

# Equivalence of local- and global-best approximations and a simple stable local commuting projector in $H(\text{div}, \Omega)$

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# Mary's hospitality



# Outline

- 1 Introduction: *a priori* error estimates for mixed finite element methods and global-best – local best equivalence in  $H_0^1(\Omega)$
- 2 Simple stable local commuting projector in  $\mathbf{H}(\text{div})$
- 3 Global-best – local-best equivalence in  $\mathbf{H}(\text{div})$
- 4 Elementwise localized optimal  $hp$  approximation estimates
- 5 Elementwise localized *a priori* error estimates
  - Mixed finite element methods
  - Least-squares mixed finite element methods
- 6 Tools ( $p$ -robustness)
  - Polynomial extension on a tetrahedron
  - Broken polynomial extension on a patch
- 7 Conclusions and outlook

# Mixed finite elements for the Laplace equation

## Laplace model problem

Find  $u : \Omega \rightarrow \mathbb{R}$  s.t.

$$\begin{aligned} -\Delta u &= f && \text{in } \Omega, \\ u &= 0 && \text{on } \partial\Omega \end{aligned}$$

## Dual mixed weak formulation

Find  $(\sigma, u) \in \mathbf{H}(\text{div}, \Omega) \times L^2(\Omega)$  such that ( $\sigma = -\nabla u$ )

$$\begin{aligned} (\sigma, \mathbf{v}) - (u, \nabla \cdot \mathbf{v}) &= 0 && \forall \mathbf{v} \in \mathbf{H}(\text{div}, \Omega), \\ (\nabla \cdot \sigma, q) &= (f, q) && \forall q \in L^2(\Omega) \end{aligned}$$

## Mixed finite elements

Find  $(\sigma_h, u_h) \in V_h := RTM(\mathcal{T}) \cap H(\text{div}, \Omega) \times P_p(\mathcal{T}), p \geq 0$ , s.t.

$$\begin{aligned} (\sigma_h, \mathbf{v}_h) - (u_h, \nabla \cdot \mathbf{v}_h) &= 0 && \forall \mathbf{v}_h \in V_h, \\ (\nabla \cdot \sigma_h, q_h) &= (f, q_h) && \forall q_h \in P_p(\mathcal{T}) \end{aligned}$$

Goal: find a mixed finite element space  $(\sigma_h, u_h)$  such that  $\sigma_h = -\nabla u_h$

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## Notation

- $\Omega$ : computational domain (open polygon/polyhedron)
- $\mathcal{T}$ : simplicial mesh
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# Classical *a priori* estimate via RTN interpolant

Theorem (Classical *a priori* estimate)

$$\underbrace{\|\boldsymbol{\sigma} - \boldsymbol{\sigma}_h\|}_{MFE \text{ error}} = \min_{\substack{\boldsymbol{v}_h \in \mathcal{V}_h \\ \nabla \cdot \boldsymbol{v}_h = \Pi_p f}} \|\boldsymbol{\sigma} - \boldsymbol{v}_h\| \leq \|\boldsymbol{\sigma} - \underbrace{\mathbf{I}_p^{\text{RTN}}(\boldsymbol{\sigma})}_{\substack{\in \mathcal{V}_h \\ \nabla \cdot = \Pi_p f}}\|$$

global-best on  $\Omega$   
 normal trace-continuity constraint  
 divergence constraint

Raviart–Thomas–Nédélec interpolant  $\mathbf{I}_p^{\text{RTN}}$

- simple and local (elementwise): for all  $K \in \mathcal{T}$

$$(\mathbf{I}_p^{\text{RTN}}(\boldsymbol{\sigma})|_K \cdot \mathbf{n}_F, q_h)_F = (\boldsymbol{\sigma}|_K \cdot \mathbf{n}_F, q_h)_F \quad \forall q_h \in \mathbb{P}_p(F), \forall F \in \mathcal{F}_K,$$

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# Stable local commuting projectors/ $hp$ interpolation

## Stable local commuting projectors defined on $H(\text{div})$

- Schöberl (2001, 2005): **not local**
- Christiansen and Winther (2008): **not local**
- Falk and Winther (2014): local and  $H(\text{div})$ -stable but **not  $L^2$ -stable**
- Ern and Guermond (2016): **not local**
- Licht (2019): essential boundary conditions on part of  $\partial\Omega$

## $hp$ interpolation estimates

- Demkowicz and Buffa (2005): **log( $p$ )** factors
- Bespalov and Heuer (2011): low regularity but still **not  $H(\text{div})$**
- Ern and Guermond (2017):  $H(\text{div})$  regularity but **not commuting** and **only optimal in  $h$**
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Theorem (Equivalence in  $H_0^1$ , Carstensen, Peterseim, Schedensack (2012), Aurada, Feischl, Kemetmüller, Page, Praetorius (2013), Veeser (2016))

*bigger  $\approx$  smaller*

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$$\min_{\text{smaller space}} \approx \min_{\text{bigger space}}$$

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Let  $u \in H_0^1(\Omega)$  and  $p \geq 1$  be arbitrary. Then,

$$\min_{v_h \in \mathbb{P}_p(\mathcal{T}) \cap H_0^1(\Omega)} \|\nabla(u - v_h)\|^2$$

global-best on  $\Omega$   
trace-continuity constraint  
CG space (much smaller)

$$\min_{v_h \in \mathbb{P}_p(\mathcal{T})} \|\nabla(u - v_h)\|_K^2$$

local-best on each  $K \in \mathcal{T}$   
no trace-continuity constraint  
DG space (much bigger)

- $\approx_p$ : up to a generic constant that only depends on space dimension  $d$ , shape-regularity of the mesh  $\mathcal{T}$ , and polynomial degree  $p$

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- $\approx_p$ : up to a generic constant that only depends on space dimension  $d$ , shape-regularity of the mesh  $\mathcal{T}$ , and polynomial degree  $p$

# Outline

- 1 Introduction: *a priori* error estimates for mixed finite element methods and global-best – local best equivalence in  $H_0^1(\Omega)$
- 2 Simple stable local commuting projector in  $\mathbf{H}(\text{div})$
- 3 Global-best – local-best equivalence in  $\mathbf{H}(\text{div})$
- 4 Elementwise localized optimal  $hp$  approximation estimates
- 5 Elementwise localized *a priori* error estimates
  - Mixed finite element methods
  - Least-squares mixed finite element methods
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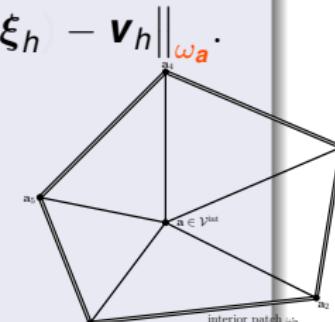
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Combine

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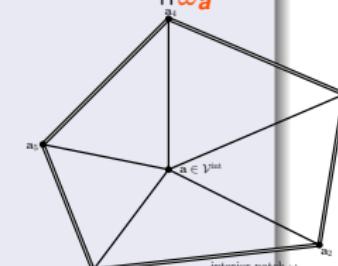
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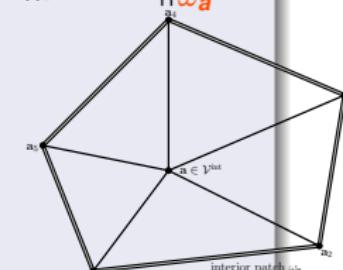
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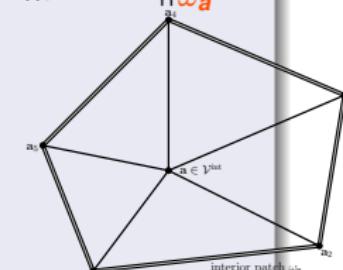
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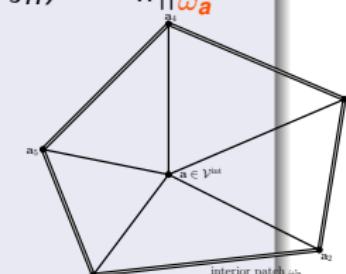
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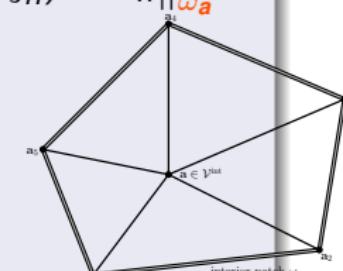
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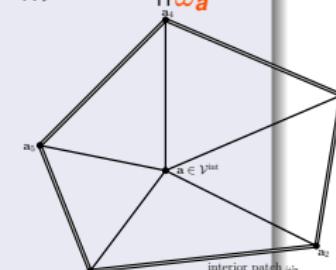
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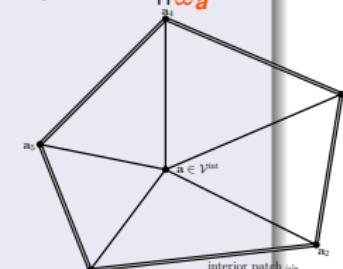
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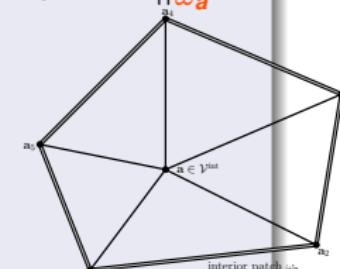
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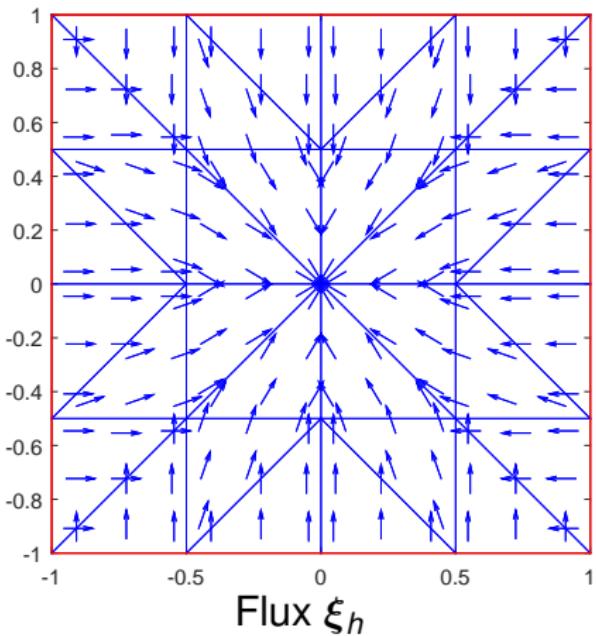
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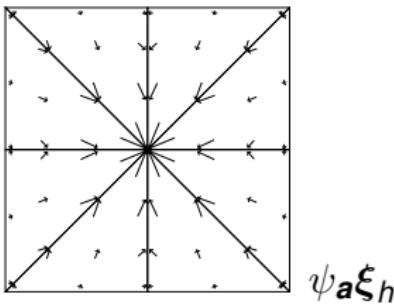
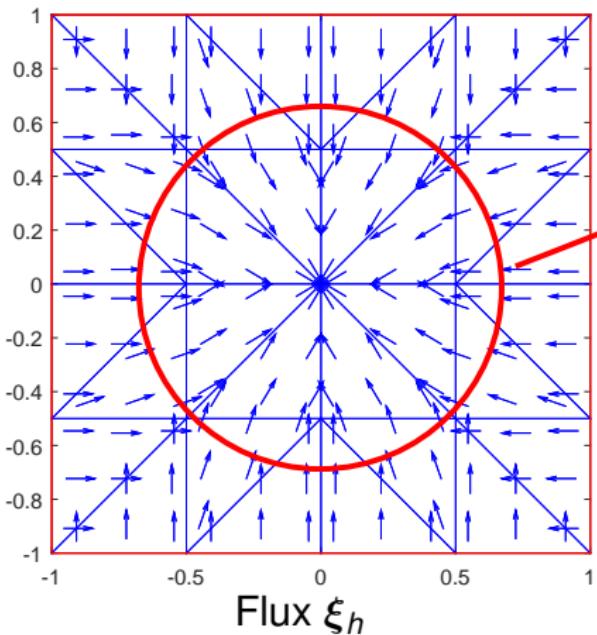


# Equilibrated flux reconstruction in 2D



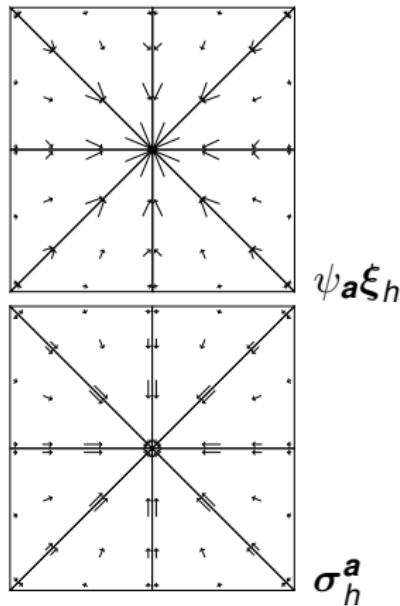
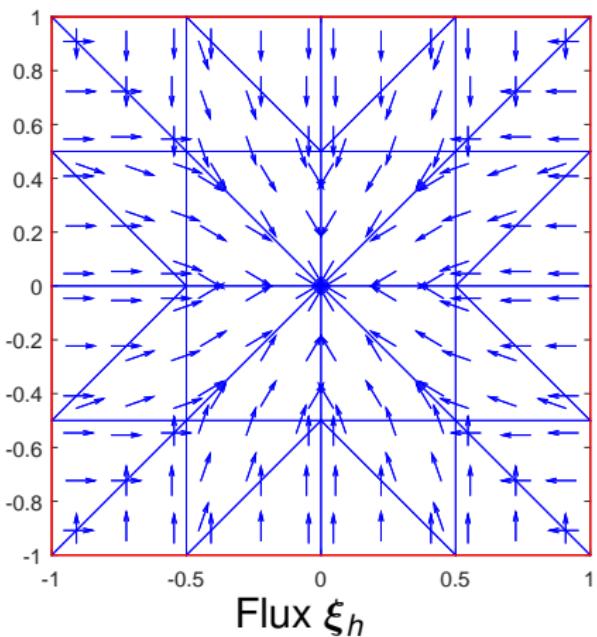
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 $\psi_a \xi_h$ 

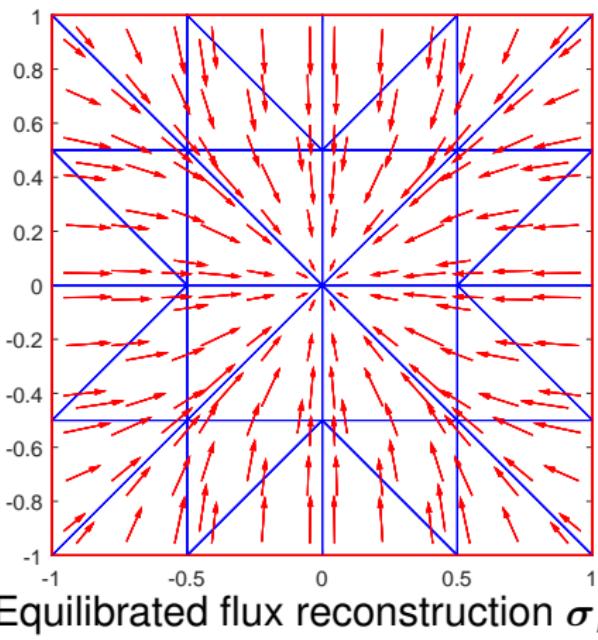
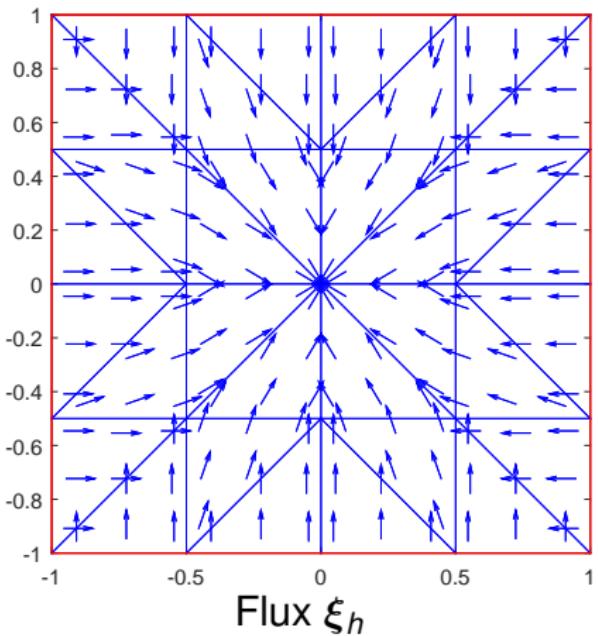
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$$\underbrace{\boldsymbol{\xi}_h \in \mathbf{RTN}_p(\mathcal{T}), \nabla \cdot \boldsymbol{\sigma} \in L^2(\Omega)}_{(\nabla \cdot \boldsymbol{\sigma}, \psi_a)_{\omega_a} + (\boldsymbol{\xi}_h, \nabla \psi_a)_{\omega_a} = 0 \quad \forall a \in \mathcal{V}^{\text{int}}} \rightarrow \boldsymbol{\sigma}_h \in \mathbf{RTN}_p(\mathcal{T}) \cap \mathbf{H}(\text{div}, \Omega), \nabla \cdot \boldsymbol{\sigma}_h = \Pi_p \nabla \cdot \boldsymbol{\sigma}$$

# Stable local commuting projector in $H(\text{div})$

Theorem (Stable local commuting projector, Ern, Gudi, Smears, & V. (2019))

Let  $\sigma \in H(\text{div}, \Omega)$  and  $p \geq 0$  be arbitrary. Then,  $P_p \sigma := \sigma_h \in RTN_p(\mathcal{T}) \cap H(\text{div}, \Omega)$  from construction is locally defined,  
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$P_p \sigma = \sigma$  if  $\sigma \in RTN_p(\mathcal{T}) \cap H(\text{div}, \Omega)$  — projector;

such that  $\|P_p \sigma - \sigma\|_{H(\text{div}, \Omega)} \leq C \min(1, \sqrt{p+1}) \| \sigma \|_{H(\text{div}, \Omega)}$ .

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$$\|P_p\sigma\| \lesssim_p \|\sigma\| + \left\{ \sum_{K \in \mathcal{T}} \frac{h_K^2}{(p+1)^2} \|\nabla \cdot \sigma - \Pi_p(\nabla \cdot \sigma)\|_K^2 \right\}^{1/2} \text{stable up to osc.}$$

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$\nabla \cdot (P_p\sigma) = \Pi_p(\nabla \cdot \sigma)$  commuting,

$P_p\sigma = \sigma$  if  $\sigma \in \mathbf{RTN}_p(\mathcal{T}) \cap \mathbf{H}(\text{div}, \Omega)$  projector,

$$\|P_p\sigma\| \lesssim_p \|\sigma\| + \left\{ \sum_{K \in \mathcal{T}} \frac{h_K^2}{(p+1)^2} \|\nabla \cdot \sigma - \Pi_p(\nabla \cdot \sigma)\|_K^2 \right\}^{1/2} \text{stable up to osc.}$$

# Stable local commuting projector in $\mathbf{H}(\text{div})$

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Theorem (Stable local commuting projector, Ern, Gudi, Smears, & V. (2019))

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## Comments

- $P_p$  defined on  $\mathbf{H}(\text{div}, \Omega)$
- $\lesssim_p$ : only depends on  $d$ , shape-regularity of  $\mathcal{T}$ , and  $p$
- $h_K \|\nabla \cdot \sigma - \Pi_p(\nabla \cdot \sigma)\|_K / (p+1)$ : data oscillation term common in  $hp$  a posteriori analysis, disappears when  $\nabla \cdot \sigma$  is a piecewise  $p$ -degree polynomial

# Proof: local problems, commutativity

- recall  $\xi_h \in \mathbf{RTN}_p(\mathcal{T})$  is elementwise  $L^2$ -orthogonal projection of  $\sigma$

$$\xi_h|_K := \arg \min_{\substack{\mathbf{v}_h \in \mathbf{RTN}_p(K) \\ \nabla \cdot \mathbf{v}_h = \Pi_p(\nabla \cdot \sigma)}} \|\sigma - \mathbf{v}_h\|_K^2 \quad \forall K \in \mathcal{T}$$

- since  $\nabla \psi_a \in \mathbf{RTN}_p(K)$ ,  $\forall a \in \mathcal{V}_K$ ,  $p \geq 0$ ,

$$(\sigma - \xi_h, \nabla \psi_a)_K = 0 \quad \forall K \in \mathcal{T}$$

- since  $\sigma|_{\omega_a} \in \mathbf{H}(\text{div}, \omega_a)$  and  $\psi_a \in H_0^1(\omega_a)$  ( $a \in \mathcal{V}^{\text{int}}$ ), Green theorem

$$(\nabla \cdot \sigma, \psi_a)_{\omega_a} + (\sigma, \nabla \psi_a)_{\omega_a} = 0$$

and since  $\nabla \cdot \xi_h|_{\omega_a} = 0$

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implies well-posedness of

$$\sigma_h = \arg \min_{\substack{\sigma_h \in \mathbf{RTN}_p(\mathcal{T}) \\ \nabla \cdot \sigma_h = \Pi_p(\nabla \cdot \sigma)}} \| \nabla \cdot (\sigma - \sigma_h) \|_h^2$$

and the error estimate

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- partition of unity  $\sum_{a \in \mathcal{V}} \psi_a = 1$  implies commutativity

$$\nabla \cdot \sigma_h = \sum \nabla \cdot \sigma_h^a = \sum \Pi_p(\nabla \cdot \sigma \psi_a + \xi_h \cdot \nabla \psi_a) = \Pi_p \nabla \cdot \sigma$$

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# Proof: stability of the flux reconstruction

**Theorem (Local stability)** Braess, Pillwein, Schöberl (2009; 2D), Ern, V. (2016; 3D), using [Tools](#)

There holds

$$\min_{\substack{\mathbf{v}_h \in \mathbf{RTN}_p(\mathcal{T}_a) \cap \mathbf{H}_0(\operatorname{div}, \omega_a) \\ \nabla \cdot \mathbf{v}_h = \Pi_p(\nabla \cdot \sigma \psi_a + \xi_h \cdot \nabla \psi_a)}} \| I_p^{\text{RTN}}(\psi_a \xi_h) - \mathbf{v}_h \|_{\omega_a} \lesssim \min_{\substack{\mathbf{v} \in \mathbf{H}_0(\operatorname{div}, \omega_a) \\ \nabla \cdot \mathbf{v} = \Pi_p(\nabla \cdot \sigma \psi_a + \xi_h \cdot \nabla \psi_a)}} \| I_p^{\text{RTN}}(\psi_a \xi_h) - \mathbf{v} \|_{\omega_a}.$$

**Corollary (Global stability)**

$P_p \sigma = \sigma_h$  is closer to the elementwise projection  $\xi_h$  than any  $\sigma \in \mathbf{H}(\operatorname{div}, \Omega)$  up to divergence oscillation:

$$\| \xi_h - \sigma_h \| \lesssim_p \| \xi_h - \sigma \| + \left\{ \sum_{K \in \mathcal{T}} \frac{h_K^2}{(p+1)^2} \| \nabla \cdot (\xi_h - \sigma) \|_K^2 \right\}^{1/2}.$$

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Corollary (Global stability)

$P_p \boldsymbol{\sigma} = \boldsymbol{\sigma}_h$  is closer to the elementwise projection  $\boldsymbol{\xi}_h$  than any  $\boldsymbol{\sigma} \in \mathbf{H}(\operatorname{div}, \Omega)$  up to divergence oscillation:

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# Outline

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- 2 Simple stable local commuting projector in  $\mathbf{H}(\text{div})$
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# Global-best approx. $\approx$ local-best approx. in $H(\text{div})$

Theorem (Constrained equivalence in  $H(\text{div})$ , Ern, Gudi, Smears, & V. (2019))

*bigger  $\approx$  smaller*

# Global-best approx. $\approx$ local-best approx. in $H(\text{div})$

Theorem (Constrained equivalence in  $H(\text{div})$ ), Ern, Gudi, Smears, & V. (2019)

$$\min_{\text{smaller space with constraints}} \approx \min_{\text{bigger space without constraints}}$$

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Let  $\sigma \in H(\text{div}, \Omega)$  and  $p \geq 0$  be arbitrary. Then,

$$\min_{\substack{\mathbf{v}_h \in RTN_p(T) \cap H(\text{div}, \Omega) \\ \nabla \cdot \mathbf{v}_h = \Pi_p(\nabla \cdot \sigma)}} \|\sigma - \mathbf{v}_h\|_K^2 + \sum_{K \in T} \frac{h_K^2}{(p+1)^2} \|\nabla \cdot \sigma - \Pi_p \nabla \cdot \sigma\|_K^2$$

global-best on  $\Omega$

normal trace-continuity constraint

divergence constraint

MFE space (much smaller)

$$\approx_p \sum_{K \in T} \left[ \min_{\mathbf{v}_h \in RTN_p(K)} \|\sigma - \mathbf{v}_h\|_K^2 + \frac{h_K^2}{(p+1)^2} \|\nabla \cdot \sigma - \Pi_p \nabla \cdot \sigma\|_K^2 \right].$$

local-best on each  $K$

no normal trace-continuity constraint

no divergence constraint

broken MFE space (much bigger)

- the right number (a priori) much smaller than the left one
- $\approx_p$ : only depends on  $d$ , shape-regularity of  $T$ , and  $p$
- no need of interpolate for optimal error bounds

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# Global-best approx. $\approx$ local-best approx. in $\mathbf{H}(\text{div})$

Theorem (Constrained equivalence in  $\mathbf{H}(\text{div})$ ), Ern, Gudi, Smears, & V. (2019)

Let  $\boldsymbol{\sigma} \in \mathbf{H}(\text{div}, \Omega)$  and  $p \geq 0$  be arbitrary. Then,

$$\min_{\substack{\mathbf{v}_h \in \mathbf{RTN}_p(\mathcal{T}) \cap \mathbf{H}(\text{div}, \Omega) \\ \nabla \cdot \mathbf{v}_h = \Pi_p(\nabla \cdot \boldsymbol{\sigma})}} \|\boldsymbol{\sigma} - \mathbf{v}_h\|^2 + \sum_{K \in \mathcal{T}} \frac{h_K^2}{(p+1)^2} \|\nabla \cdot \boldsymbol{\sigma} - \Pi_p \nabla \cdot \boldsymbol{\sigma}\|_K^2$$

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# Optimal $hp$ approximation estimate

Theorem (Elementwise localized  $hp$  approx., Ern, Gudi, Smears, & V. (2019))

For any  $\sigma \in \mathbf{H}(\operatorname{div}, \Omega)$  s.t., locally on all  $K \in \mathcal{T}$ ,

$$\sigma|_K \in \mathbf{H}^s(K), s > 0,$$

there holds

$$\min_{\substack{\mathbf{v}_h \in \mathbf{RTN}_p(\mathcal{T}) \cap \mathbf{H}(\operatorname{div}, \Omega) \\ \nabla \cdot \mathbf{v}_h = \Pi_p(\nabla \cdot \sigma)}} \left[ \|\sigma - \mathbf{v}_h\|^2 + \sum_{K \in \mathcal{T}} \frac{h_K^2}{(p+1)^2} \|\nabla \cdot \sigma - \Pi_p(\nabla \cdot \sigma)\|_K^2 \right]$$

$$\lesssim_s \begin{cases} \sum_{K \in \mathcal{T}} \frac{h_K^{2 \min(s, p+1)}}{(p+1)^{2s}} \|\sigma\|_{\mathbf{H}^s(K)}^2 + \frac{h_K^2}{(p+1)^2} \|\nabla \cdot \sigma\|_K^2 & \text{if } s < 1, \\ \sum_{K \in \mathcal{T}} \frac{h_K^{2 \min(s, p+1)}}{(p+1)^{2s}} \|\sigma\|_{\mathbf{H}^s(K)}^2 & \text{if } s \geq 1. \end{cases}$$

- $\lesssim$ : only depends on  $d$ , shape-regularity of  $\mathcal{T}$ , and  $s$
- employs flux reconstruction in  $\mathbf{RTN}_{p+1}$  without  $I_p^{\mathbf{RTN}}$  interpolate
- contours known (quasi-)interpolates
- fully optimal  $hp$  approximation estimate (minimal elementwise regularity, no logarithmic factor in  $p$ )

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$$\min_{\substack{\mathbf{v}_h \in \mathbf{RTN}_p(\mathcal{T}) \cap \mathbf{H}(\operatorname{div}, \Omega) \\ \nabla \cdot \mathbf{v}_h = \Pi_p(\nabla \cdot \sigma)}} \left[ \|\sigma - \mathbf{v}_h\|^2 + \sum_{K \in \mathcal{T}} \frac{h_K^2}{(p+1)^2} \|\nabla \cdot \sigma - \Pi_p(\nabla \cdot \sigma)\|_K^2 \right]$$

$$\lesssim_s \begin{cases} \sum_{K \in \mathcal{T}} \frac{h_K^{2 \min(s, p+1)}}{(p+1)^{2s}} \|\sigma\|_{\mathbf{H}^s(K)}^2 + \frac{h_K^2}{(p+1)^2} \|\nabla \cdot \sigma\|_K^2 & \text{if } s < 1, \\ \sum_{K \in \mathcal{T}} \frac{h_K^{2 \min(s, p+1)}}{(p+1)^{2s}} \|\sigma\|_{\mathbf{H}^s(K)}^2 & \text{if } s \geq 1. \end{cases}$$

- $\lesssim$ : only depends on  $d$ , shape-regularity of  $\mathcal{T}$ , and  $s$
- employs flux reconstruction in  $\mathbf{RTN}_{p+1}$  without  $I_p^{\operatorname{RTN}}$  interpolate
- contours known (quasi-)interpolates
- fully optimal  $hp$  approximation estimate (minimal elementwise regularity, no logarithmic factor in  $p$ )

# Optimal $hp$ approximation estimate

Theorem (Elementwise localized  $hp$  approx., Ern, Gudi, Smears, & V. (2019))

For any  $\sigma \in \mathbf{H}(\operatorname{div}, \Omega)$  s.t., locally on all  $K \in \mathcal{T}$ ,

$$\sigma|_K \in \mathbf{H}^s(K), s > 0,$$

there holds

$$\min_{\begin{array}{l} \mathbf{v}_h \in \mathbf{RTN}_p(\mathcal{T}) \cap \mathbf{H}(\operatorname{div}, \Omega) \\ \nabla \cdot \mathbf{v}_h = \Pi_p(\nabla \cdot \sigma) \end{array}} \left[ \|\sigma - \mathbf{v}_h\|^2 + \sum_{K \in \mathcal{T}} \frac{h_K^2}{(p+1)^2} \|\nabla \cdot \sigma - \Pi_p(\nabla \cdot \sigma)\|_K^2 \right]$$

$$\lesssim_s \begin{cases} \sum_{K \in \mathcal{T}} \frac{h_K^{2 \min(s, p+1)}}{(p+1)^{2s}} \|\sigma\|_{\mathbf{H}^s(K)}^2 + \frac{h_K^2}{(p+1)^2} \|\nabla \cdot \sigma\|_K^2 & \text{if } s < 1, \\ \sum_{K \in \mathcal{T}} \frac{h_K^{2 \min(s, p+1)}}{(p+1)^{2s}} \|\sigma\|_{\mathbf{H}^s(K)}^2 & \text{if } s \geq 1. \end{cases}$$

- $\lesssim$ : only depends on  $d$ , shape-regularity of  $\mathcal{T}$ , and  $s$
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# Laplace model problem: $-\Delta u = f$ in $\Omega$ , $u = 0$ on $\partial\Omega$

Theorem (Optimal  $hp$  *a priori* error estimate for MFEs, Ern, Gudi, Smears, & V. (2019))

From  $H(\text{div}, \Omega)$   $hp$  approx., there holds

$$\|\boldsymbol{\sigma} - \boldsymbol{\sigma}_h\| = \min_{\substack{\boldsymbol{v}_h \in \boldsymbol{V}_h \\ \nabla \cdot \boldsymbol{v}_h = \Pi_p f}} \|\boldsymbol{\sigma} - \boldsymbol{v}_h\| \lesssim_{s,\sigma} \frac{h^{\min(s,p+1)}}{(p+1)^s}.$$

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# Laplace model problem: $-\Delta u = f$ in $\Omega$ , $u = 0$ on $\partial\Omega$

## Mixed least-squares weak formulation

Find  $(\sigma, u) \in \mathbf{H}(\text{div}, \Omega) \times H_0^1(\Omega)$  such that

$$(\sigma + \nabla u, \nabla v) = 0 \quad \forall v \in H_0^1(\Omega),$$

$$h_\Omega^2(\nabla \cdot \sigma, \nabla \cdot p) + (\sigma + \nabla u, p) = h_\Omega^2(f, \nabla \cdot p) \quad \forall p \in \mathbf{H}(\text{div}, \Omega).$$

## Least-squares mixed finite elements

Let  $V_h := RTN_p(\mathcal{T}) \cap \mathbf{H}(\text{div}, \Omega)$ ,  $p \geq 0$ ,  $V_h := \mathbb{P}_q(\mathcal{T}) \cap H_0^1(\Omega)$ ,  $q \geq 1$ . Find  $(\sigma_h, u_h) \in V_h \times V_h$  such that

$$(\sigma_h + \nabla u_h, \nabla v_h) = 0 \quad \forall v_h \in V_h,$$

$$h_\Omega^2(\nabla \cdot \sigma_h, \nabla \cdot p_h) + (\sigma_h + \nabla u_h, p_h) = h_\Omega^2(f, \nabla \cdot p_h) \quad \forall p_h \in V_h.$$

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# Laplace model problem: $-\Delta u = f$ in $\Omega$ , $u = 0$ on $\partial\Omega$

**Lemma (A priori bound for least-squares mixed finite elements)**

*There exists a positive constant  $C = C(\Omega) \leq 1/8$  s.t.*

$$\begin{aligned} & \| \boldsymbol{\sigma} - \boldsymbol{\sigma}_h \| + \| \nabla(u - u_h) \| \\ & \leq C \left( \min_{\substack{\boldsymbol{v}_h \in \mathbf{RTN}_p \cap \mathbf{H}(\text{div}, \Omega) \\ \nabla \cdot \boldsymbol{v}_h = \Pi_p(\nabla \cdot \boldsymbol{\sigma})}} \| \boldsymbol{\sigma} - \boldsymbol{v}_h \| + \min_{\substack{\boldsymbol{v}_h \in \mathbb{P}_q(\mathcal{T}) \cap H_0^1(\Omega)}} \| \nabla(u - \boldsymbol{v}_h) \| \right), \\ & h_\Omega^2 \| \nabla \cdot (\boldsymbol{\sigma} - \boldsymbol{\sigma}_h) \|^2 \leq h_\Omega^2 \| \nabla \cdot \boldsymbol{\sigma} - \Pi_p(\nabla \cdot \boldsymbol{\sigma}) \|^2 + \| \nabla(u - u_h) \|^2 \\ & + \min_{\substack{\boldsymbol{v}_h \in \mathbf{RTN}_p \cap \mathbf{H}(\text{div}, \Omega) \\ \nabla \cdot \boldsymbol{v}_h = \Pi_p(\nabla \cdot \boldsymbol{\sigma})}} \| \boldsymbol{\sigma} - \boldsymbol{v}_h \|^2. \end{aligned}$$

combine with  $\bullet H(\text{div}, \Omega)$  local-global-best and  $\bullet H_0^1(\Omega)$  local-global-best :

**Corollary (Localized *a priori* estimate for least-squares MFEs)**

*Let  $\boldsymbol{\sigma}|_K \in \mathbf{H}^s(K)$ ,  $s > 0$ , and  $u|_K \in H^{1+t}(K)$ ,  $t > 0$ ,  $\forall K \in \mathcal{T}$ . Then*

$$\| \boldsymbol{\sigma} - \boldsymbol{\sigma}_h \| + \| \nabla(u - u_h) \| + h_\Omega \| \nabla \cdot (\boldsymbol{\sigma} - \boldsymbol{\sigma}_h) \| \lesssim_{p, \sigma, u} h^{\min\{s, p+1\}} + h^{\min\{t, q\}}$$

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$$\| \boldsymbol{\sigma} - \boldsymbol{\sigma}_h \| + \| \nabla(u - u_h) \| + h_\Omega \| \nabla \cdot (\boldsymbol{\sigma} - \boldsymbol{\sigma}_h) \| \lesssim_{\textcolor{green}{p}, \boldsymbol{\sigma}, u} h^{\min\{s, \textcolor{red}{p}+1\}} + h^{\min\{t, \textcolor{orange}{q}\}}$$



# Laplace model problem: $-\Delta u = f$ in $\Omega$ , $u = 0$ on $\partial\Omega$

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# Polynomial extension on a tetrahedron

**Lemma ( $H(\text{div})$  polynomial extension on a tetrahedron** Costabel & McIntosh (2010); Ainsworth & Demkowicz (2009; 2D), Demkowicz, Gopalakrishnan, & Schöberl (2012); Ern & V. (2016))

Let  $p \geq 0$ ,  $K \in \mathcal{T}$ ,  $\mathcal{F}_K^N \subset \mathcal{F}_K$ . Let  $\mathbf{r} \in \mathbb{P}_p(\mathcal{F}_K^N) \times \mathbb{P}_p(K)$ , satisfying the compatibility  $\sum_{F \in \mathcal{F}_K} (r_F, 1)_F = (r_K, 1)_K$  if  $\mathcal{F}_K^N = \mathcal{F}_K$ . Then

$$\min_{\substack{\mathbf{v}_h \in RTN_p(K) \\ \mathbf{v}_h \cdot \mathbf{n}_K = r_F \quad \forall F \in \mathcal{F}_K^N \\ \nabla \cdot \mathbf{v}_h = r_K}} \|\mathbf{v}_h\|_K \lesssim \min_{\substack{\mathbf{v} \in H(\text{div}, K) \\ \mathbf{v} \cdot \mathbf{n}_K = r_F \quad \forall F \in \mathcal{F}_K^N \\ \nabla \cdot \mathbf{v} = r_K}} \|\mathbf{v}\|_K .$$

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$$\min_{\substack{\mathbf{v}_h \in \mathbf{RTN}_p(K) \\ \mathbf{v}_h \cdot \mathbf{n}_K = r_F \quad \forall F \in \mathcal{F}_K^N \\ \nabla \cdot \mathbf{v}_h = r_K}} \|\mathbf{v}_h\|_K \lesssim \min_{\substack{\mathbf{v} \in H(\text{div}, K) \\ \mathbf{v} \cdot \mathbf{n}_K = r_F \quad \forall F \in \mathcal{F}_K^N \\ \nabla \cdot \mathbf{v} = r_K}} \|\mathbf{v}\|_K .$$

## Context

- $-\Delta \zeta_K = r_K$  in  $K$ ,
- $-\nabla \zeta_K \cdot \mathbf{n}_K = r_F$  on all  $F \in \mathcal{F}_K^N$ ,
- $\zeta_K = 0$  on all  $F \in \mathcal{F}_K \setminus \mathcal{F}_K^N$ .

Set  $\varphi_K := -\nabla \zeta_K$ .

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$$\|\varphi_{h,K}\|_K \stackrel{\text{MFEs}}{=} \min_{\substack{\mathbf{v}_h \in \mathbf{RTN}_p(K) \\ \mathbf{v}_h \cdot \mathbf{n}_K = r_F \quad \forall F \in \mathcal{F}_K^N \\ \nabla \cdot \mathbf{v}_h = r_K}} \|\mathbf{v}_h\|_K \lesssim \min_{\substack{\mathbf{v} \in H(\text{div}, K) \\ \mathbf{v} \cdot \mathbf{n}_K = r_F \quad \forall F \in \mathcal{F}_K^N \\ \nabla \cdot \mathbf{v} = r_K}} \|\mathbf{v}\|_K = \|\varphi_K\|_K.$$

## Context

- $-\Delta \zeta_K = \mathbf{r}_K \quad \text{in } K,$
- $-\nabla \zeta_K \cdot \mathbf{n}_K = \mathbf{r}_F \quad \text{on all } F \in \mathcal{F}_K^N,$
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# Broken polynomial extension on a patch

Theorem (Broken  $\mathbf{H}(\text{div})$  polynomial extension on a patch Braess,

Pillwein, & Schöberl (2009; 2D), Ern & V. (2016; 3D))

For  $p \geq 0$  and  $\mathbf{a} \in \mathcal{V}^{\text{int}}$ , let  $\mathbf{r} \in \mathbb{P}_p(\mathcal{F}_{\mathbf{a}}) \times \mathbb{P}_p(\mathcal{T}_{\mathbf{a}})$ . Suppose the compatibility

$$\sum_{K \in \mathcal{T}_{\mathbf{a}}} (r_K, 1)_K - \sum_{F \in \mathcal{F}_{\mathbf{a}}} (r_F, 1)_F = 0.$$

Then

$$\min_{\begin{array}{l} \mathbf{v}_h \in \mathbf{RTN}_p(\mathcal{T}_{\mathbf{a}}) \\ \mathbf{v}_h \cdot \mathbf{n}_F = r_F \quad \forall F \in \mathcal{F}_{\mathbf{a}}^{\text{ext}} \\ [\![\mathbf{v}_h \cdot \mathbf{n}_F]\!] = r_F \quad \forall F \in \mathcal{F}_{\mathbf{a}}^{\text{int}} \\ \nabla_h \cdot \mathbf{v}_h|_K = r_K \quad \forall K \in \mathcal{T}_{\mathbf{a}} \end{array}} \|\mathbf{v}_h\|_{\omega_{\mathbf{a}}} \lesssim \min_{\begin{array}{l} \mathbf{v} \in \mathbf{H}(\text{div}, \mathcal{T}_{\mathbf{a}}) \\ \mathbf{v} \cdot \mathbf{n}_F = r_F \quad \forall F \in \mathcal{F}_{\mathbf{a}}^{\text{ext}} \\ [\![\mathbf{v} \cdot \mathbf{n}_F]\!] = r_F \quad \forall F \in \mathcal{F}_{\mathbf{a}}^{\text{int}} \\ \nabla_h \cdot \mathbf{v}|_K = r_K \quad \forall K \in \mathcal{T}_{\mathbf{a}} \end{array}} \|\mathbf{v}\|_{\omega_{\mathbf{a}}}.$$

# Outline

- 1 Introduction: *a priori* error estimates for mixed finite element methods and global-best – local best equivalence in  $H_0^1(\Omega)$
- 2 Simple stable local commuting projector in  $\mathbf{H}(\text{div})$
- 3 Global-best – local-best equivalence in  $\mathbf{H}(\text{div})$
- 4 Elementwise localized optimal  $hp$  approximation estimates
- 5 Elementwise localized *a priori* error estimates
  - Mixed finite element methods
  - Least-squares mixed finite element methods
- 6 Tools ( $p$ -robustness)
  - Polynomial extension on a tetrahedron
  - Broken polynomial extension on a patch
- 7 Conclusions and outlook

# Conclusions and outlook

## Conclusions

- a simple stable local commuting projector in  $\mathbf{H}(\text{div}, \Omega)$
- global-best – local-best equivalence in  $\mathbf{H}(\text{div}, \Omega)$
- optimal localized  $hp$  approximation estimates under minimal regularity
- optimal *a priori* error estimates for mixed finite elements and least-squares mixed finite elements

## Ongoing work

- extensions to other settings

# Conclusions and outlook

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- a simple stable local commuting projector in  $H(\text{div}, \Omega)$
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**Thank you for your attention!**

Lemma ( $H^1$  polynomial extension on a tetrahedron Babuška, Suri (1987; 2D), Muñoz-Sola (1997), Demkowicz, Gopalakrishnan, & Schöberl (2009))

Let  $p \geq 1$ ,  $K \in \mathcal{T}$ , and  $\mathcal{F}_K^D \subset \mathcal{F}_K$ . Let  $r \in \mathbb{P}_p(\mathcal{F}_K^D)$  be continuous on  $\mathcal{F}_K^D$ . Then

$$\min_{\substack{v_h \in \mathbb{P}_p(K) \\ v_h = r_F \text{ on all } F \in \mathcal{F}_K^D}} \|\nabla v_h\|_K \lesssim \underbrace{\min_{\substack{v \in H^1(K) \\ v = r_F \text{ on all } F \in \mathcal{F}_K^D}} \|\nabla v\|_K}_{\|r\|_{H^{1/2}(\partial K)}}.$$

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## Context

$$-\Delta \zeta_K = 0 \quad \text{in } K,$$

$$\zeta_K = r_F \quad \text{on all } F \in \mathcal{F}_K^D,$$

$$-\nabla \zeta_K \cdot \mathbf{n}_K = 0 \quad \text{on all } F \in \mathcal{F}_K \setminus \mathcal{F}_K^D.$$

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$$\|\nabla \zeta_{h,K}\|_K \stackrel{FEs}{=} \min_{\substack{v_h \in \mathbb{P}_p(K) \\ v_h = r_F \text{ on all } F \in \mathcal{F}_K^D}} \|\nabla v_h\|_K \lesssim \underbrace{\min_{\substack{v \in H^1(K) \\ v = r_F \text{ on all } F \in \mathcal{F}_K^D}} \|\nabla v\|_K}_{\|r\|_{H^{1/2}(\partial K)}} = \|\nabla \zeta_K\|_K.$$

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# Potentials

Theorem (Broken  $H^1$  polynomial extension on a patch Ern & V. (2015, 2016))

For  $p \geq 1$  and  $\mathbf{a} \in \mathcal{V}^{\text{int}}$ , let  $r \in \mathbb{P}_p(\mathcal{F}_{\mathbf{a}}^{\text{int}})$ . Suppose the compatibility

$$r_F|_{F \cap \partial\omega_{\mathbf{a}}} = 0 \quad \forall F \in \mathcal{F}_{\mathbf{a}}^{\text{int}},$$

$$\sum_{F \in \mathcal{F}_e} \iota_{F,e} r_F|_e = 0 \quad \forall e \in \mathcal{E}_{\mathbf{a}}.$$

Then

$$\min_{\substack{v_h \in \mathbb{P}_p(\mathcal{T}_{\mathbf{a}}) \\ v_h=0 \quad \forall F \in \mathcal{F}_{\mathbf{a}}^{\text{ext}} \\ [[v_h]] = r_F \quad \forall F \in \mathcal{F}_{\mathbf{a}}^{\text{int}}}} \|\nabla_h v_h\|_{\omega_{\mathbf{a}}} \lesssim \min_{\substack{v \in H^1(\mathcal{T}_{\mathbf{a}}) \\ v=0 \quad \forall F \in \mathcal{F}_{\mathbf{a}}^{\text{ext}} \\ [[v]] = r_F \quad \forall F \in \mathcal{F}_{\mathbf{a}}^{\text{int}}}} \|\nabla_h v\|_{\omega_{\mathbf{a}}}.$$