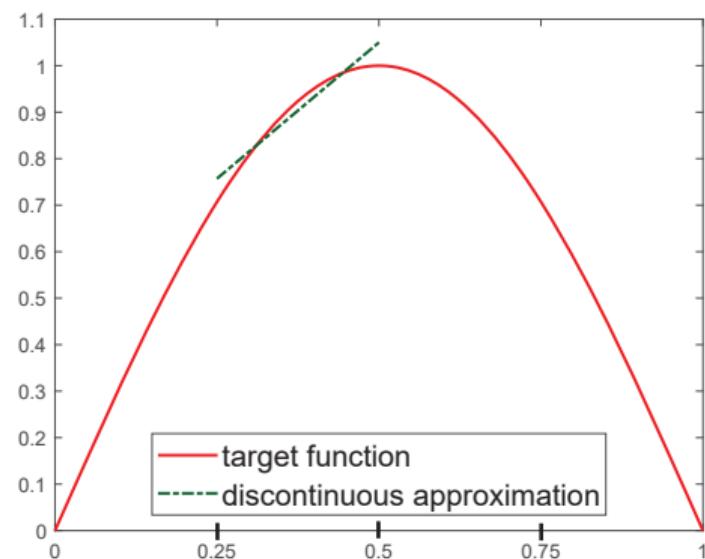


Best approximation by **discontinuous**
piecewise polynomials in $\mathcal{P}_1(\mathcal{T}_h)$, **local**
problems

Equivalence of global- and local-best approximations in $H_0^1(\Omega)$: 1D

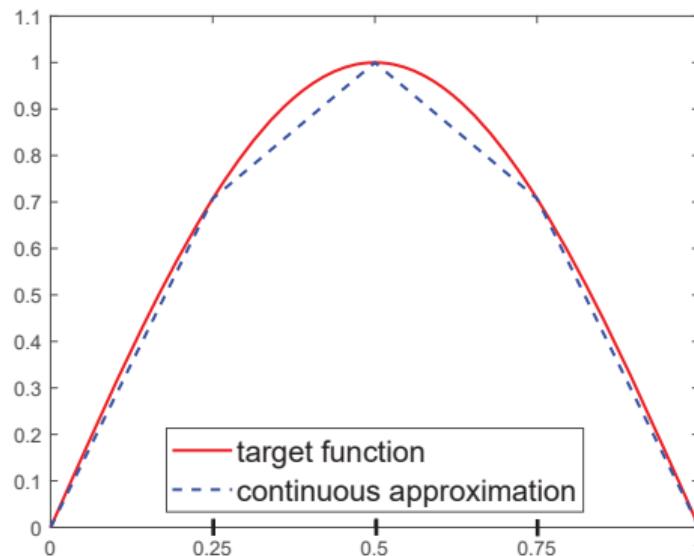


Best approximation by **continuous**
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 $\mathcal{P}_1(\mathcal{T}_h) \cap H_0^1(\Omega)$, **global** problem

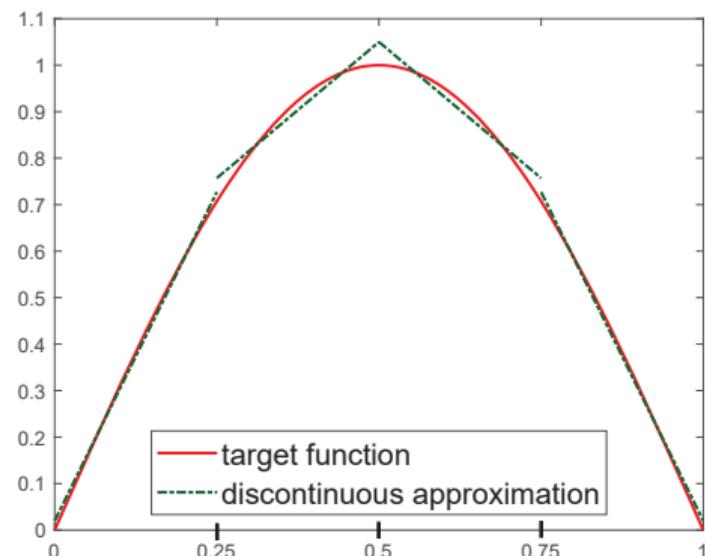


Best approximation by **discontinuous**
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problems

Equivalence of global- and local-best approximations in $H_0^1(\Omega)$: 1D

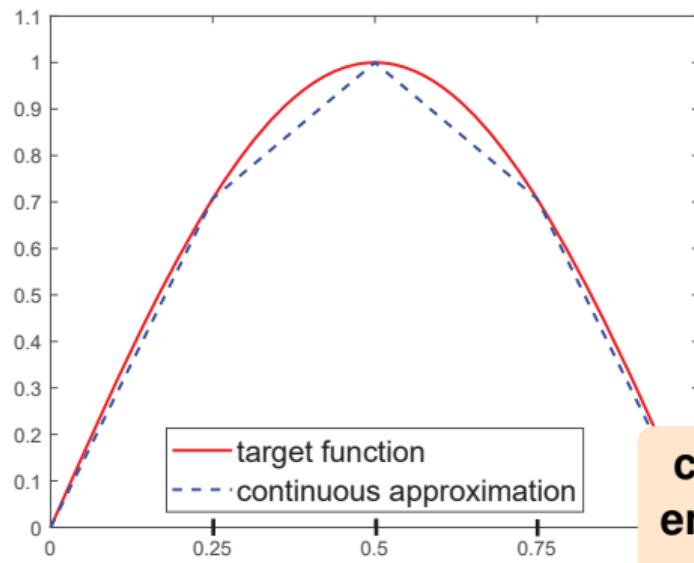


Best approximation by **continuous**
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 $\mathcal{P}_1(\mathcal{T}_h) \cap H_0^1(\Omega)$, **global** problem



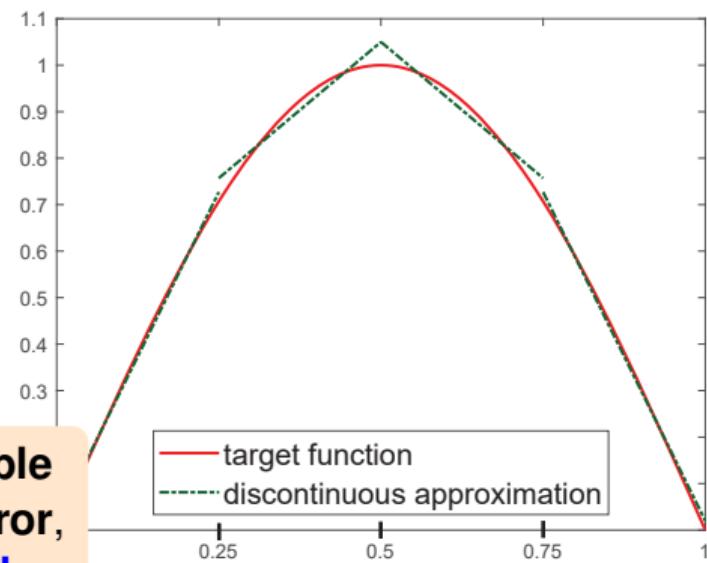
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Equivalence of global- and local-best approximations in $H_0^1(\Omega)$: 1D



**comparable
energy error,
 p -robustly**

Best approximation by **continuous**
piecewise polynomials in
 $\mathcal{P}_1(\mathcal{T}_h) \cap H_0^1(\Omega)$, **global** problem



Best approximation by **discontinuous**
piecewise polynomials in $\mathcal{P}_1(\mathcal{T}_h)$, **local**
problems

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- 1 Introduction
- 2 *p*-stable local (commuting) projectors
 - *p*-stable local commuting projector in $\mathbf{H}(\text{div}, \Omega)$
 - *p*-stable local projector in $H^1(\Omega)$
- 3 *p*-robust global-best–local-best equivalence
 - *p*-robust global-best–local-best equivalence in $H^1(\Omega)$
 - ***p*-robust global-best–local-best equivalence in $\mathbf{H}(\text{div}, \Omega)$**
- 4 Optimal elementwise *hp* approximation error estimates
 - Optimal elementwise *hp* approximation error estimates in $H^1(\Omega)$
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 - *p*-stable decompositions
- 6 Conclusions

Equivalence of global- and local-best approximations in $H(\text{div}, \Omega)$

Theorem (Global-local equivalence in $H(\text{div})$, Ern, Gudi, Smears, & V. (2022))

bigger \approx_p smaller

Equivalence of global- and local-best approximations in $H(\text{div}, \Omega)$

Theorem (Global-local equivalence in $H(\text{div})$, Ern, Gudi, Smears, & V. (2022))

$$\min_{\substack{\text{smaller space} \\ \text{with constraints}}} \approx_p \min_{\substack{\text{bigger space} \\ \text{without constraints}}}$$

Equivalence of global- and local-best approximations in $H(\text{div}, \Omega)$

Theorem (Global-local equivalence in $H(\text{div})$, Ern, Gudi, Smears, & V. (2022))

$$\min_{\text{MFE space with constraints}} \approx_p \min_{\text{broken MFE space without constraints}}$$

- \approx_p : only depends on space dimension d , shape-regularity of \mathcal{T}_h , and polynomial degree p

Equivalence of global- and local-best approximations in $H(\text{div}, \Omega)$

Theorem (p -robust global-local equivalence in $H(\text{div})$)

Let $\mathbf{v} \in H_{0,\text{N}}(\text{div}, \Omega)$ and $p \geq 0$ be arbitrary. Then,

$$\min_{\substack{\mathbf{v}_h \in \mathcal{RTN}_p(\mathcal{T}_h) \cap H_{0,\text{N}}(\text{div}, \Omega) \\ \nabla \cdot \mathbf{v}_h = \Pi_h^p(\nabla \cdot \mathbf{v})}} \|\mathbf{v} - \mathbf{v}_h\|^2 + \sum_{K \in \mathcal{T}_h} \frac{h_K^2}{(p+1)^2} \|\nabla \cdot \mathbf{v} - \Pi_h^p \nabla \cdot \mathbf{v}\|_K^2$$

global-best on Ω

normal trace-continuity constraint

divergence constraint

MFE space (much smaller)

$$\approx \sum_{K \in \mathcal{T}_h} \left[\underbrace{\min_{\mathbf{v}_h \in \mathcal{RTN}_p(K)} \|\mathbf{v} - \mathbf{v}_h\|_K^2}_{\text{local-best on each } K} + \frac{h_K^2}{(p+1)^2} \|\nabla \cdot \mathbf{v} - \Pi_h^p \nabla \cdot \mathbf{v}\|_K^2 \right].$$

local-best on each K

no normal trace-continuity constraint

no divergence constraint

broken MFE space (much bigger)

- \approx : only depends on d and shape-regularity of \mathcal{T}_h

Equivalence of global- and local-best approximations in $H(\text{div}, \Omega)$

Theorem (*p*-robust global-local equivalence in $H(\text{div})$)

Let $\mathbf{v} \in H_{0,\text{N}}(\text{div}, \Omega)$ and $p \geq 0$ be arbitrary. Then,

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global-best on Ω
 normal trace-continuity constraint
 divergence constraint
 MFE space (much smaller)

$$\approx \sum_{K \in \mathcal{T}_h} \left[\underbrace{\min_{\mathbf{v}_h \in \mathcal{RTN}_p(K)} \|\mathbf{v} - \mathbf{v}_h\|_K^2}_{\text{local-best on each } K} + \frac{h_K^2}{(p+1)^2} \|\nabla \cdot \mathbf{v} - \Pi_h^p \nabla \cdot \mathbf{v}\|_K^2 \right].$$

local-best on each K
 no normal trace-continuity constraint
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 broken MFE space (much bigger)

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Equivalence of global- and local-best approximations in $H(\text{div}, \Omega)$

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global-best on Ω
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local-best on each K
 no normal trace-continuity constraint
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 broken MFE space (much bigger)

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 - p -stable decompositions
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The Laplace equation (source term $f \in L^2(\Omega)$)

The Laplace equation

Find $u : \Omega \subset \mathbb{R}^d \rightarrow \mathbb{R}$ such that

$$\begin{aligned} -\Delta u &= f && \text{in } \Omega, \\ u &= 0 && \text{on } \Gamma_D, \\ -\nabla u \cdot \mathbf{n}_\Omega &= 0 && \text{on } \Gamma_N. \end{aligned}$$

The Laplace equation (source term $f \in L^2(\Omega)$)

Primal weak formulation

$u \in H_{0,\text{D}}^1(\Omega)$ such that

$$(\nabla u, \nabla v) = (f, v) \quad \forall v \in H_{0,\text{D}}^1(\Omega).$$

The Laplace equation (source term $f \in L^2(\Omega)$)

Primal weak formulation

$u \in H_{0,\text{D}}^1(\Omega)$ such that

$$(\nabla u, \nabla v) = (f, v) \quad \forall v \in H_{0,\text{D}}^1(\Omega).$$

Primal finite element approximation

$u_h \in \mathcal{P}_p(\mathcal{T}_h) \cap H_{0,\text{D}}^1(\Omega)$, $p \geq 1$, s.t.

$$(\nabla u_h, \nabla v_h) = (f, v_h) \quad \forall v_h \in \mathcal{P}_p(\mathcal{T}_h) \cap H_{0,\text{D}}^1(\Omega).$$

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Error characterisation

$$\|\nabla(u - u_h)\| = \min_{v_h \in \mathcal{P}_p(\mathcal{T}_h) \cap H_{0,\text{D}}^1(\Omega)} \|\nabla(u - v_h)\|$$

The Laplace equation (source term $f \in L^2(\Omega)$)

Primal weak formulation

$\mathbf{u} \in H_{0,\text{D}}^1(\Omega)$ such that

$$(\nabla \mathbf{u}, \nabla \mathbf{v}) = (f, \mathbf{v}) \quad \forall \mathbf{v} \in H_{0,\text{D}}^1(\Omega).$$

Dual weak formulation

$\boldsymbol{\sigma} \in \mathbf{H}_{0,\text{N}}(\text{div}, \Omega)$ with $\nabla \cdot \boldsymbol{\sigma} = f$ such that

$$(\boldsymbol{\sigma}, \mathbf{v}) = 0 \quad \forall \mathbf{v} \in \mathbf{H}_{0,\text{N}}(\text{div}, \Omega) \text{ with } \nabla \cdot \mathbf{v} = 0.$$

Primal finite element approximation

$\mathbf{u}_h \in \mathcal{P}_p(\mathcal{T}_h) \cap H_{0,\text{D}}^1(\Omega)$, $p \geq 1$, s.t.

$$(\nabla \mathbf{u}_h, \nabla \mathbf{v}_h) = (f, \mathbf{v}_h) \quad \forall \mathbf{v}_h \in \mathcal{P}_p(\mathcal{T}_h) \cap H_{0,\text{D}}^1(\Omega).$$

Error characterisation

$$\|\nabla(\mathbf{u} - \mathbf{u}_h)\| = \min_{\mathbf{v}_h \in \mathcal{P}_p(\mathcal{T}_h) \cap H_{0,\text{D}}^1(\Omega)} \|\nabla(\mathbf{u} - \mathbf{v}_h)\|$$

The Laplace equation (source term $f \in L^2(\Omega)$)

Primal weak formulation

$u \in H_{0,D}^1(\Omega)$ such that

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Primal finite element approximation

$u_h \in \mathcal{P}_p(\mathcal{T}_h) \cap H_{0,D}^1(\Omega)$, $p \geq 1$, s.t.

$$(\nabla u_h, \nabla v_h) = (f, v_h) \quad \forall v_h \in \mathcal{P}_p(\mathcal{T}_h) \cap H_{0,D}^1(\Omega).$$

Dual weak formulation

$\sigma \in \mathbf{H}_{0,N}(\text{div}, \Omega)$ with $\nabla \cdot \sigma = f$ such that

$$(\sigma, \mathbf{v}) = 0 \quad \forall \mathbf{v} \in \mathbf{H}_{0,N}(\text{div}, \Omega) \text{ with } \nabla \cdot \mathbf{v} = 0.$$

Dual finite element approximation

$\sigma_h \in \mathcal{RT}_p(\mathcal{T}_h) \cap \mathbf{H}_{0,N}(\text{div}, \Omega)$ with $\nabla \cdot \sigma_h = \Pi_h^p f$ such that

$$(\sigma_h, \mathbf{v}_h) = 0 \quad \forall \mathbf{v}_h \in \mathcal{RT}_p(\mathcal{T}_h) \cap \mathbf{H}_{0,N}(\text{div}, \Omega) \\ \text{with } \nabla \cdot \mathbf{v}_h = 0.$$

Error characterisation

$$\|\nabla(u - u_h)\| = \min_{v_h \in \mathcal{P}_p(\mathcal{T}_h) \cap H_{0,D}^1(\Omega)} \|\nabla(u - v_h)\|$$

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$u \in H_{0,D}^1(\Omega)$ such that

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Primal finite element approximation

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Dual weak formulation

$\sigma \in \mathbf{H}_{0,N}(\text{div}, \Omega)$ with $\nabla \cdot \sigma = f$ such that

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Error characterisation

$$\|\nabla(u - u_h)\| = \min_{v_h \in \mathcal{P}_p(\mathcal{T}_h) \cap H_{0,D}^1(\Omega)} \|\nabla(u - v_h)\|$$

Error characterisation

$$\|\sigma - \sigma_h\| = \min_{\substack{\mathbf{v}_h \in \mathcal{RT}_p(\mathcal{T}_h) \cap \mathbf{H}_{0,N}(\text{div}, \Omega) \\ \nabla \cdot \mathbf{v}_h = \Pi_h^p f}} \|\sigma - \mathbf{v}_h\|$$

The Laplace equation (source term $f \in L^2(\Omega)$): global-best approximations

Error characterisation

$$\|\nabla(u - u_h)\| = \min_{v_h \in \mathcal{P}_p(\mathcal{T}_h) \cap H_{0,\text{D}}^1(\Omega)} \|\nabla(u - v_h)\|$$

Error characterisation

$$\|\sigma - \sigma_h\| = \min_{\substack{\boldsymbol{v}_h \in \mathcal{RT}_p(\mathcal{T}_h) \cap H_{0,\text{N}}(\text{div}, \Omega) \\ \nabla \cdot \boldsymbol{v}_h = \Pi_h^p f}} \|\sigma - \boldsymbol{v}_h\|$$

The Laplace equation (source term $f \in L^2(\Omega)$): global-best approximations (constrained)

Error characterisation

$$\|\nabla(u - u_h)\| = \min_{v_h \in \mathcal{P}_p(\mathcal{T}_h) \cap H_{0,\text{D}}^1(\Omega)} \|\nabla(u - v_h)\|$$

Error characterisation

$$\|\sigma - \sigma_h\| = \min_{\substack{\boldsymbol{v}_h \in \mathcal{RT}_p(\mathcal{T}_h) \cap H_{0,\text{N}}(\text{div}, \Omega) \\ \nabla \cdot \boldsymbol{v}_h = \Pi_h^p f}} \|\sigma - \boldsymbol{v}_h\|$$

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Approximation error estimates in the $H^1(\Omega)$ context

h approximation estimate

Let $v \in H^s(\Omega)$, $s > d/2$. Then

$$\min_{v_h \in \mathcal{P}_p(\mathcal{T}_h) \cap H^1(\Omega)} \|\nabla(u - v_h)\| \leq C(\kappa_{\mathcal{T}_h}, d, s, p) h^{\min\{p, s-1\}} |v|_{H^s(\Omega)}.$$

- Ciarlet (1978), Ern and Guermond (2021)
- Babuška and Suri (1987, $d = 2$)
- Demkowicz and Buffa (2005) ($d = 3$, commutes, under a conjecture on polynomial extension operators proved in 2009–2012)
- Melenk (2005), Karkulik and Melenk (2015), varying polynomial degree, local patchwise regularity

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Approximation error estimates in the $H^1(\Omega)$ context

hp approximation estimate

Let $v \in H^s(\Omega)$, $s > d/2$. Then

$$\min_{v_h \in \mathcal{P}_p(\mathcal{T}_h) \cap H^1(\Omega)} \|\nabla(u - v_h)\| \leq C(\kappa_{\mathcal{T}_h}, d, s, p) \frac{h^{\min\{p, s-1\}}}{p^{s-1}} \|v\|_{H^s(\Omega)}.$$

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Approximation error estimates in the $H^1(\Omega)$ context

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Let $v \in H^s(\Omega)$, $s > d/2$. Then

$$\min_{v_h \in \mathcal{P}_p(\mathcal{T}_h) \cap H^1(\Omega)} \|\nabla(u - v_h)\| \leq C(\kappa_{\mathcal{T}_h}, d, s, p) \ln(p) \frac{h^{\min\{p, s-1\}}}{p^{s-1}} \|v\|_{H^s(\Omega)}.$$

- Ciarlet (1978), Ern and Guermond (2021)
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Approximation error estimates in the $H^1(\Omega)$ context

hp approximation estimate

Let $v \in H^s(\Omega)$, $s \geq 1$. Then

$$\min_{v_h \in \mathcal{P}_p(\mathcal{T}_h) \cap H^1(\Omega)} \|\nabla(u - v_h)\| \leq C(\kappa_{\mathcal{T}_h}, d, s, p) \frac{h^{\min\{p, s-1\}}}{p^{s-1}} \|v\|_{H^s(\Omega)}.$$

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Main result

Theorem (Local hp -optimal approximation under minimal Sobolev regularity)

Let $v \in H_{0,D}^1(\Omega)$ with

$$v|_K \in H^{s_K}(K) \quad \forall K \in \mathcal{T}_h$$

for $s_K \geq 1$.

- varying polynomial degree: $\underline{p}_K := \min_{L \in \tilde{\mathcal{T}}_K} \{p_L\}$ is the smallest polynomial degree over the extended element patch $\tilde{\mathcal{T}}_K$

Main result

Theorem (Local ***hp***-optimal approximation under minimal Sobolev regularity)

Let $v \in H_{0,D}^1(\Omega)$ with

$$v|_K \in H^{s_K}(K) \quad \forall K \in \mathcal{T}_h$$

for $s_K \geq 1$. Then

$$\|\nabla(v - P_h^{p,\text{grad}}v)\|_K^2 \leq C(\kappa_{\mathcal{T}_h}, \kappa_p, d, s)^2 \sum_{L \in \tilde{\mathcal{T}}_K} \left(\frac{h_L^{\min(p_K, s_L - 1)}}{p_K^{s_L - 1}} \|v\|_{H^{s_L}(L)} \right)^2 \quad \forall K \in \mathcal{T}_h.$$

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Approximation error estimates in the $\mathbf{H}(\text{div}, \Omega)$ context

h approximation estimate

Let $\mathbf{v} \in \mathbf{H}(\text{div}, \Omega) \cap \mathbf{H}^s(\Omega)$, $s > 1/2$. Then

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- Raviart and Thomas (1977), Nédélec (1980), Boffi, Brezzi, and Fortin (2013)
- Monk (1994), rectangular meshes
- Demkowicz and Buffa (2005)(under a conjecture on polynomial extension operators proved in 2009–2012)
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Main result

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Let $\mathbf{v} \in \mathbf{H}_{0,\mathbb{N}}(\text{div}, \Omega)$ with

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for $s_K \geq 0$.

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Outline

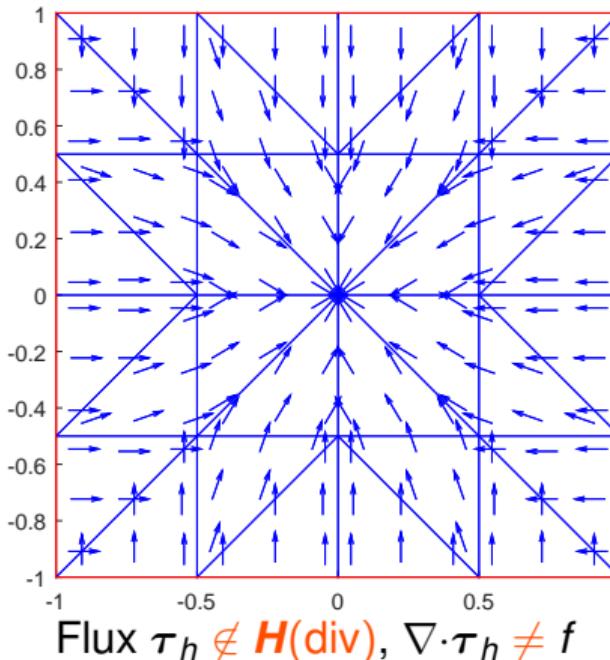
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Equilibration in $H(\text{div})$

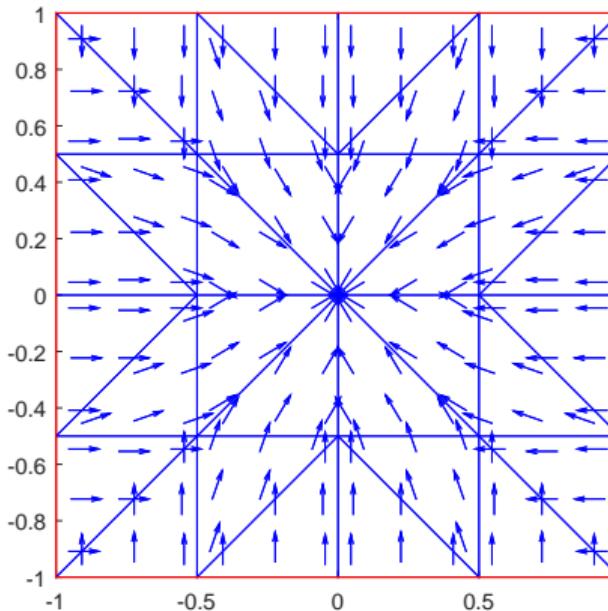
Bossavit (1998), Destuynder & Métivet (1998), Braess & Schöberl (2008), Ern & V. (2013)



Flux $\tau_h \notin H(\text{div})$, $\nabla \cdot \tau_h \neq f$

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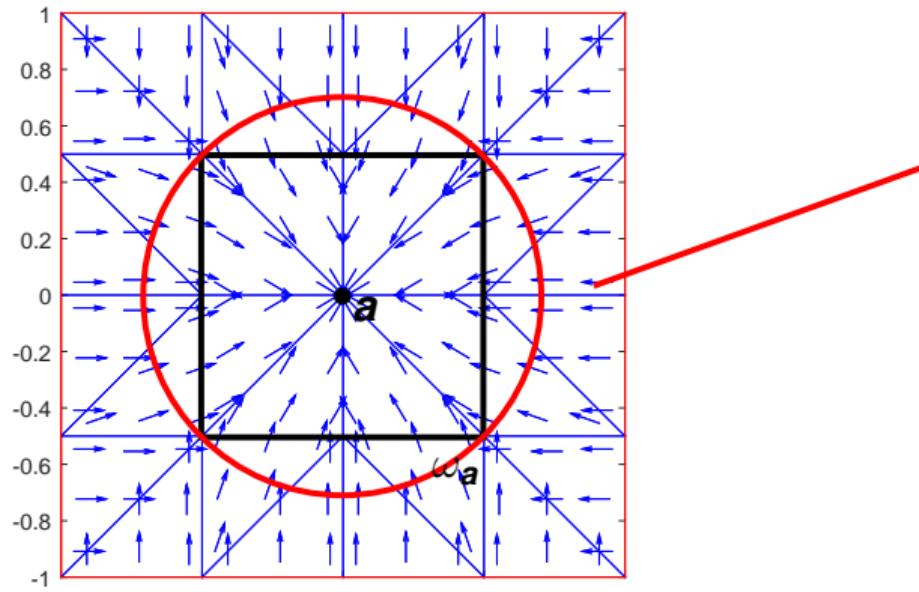
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$$\underbrace{\tau_h \in \mathcal{RT}_p(\mathcal{T}_h), f \in \mathcal{P}_p(\mathcal{T}_h)}_{}$$

$$(f, \psi^a)_{\omega_a} + (\tau_h, \nabla \psi^a)_{\omega_a} = 0 \quad \forall a \in \mathcal{V}_h^{\text{int}}$$

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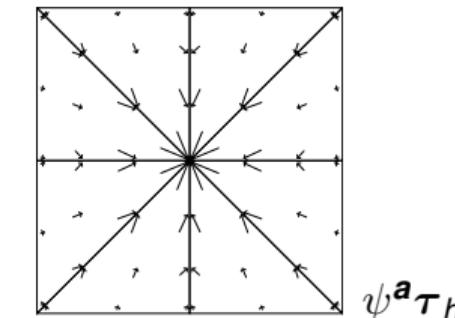
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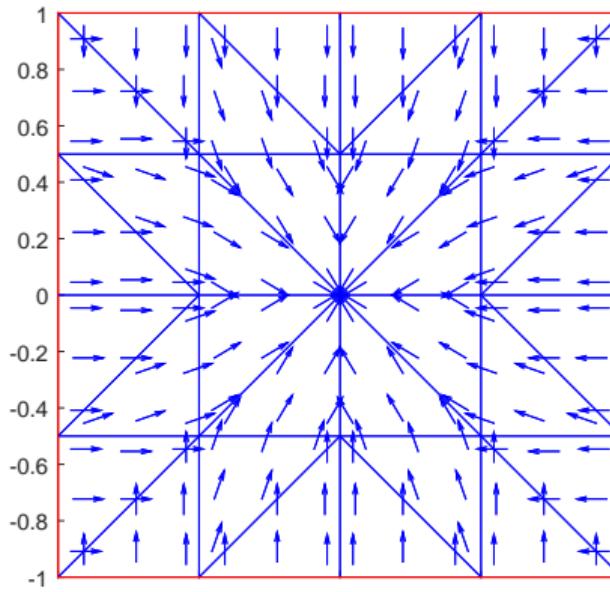
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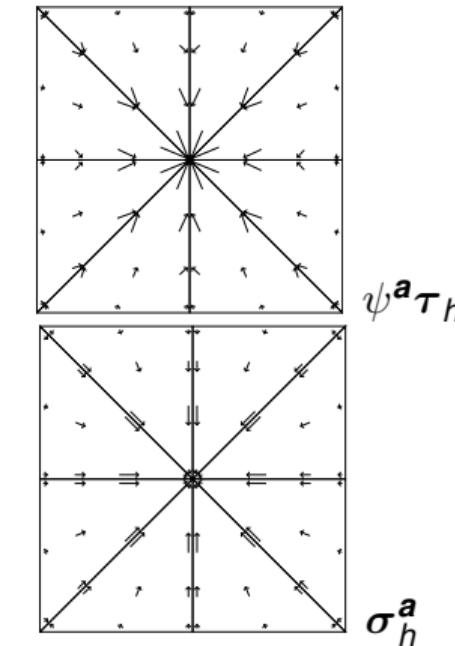
$$\psi^a \tau_h$$

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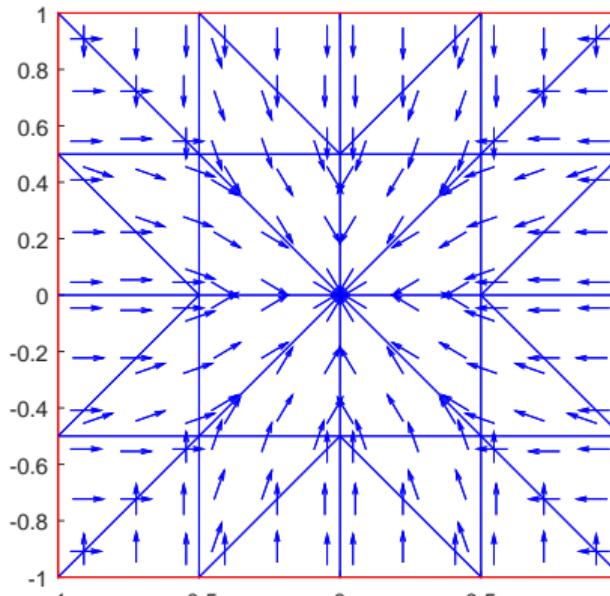


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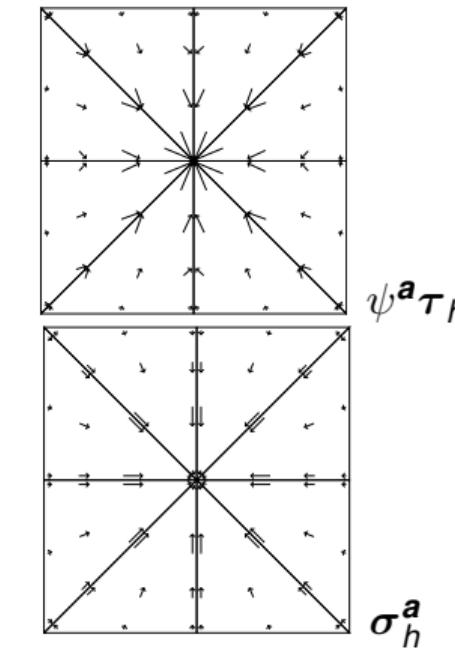
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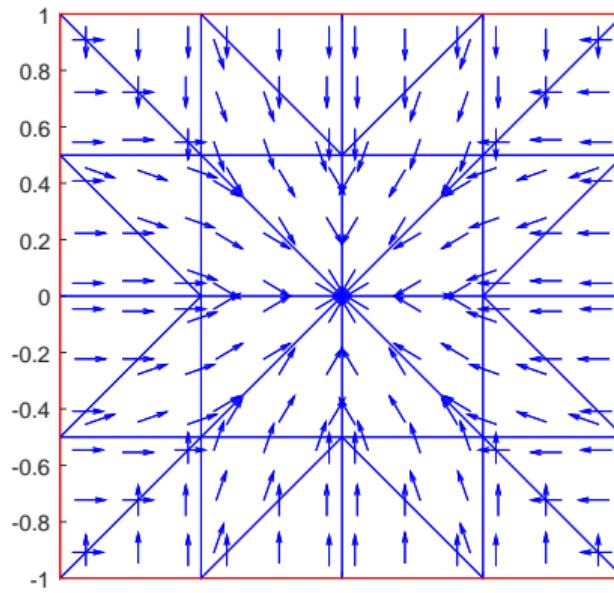
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$$\sigma_h^a := \arg \min_{\mathbf{v}_h \in \mathcal{RT}_{p+1}(\mathcal{T}_a) \cap \mathbf{H}_0(\text{div}, \omega_a)} \| \psi^a \tau_h - \mathbf{v}_h \|_{\omega_a}^2$$

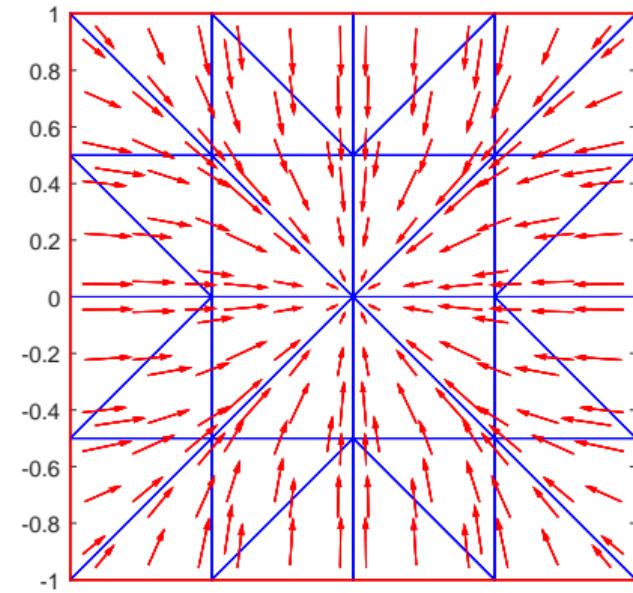
$$\nabla \cdot \mathbf{v}_h = f \psi^a + \tau_h \cdot \nabla \psi^a$$

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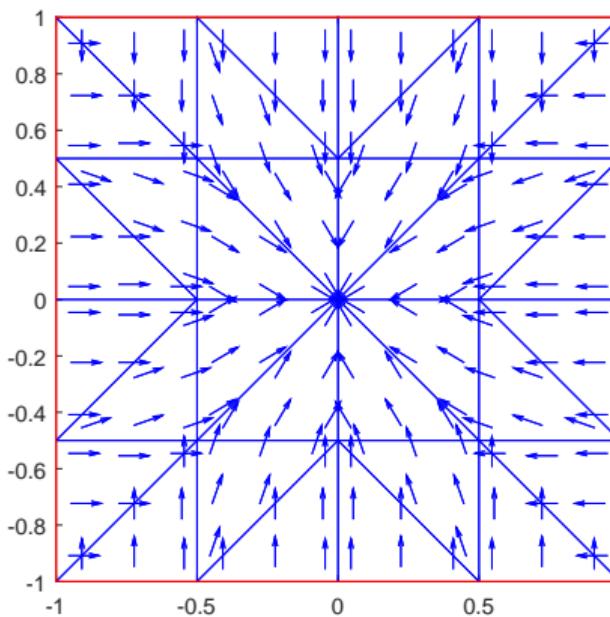


Equilibrated flux rec. σ_h

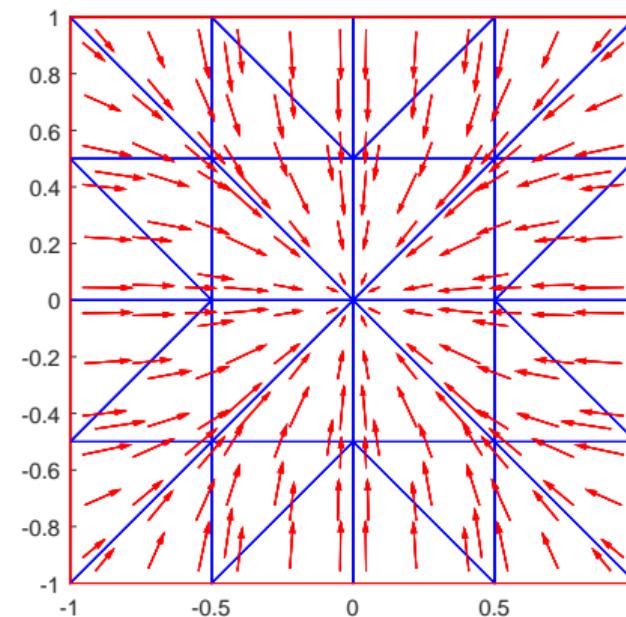
$$\underbrace{\tau_h \in \mathcal{RT}_p(\mathcal{T}_h), f \in \mathcal{P}_p(\mathcal{T}_h)}_{(f, \psi^a)_{\omega a} + (\tau_h, \nabla \psi^a)_{\omega a} = 0 \quad \forall a \in \mathcal{V}_h^{\text{int}}} \rightarrow \sigma_h := \sum_{a \in \mathcal{V}_h} \sigma_h^a \in \mathcal{RT}_{p+1}(\mathcal{T}_h) \cap \mathbf{H}(\text{div}), \nabla \cdot \sigma_h = f$$

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Outline

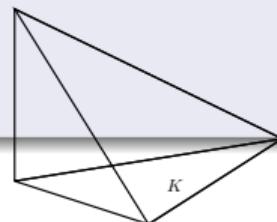
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$\mathbf{H}(\text{div})$ polynomial extensions on a tetrahedron

Theorem ($\mathbf{H}(\text{div})$ polynomial extension on a single tetrahedron) Costabel & Mc-Intosh (2010);

Demkowicz, Gopalakrishnan, & Schöberl (2012); Braess, Pillwein, & Schöberl (2009); Ern & V. (2020)

Let $\emptyset \subseteq \mathcal{F} \subseteq \mathcal{F}_K$ be a (sub)set of faces of a tetrahedron K . Then, for every polynomial degree $p \geq 0$, for all $r_K \in \mathcal{P}_p(K)$, and for all $r_{\mathcal{F}} \in \mathcal{P}_p(\Gamma_{\mathcal{F}})$, there holds



$$\min_{\substack{\mathbf{v}_p \in \mathcal{RT}_p(K) \\ \nabla \cdot \mathbf{v}_p = r_K \\ \mathbf{v}_p \cdot \mathbf{n}_{\mathcal{F}} = r_{\mathcal{F}}}} \|\mathbf{v}_p\|_K \leq C_{\text{st}} \min_{\substack{\mathbf{v} \in \mathbf{H}(\text{div}, K) \\ \nabla \cdot \mathbf{v} = r_K \\ \mathbf{v} \cdot \mathbf{n}_{\mathcal{F}} = r_{\mathcal{F}}}} \|\mathbf{v}\|_K.$$

Comments

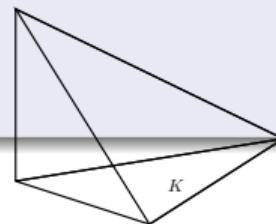
- C_{st} only depends on the shape-regularity of K
- p -robustness: for (pw) p -polynomial data $r_K, r_{\mathcal{F}}$, minimization over $\mathcal{RT}_p(K)$ is up to C_{st} as good as minimization over the entire $\mathbf{H}(\text{div}, K)$
- extension to a vertex patch: Braess, Pillwein, & Schöberl (2009); Ern & Vohralík (2020)

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Let $\emptyset \subseteq \mathcal{F} \subseteq \mathcal{F}_K$ be a (sub)set of faces of a tetrahedron K . Then, for every polynomial degree $p \geq 0$, for all $r_K \in \mathcal{P}_p(K)$, and for all $r_{\mathcal{F}} \in \mathcal{P}_p(\Gamma_{\mathcal{F}})$, there holds



$$\min_{\substack{\mathbf{v}_p \in \mathcal{RT}_p(K) \\ \nabla \cdot \mathbf{v}_p = r_K \\ \mathbf{v}_p \cdot \mathbf{n}_{\mathcal{F}} = r_{\mathcal{F}}}} \|\mathbf{v}_p\|_K \leq C_{\text{st}} \min_{\substack{\mathbf{v} \in \mathbf{H}(\text{div}, K) \\ \nabla \cdot \mathbf{v} = r_K \\ \mathbf{v} \cdot \mathbf{n}_{\mathcal{F}} = r_{\mathcal{F}}}} \|\mathbf{v}\|_K.$$

Comments

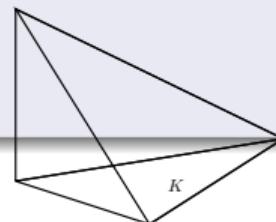
- C_{st} only depends on the shape-regularity of K
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$\mathbf{H}(\text{div})$ polynomial extensions on a tetrahedron

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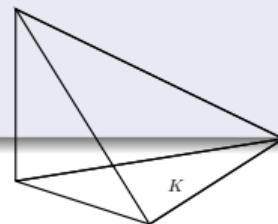
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$\mathbf{H}(\text{div})$ polynomial extensions on a tetrahedron and on patches

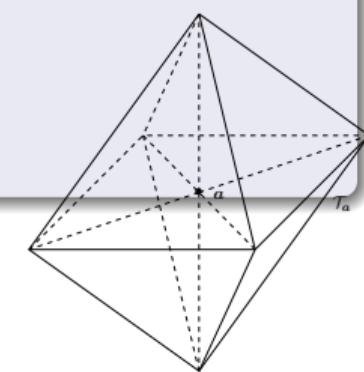
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- 2 *p*-stable local (commuting) projectors
 - *p*-stable local commuting projector in $\mathbf{H}(\text{div}, \Omega)$
 - *p*-stable local projector in $H^1(\Omega)$
- 3 *p*-robust global-best–local-best equivalence
 - *p*-robust global-best–local-best equivalence in $H^1(\Omega)$
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- 4 Optimal elementwise *hp* approximation error estimates
 - Optimal elementwise *hp* approximation error estimates in $H^1(\Omega)$
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$H(\text{div})$ stable decomposition

Theorem ($H(\text{div})$ stable decomposition in 2D; in extension of Schöberl, Melenk, Pechstein, & Zaglmayr (2008))

Let $d = 2$ and let $\bar{\Omega}$ be contractible. Let

$$\begin{aligned} \delta_p &\in \mathcal{RT}_p(\mathcal{T}_h) \cap \mathbf{H}_{0,\text{N}}(\text{div}, \Omega) \quad \text{with} \quad \nabla \cdot \delta_p = 0, \quad \text{div-free} \\ (\delta_p, \mathbf{r}_h)_K &= 0 \quad \forall \mathbf{r}_h \in [\mathcal{P}_0(K)]^d, \quad \forall K \in \mathcal{T}_h. \quad \text{vanishing means} \end{aligned}$$

Then there exists a decomposition of δ_p as

$$\delta_p = \sum_{\mathbf{a} \in \mathcal{V}_h} \delta_p^{\mathbf{a}}, \quad \text{decomposition}$$

where

$\delta_p^{\mathbf{a}}$ are supported on the vertex patch subdomains $\omega_{\mathbf{a}}$, linearly depend on δ_p on the extended vertex patch subdomains $\tilde{\omega}_{\mathbf{a}}$,

and satisfy

$$\begin{aligned} \delta_p^{\mathbf{a}} &\in \mathcal{RT}_p(\mathcal{T}_{\mathbf{a}}) \cap \mathbf{H}_0(\text{div}, \omega_{\mathbf{a}}) \quad \text{with} \quad \nabla \cdot \delta_p^{\mathbf{a}} = 0, \quad \text{local div-free} \\ \|\delta_p^{\mathbf{a}}\|_{\omega_{\mathbf{a}}} &\lesssim \|\delta_p\|_{\tilde{\omega}_{\mathbf{a}}} \quad \forall \mathbf{a} \in \mathcal{V}_h. \quad \text{p-stable} \end{aligned}$$

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Conclusions

Piecewise polynomial approximation in $H^1(\Omega)$ and $H(\text{div}, \Omega)$:

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Thank you for your attention!