

# Equilibrated error estimator for contact problems

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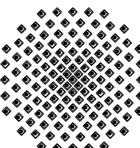
<http://www.ians.uni-stuttgart.de/nmh>

**Workshop on:**

**A posteriori estimates for adaptive mesh refinement and error control**

**October 13, 2008**

**Paris**



# Outline

## 1. Equilibration techniques for error control

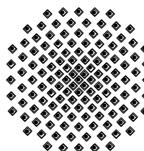
- Equilibrated fluxes for Laplace operator
- One-sided obstacle problem
- Two-sided obstacle problem

## 2. A posteriori error estimates for contact problems

- $H(\text{div})$ -conforming approximations for symmetric tensors
- Local definition of the estimator
- Efficiency and reliability

## 3. AFEM strategy for one-body problems

- Modified error estimator
- Edge residuals
- (Energy based error decay)



# Prager–Synge theorem (Laplace operator)

Prager, Synge: Approximations in elasticity based on the concept of function space.

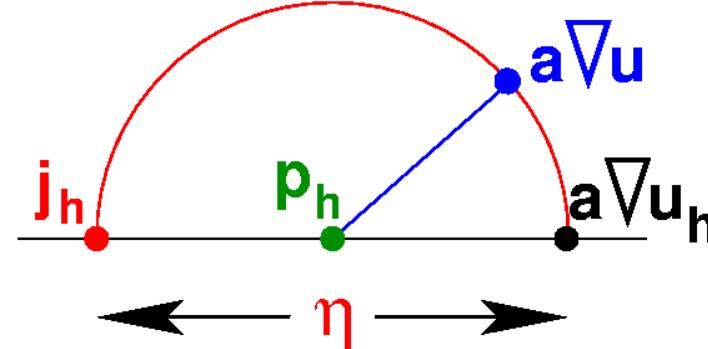
Quart. Appl. Math. 5, (1947). 241–269.

Let  $u_h$  be a conforming finite element solution then

$$\|\nabla u - \nabla u_h\|_0 \leq \|\nabla u_h - \mathbf{j}\|_0 + C \|\operatorname{div} \mathbf{j} - f\|_{-1}$$

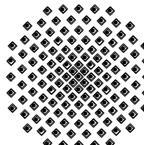
for all  $H(\operatorname{div})$ -conforming vector fields  $\mathbf{j}$

**Idea:** Construct a suitable  $H(\operatorname{div})$ -conforming finite element approximation  $\mathbf{j}_h$



**Remark:** This result can also be regarded as a hypercycle method

⇒ asymptotically exact for postprocessed solution  $p_h := \frac{1}{2}(\mathbf{j}_h + a\nabla u_h)$



# How to construct suitable $H(\text{div})$ -approximations?

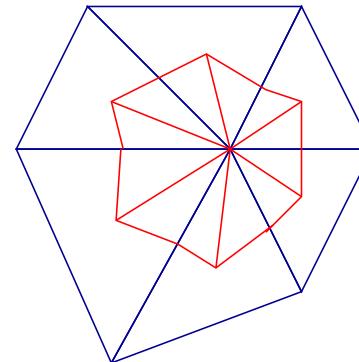
**Idea:** Use standard mixed finite elements, e.g., RT or BDM, such that

$$\text{div} j_h = P_h f,$$

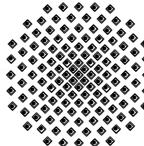
where  $P_h$  is locally defined and reproduces constants

**But:** Solution of a global mixed finite element problem to **expensive**  
**Need** to recover  $j_h$  **locally** from the conforming fe solution  $u_h$

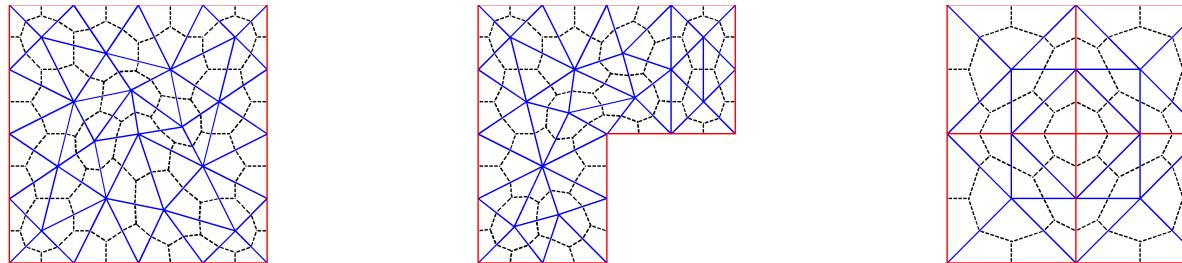
**Possibility one:** Define  $j_h$  on a dual finite volume mesh and use a macro-element based Raviart–Thomas space of lowest order (jww Robert Luce,04)



One macro-element associated with each vertex

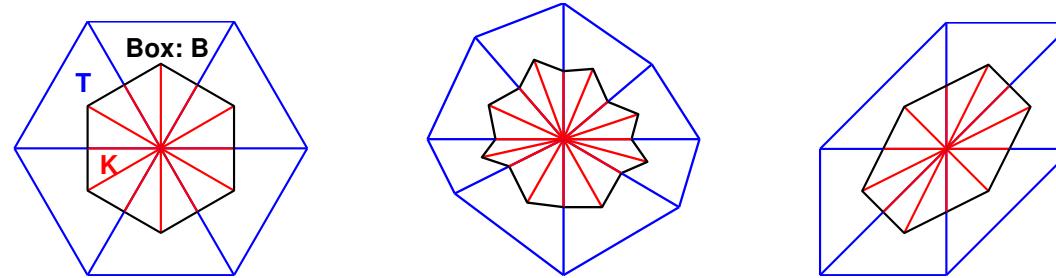


# Simplicial triangulation and finite volume boxes



$\mathcal{B}_h$ : Finite volume boxes on  $\Omega$  and  $\mathcal{T}_h \prec \mathcal{K}_h$ : Simplicial triangulations on  $\Omega$

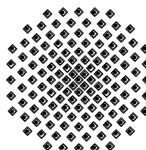
## Local construction



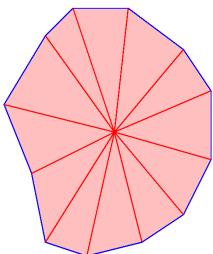
Definition of the Raviart-Thomas space  $\mathcal{S}_h$ :

$$\mathcal{S}_h := \{j \in H(\operatorname{div}; \Omega); \quad j|_K \in RT_0(K); K \in \mathcal{K}_h, \quad \operatorname{div} j|_B \in P_0(B); B \in \mathcal{B}_h\} \subset RT_h$$

$$\text{Local basis of } \mathcal{S}_h: \mathcal{S}_h = \sum_{\substack{e \in \mathcal{E}_h \\ e \subset \partial B}} \operatorname{span} \{w_e\} \oplus \sum_{\substack{B \in \mathcal{B}_h \\ \operatorname{meas}(\partial \Omega \cap \partial B) \neq 0}} \operatorname{span} \{w_B\}$$

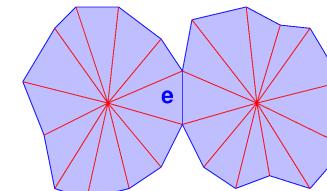


# Flux approximation in $S_h$



Definition of  $w_B$

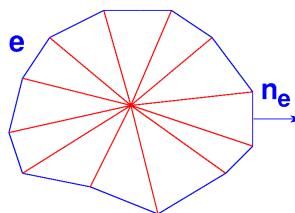
$$w_B := \beta_B \operatorname{curl} \phi_B, \\ \beta_B^{-2} := (\operatorname{curl} \phi_B, \operatorname{curl} \phi_B)_0$$



Definition of  $w_e$

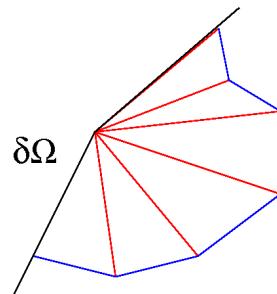
$$w_e n_{\hat{e}}|_{\hat{e}} := \frac{1}{h_e} \delta_{e\hat{e}}, \\ (w_e, w_B)_0 = 0$$

Case I:  $B$  is interior box



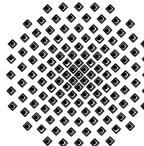
$$j_h := \sum_{e \in \mathcal{E}_B} \alpha_e w_e + \alpha_B w_B \\ \alpha_e := \int_e a \nabla u_h n_e \, d\sigma \\ \alpha_B := \int_B a \nabla u_h \, w_B \, dx$$

Case II:  $B$  is boundary box

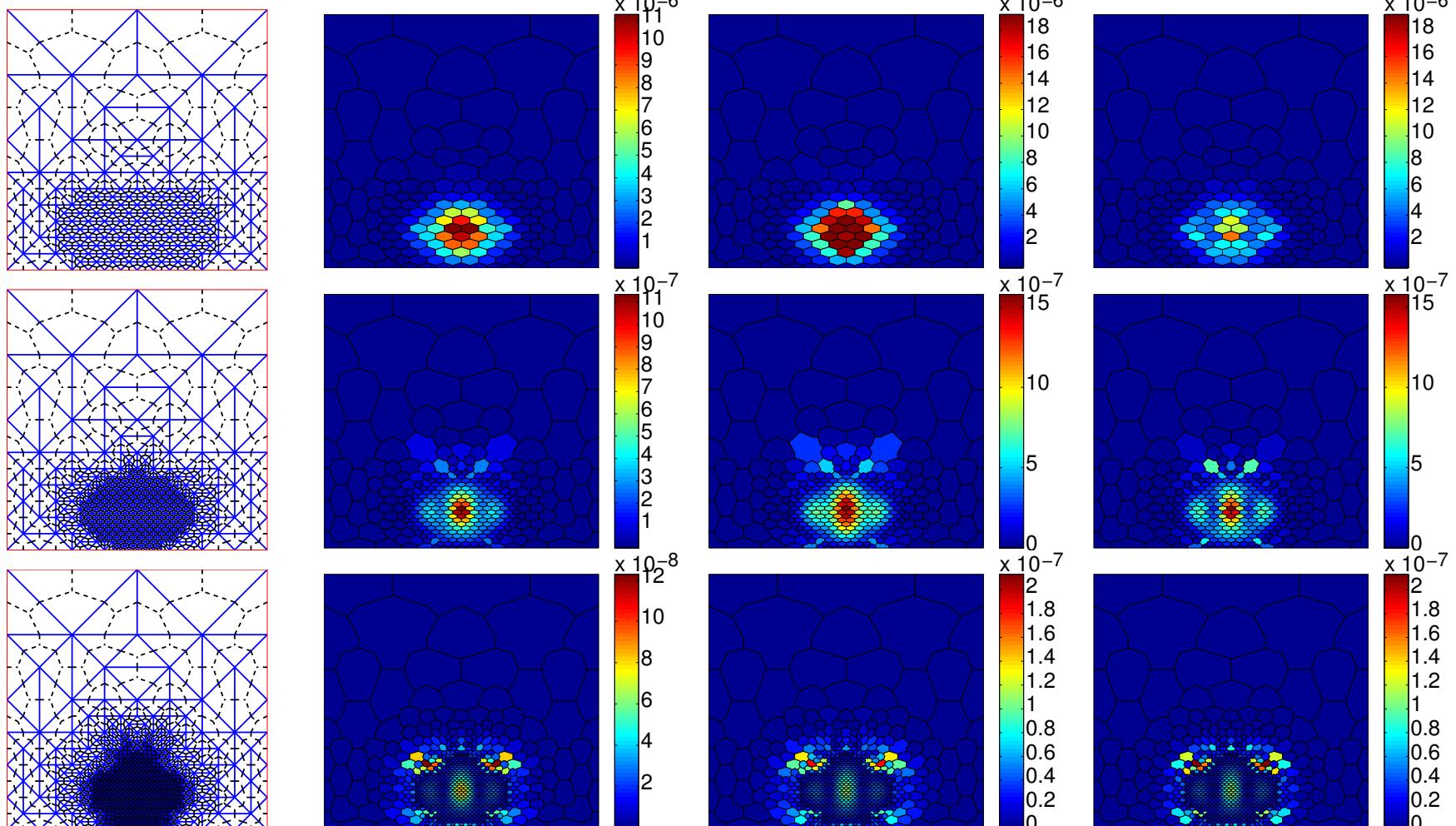


$$j_h := \sum_{\substack{e \in \mathcal{E}_B \\ e \subset \Omega}} \alpha_e w_e + \sum_{\substack{e \in \mathcal{E}_B \\ e \subset \partial\Omega}} \alpha_e w_e \\ \alpha_e := \int_e a \nabla u_h n_e \, d\sigma \quad e \text{ in } \Omega \\ \alpha_e := \alpha_e + \frac{1}{2} \left( \int_{\Omega} -f \phi_B \, dx - \int_{\partial B} a \nabla u_h n \, d\sigma \right)$$

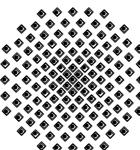
Lemma:  $\operatorname{div} j_h|_B = \frac{1}{|B|} \int_{\Omega} f \phi_B \, dx =: P_Q f|_B$



# Local error contributions (Laplace operator)



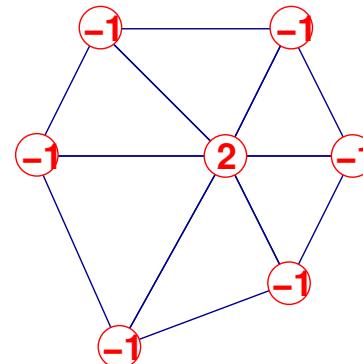
Triangulation, error in  $u_h$ , estimator and error for postprocessed solution (same scale)



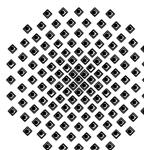
# Characteristic properties of the construction

- Easy and simply construction for low order elements (✓)
- Decoupling of the global problem by “inner” boundaries (✓)
- Generalization to high order elements not straightforward (✗)
- Generalization to symmetric tensors not straightforward (✗)

**Observation:** Each edge of  $\mathcal{T}_h$  is decomposed into two subedges with constant flux  
⇒ this motivates alternative approach in terms of **equilibrated fluxes**



Linear equilibrated fluxes per edge are decoupled by biorthogonality



# Obstacle problem

- **Discrete primal formulation:** Find  $\textcolor{red}{u}_h \in \mathcal{K}_h$  such that

$$a(\textcolor{red}{u}_h, v - \textcolor{red}{u}_h) \leq f(v - \textcolor{red}{u}_h), \quad v \in \mathcal{K}_h,$$

where  $\mathcal{K}_h$  is the discrete set of admissible elements, i.e.,

$$\mathcal{K}_h := \{v \in X_h, \int_{\Omega} v \mu_p \, dx \geq \int_{\Omega} \psi \mu_p \, dx\}$$

and  $\{\mu_p\}_p$  forms a set of biorthogonal basis functions wrt  $\{\phi_p\}_p$

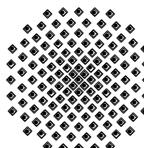
- **Discrete hybrid formulation:**  $(\textcolor{red}{u}_h, \lambda_h) \in (X_h, M_h^+)$ ,  $M_h^+ := \{\sum_p \alpha_p \mu_p, \alpha_p \geq 0\}$

$$a(\textcolor{red}{u}_h, v_h) + b(\lambda_h, v_h) = f(v_h), \quad v_h \in X_h,$$

$$b(\mu_h - \lambda_h, \textcolor{red}{u}_h) \leq \langle \psi, \mu_h - \lambda_h \rangle, \quad \mu_h \in M_h^+.$$

$a(\cdot, \cdot)$  bilinear form,  $b(\cdot, \cdot) := \langle \cdot, \cdot \rangle$  duality pairing between  $H^{-1}$  and  $H_0^1$

$\lambda_h$  can be seen as an additional source term for the a posteriori analysis



# Sinus-shaped obstacle

Problem Setting:

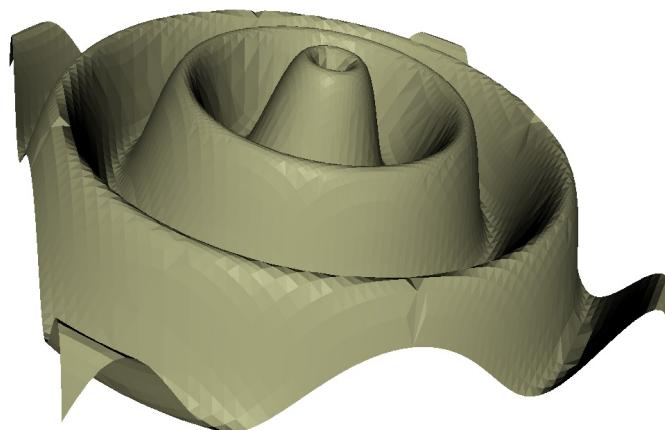
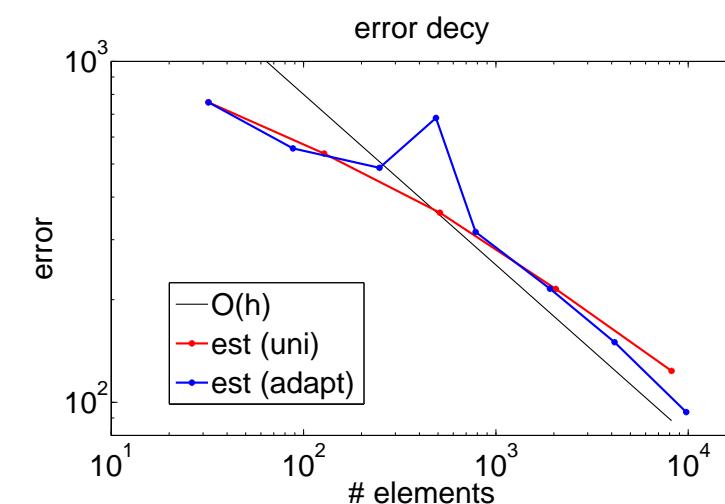
Obstacle:

$$\psi = 3\|x - (0.5, 0.5)\| - \sin(10\pi\|x - (0.5, 0.5)\|)$$

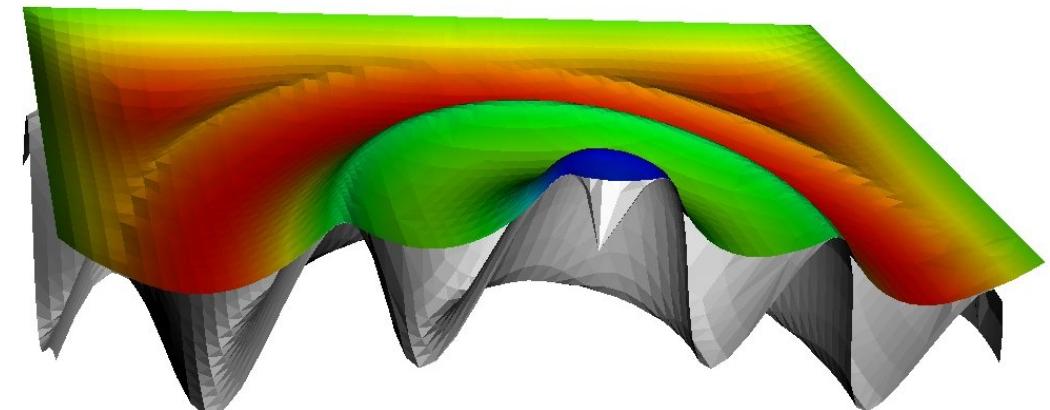
Rhs:

$$f = 0$$

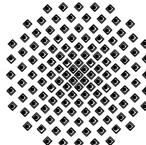
Zero Dirichlet boundary conditions



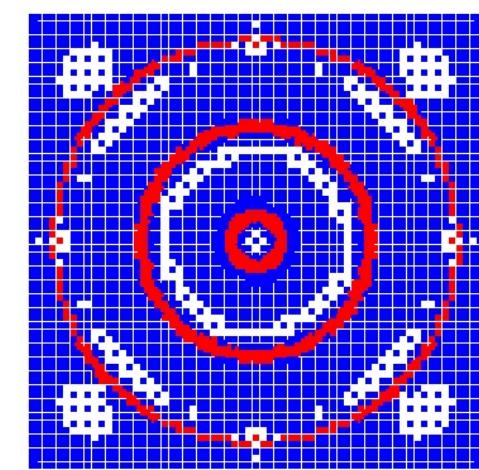
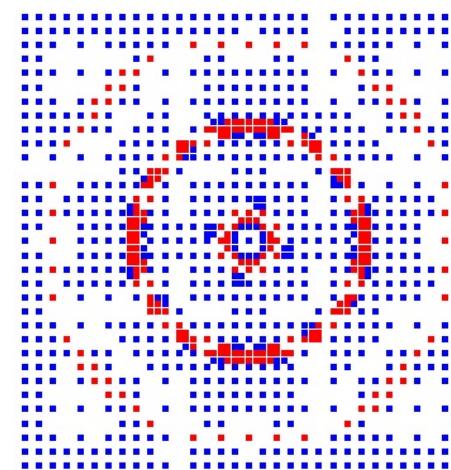
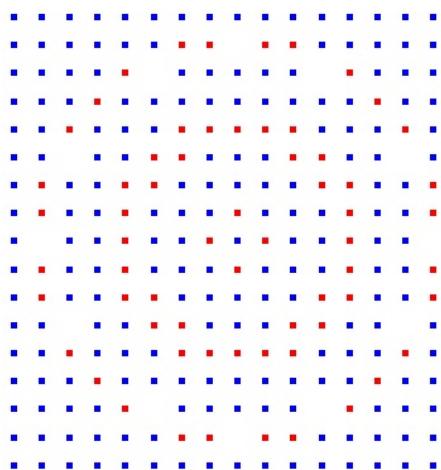
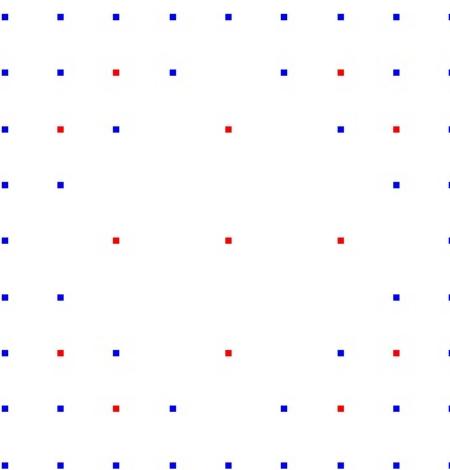
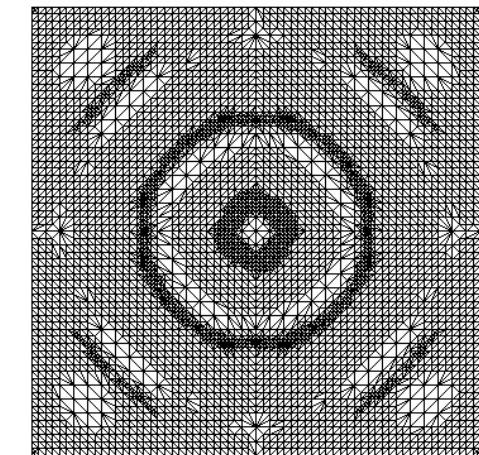
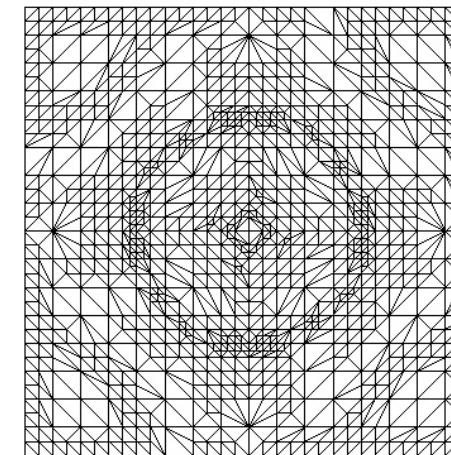
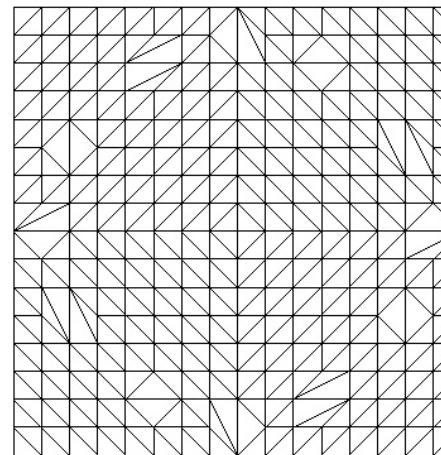
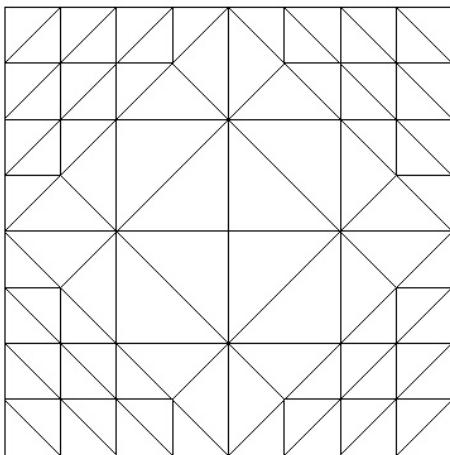
Obstacle



Solution of contact problem (cut)



## Grid and active set on different refinement levels

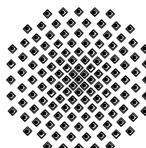


Level 1

Level 3

Level 5

Level 7



# Non-smooth obstacle

Problem Setting:

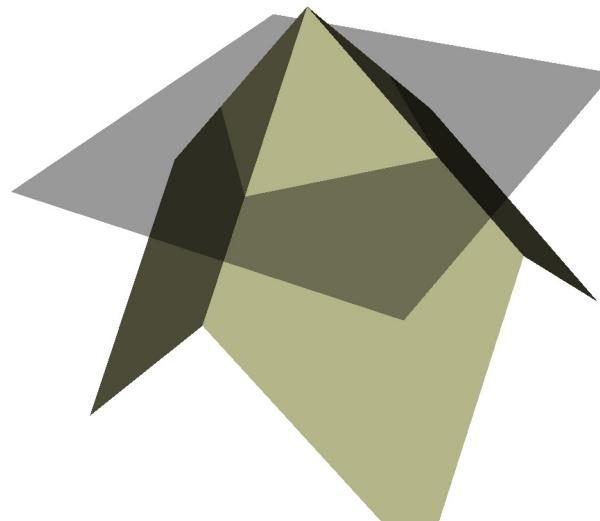
Obstacle:

$$\psi = \|x - (0.5, 0.5)\|_1 - 0.3$$

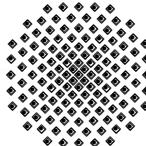
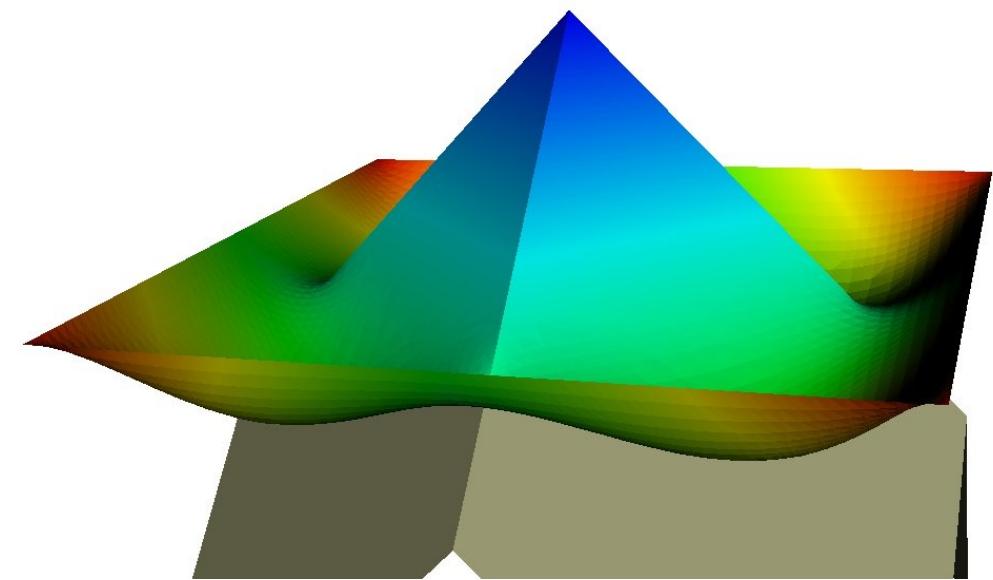
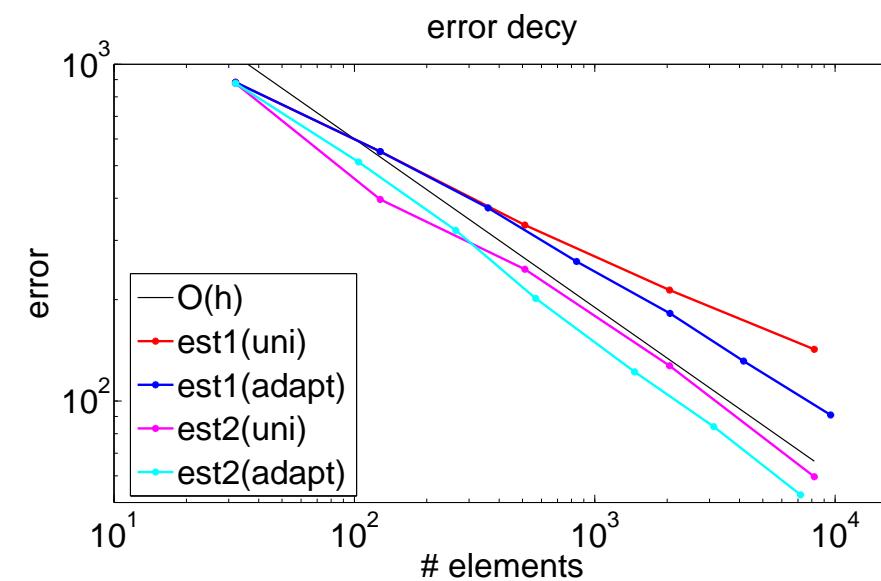
Rhs:

$$f = 0$$

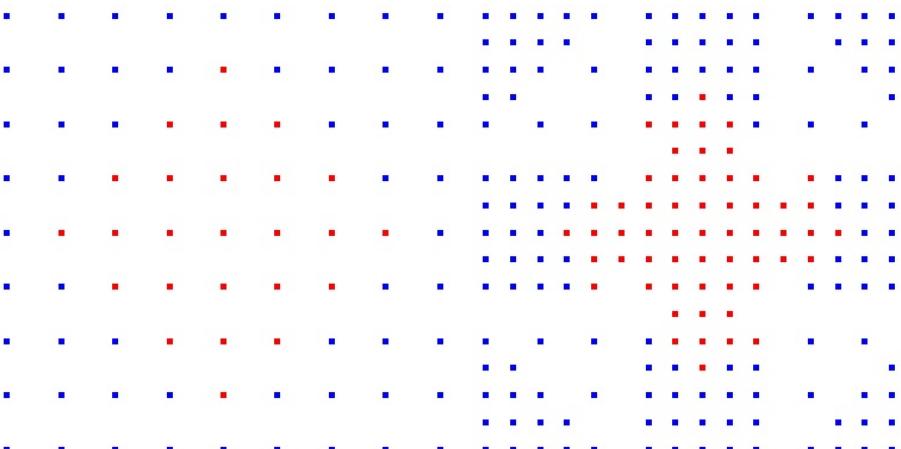
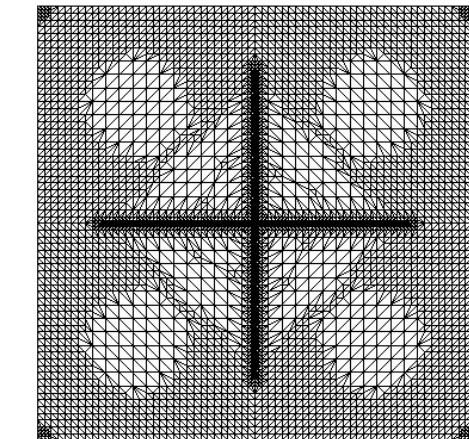
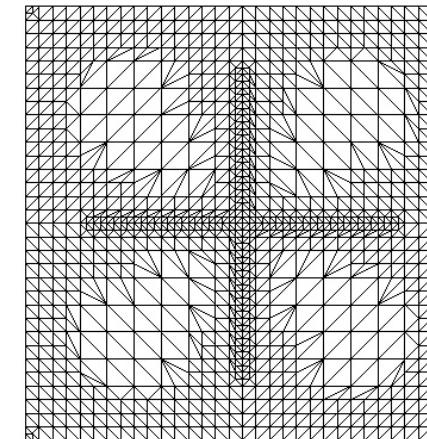
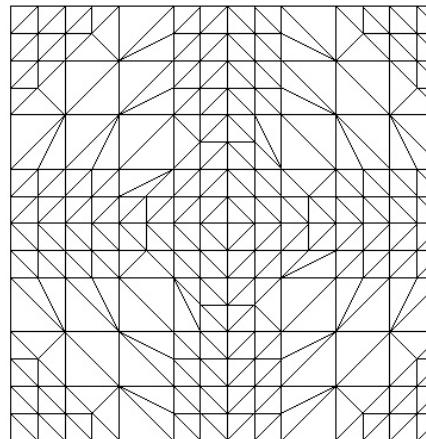
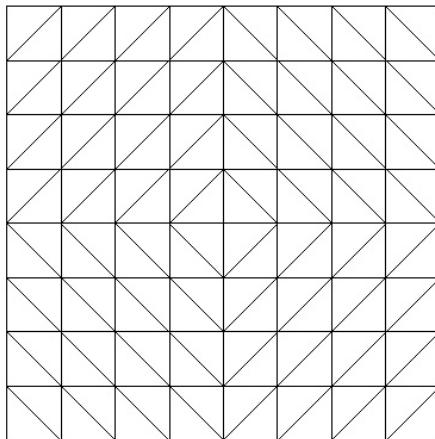
Zero Dirichlet boundary conditions



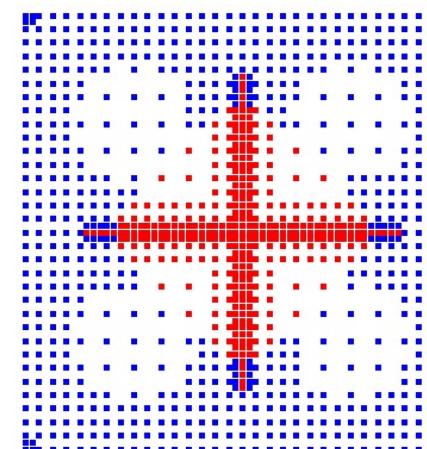
Obstacle



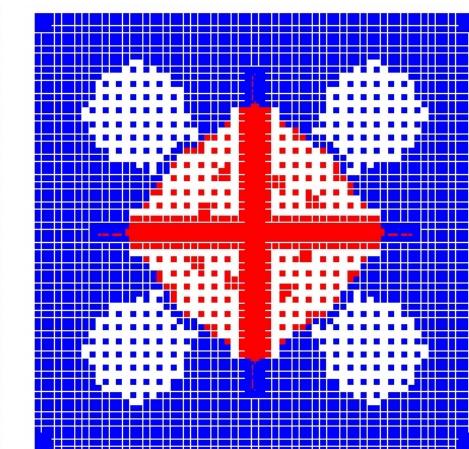
# Adaptive meshes and active sets



Level 1



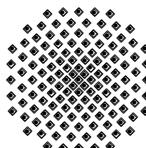
Level 2



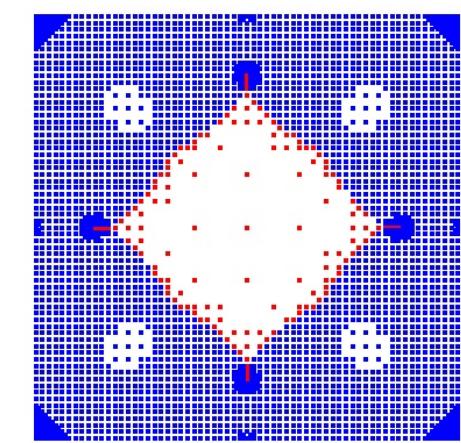
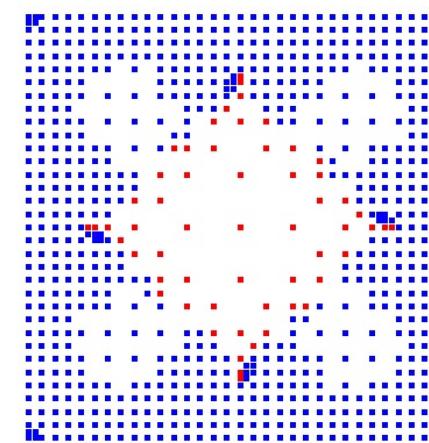
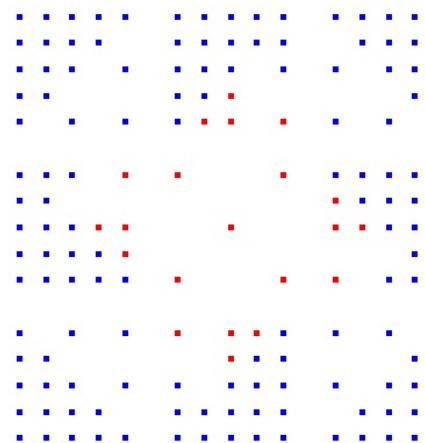
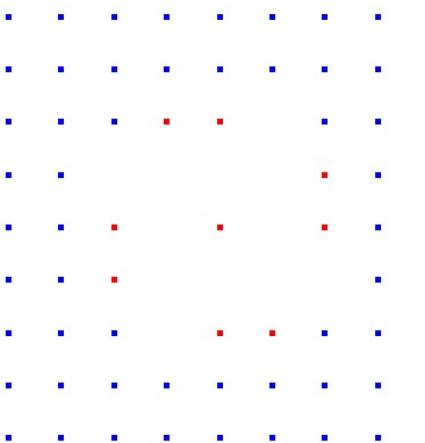
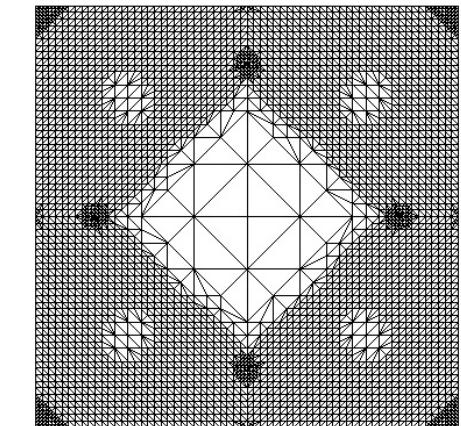
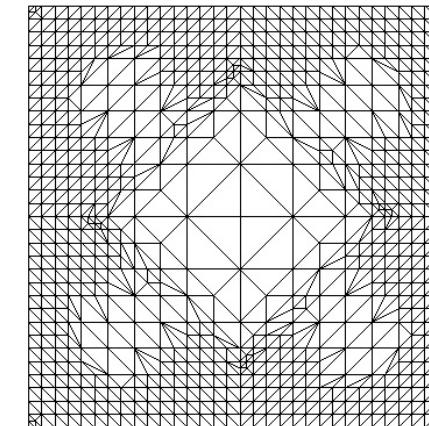
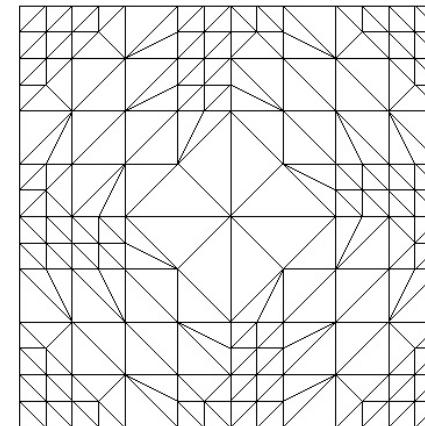
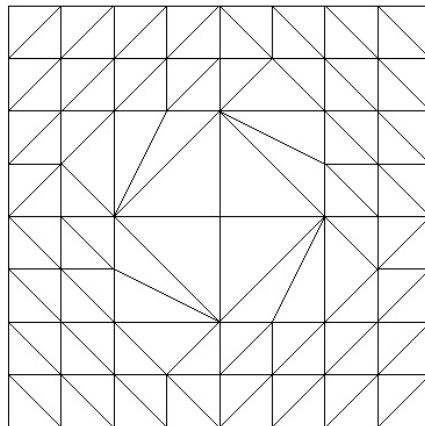
Level 4

Level 6

Solution is not in  $H(\text{div}) \Rightarrow$  Overestimation and no correct asymptotic



# Adaptive meshes and active sets



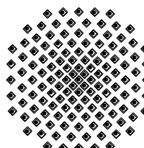
Level 1

Level 2

Level 4

Level 6

Regularity of  $j_h$  has to be weakened  $\Rightarrow [j_h n]$  not necessarily non-zero



# Obstacle problem between two membranes

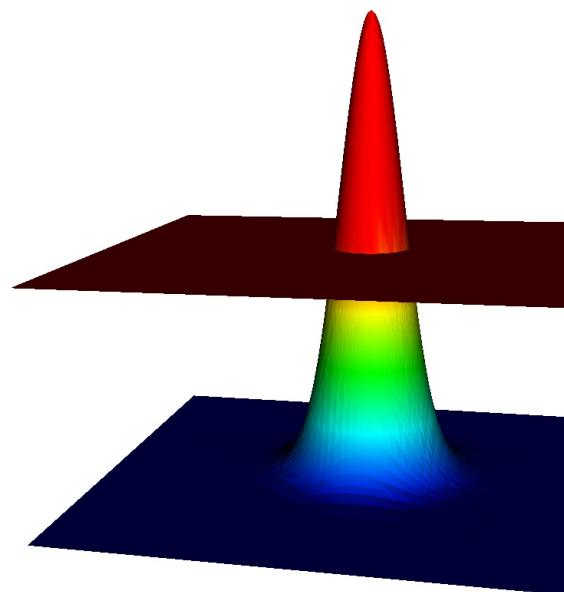
Problem Setting (unconstrained):

$$u_m = 0.5$$

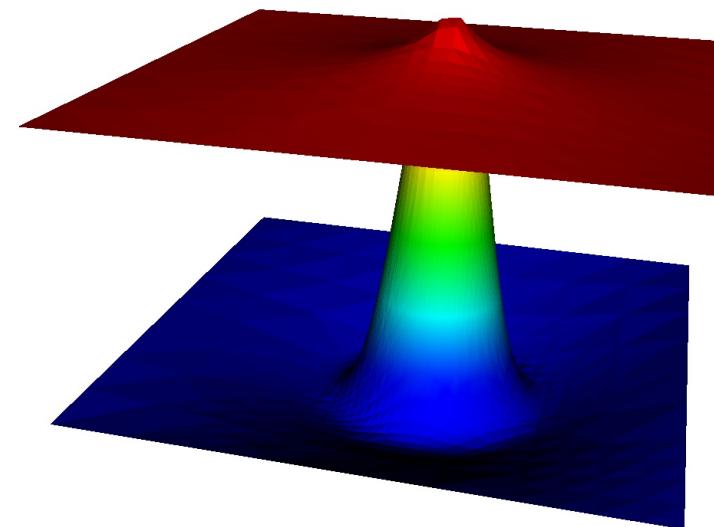
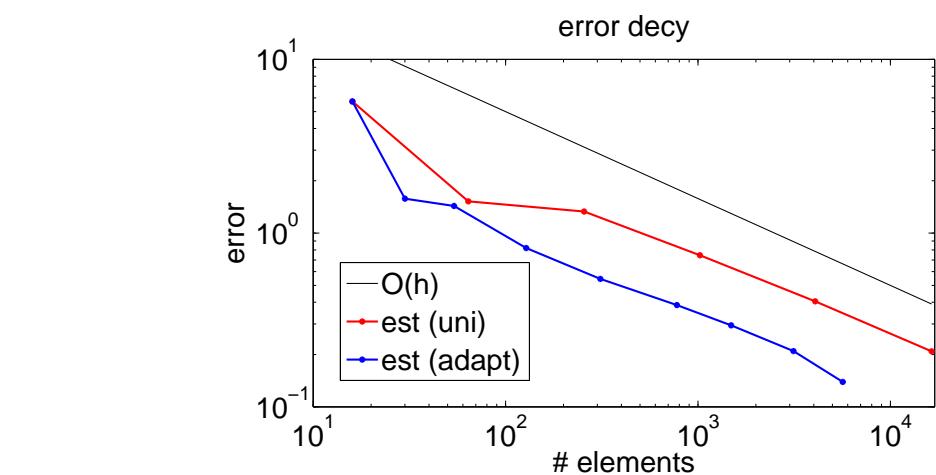
$$u_s = e^{-100\|x-(0.45, 0.57)\|}$$

$$K_m = 3Id, K_s = Id$$

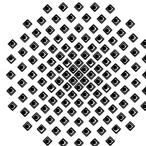
Dirichlet boundary conditions



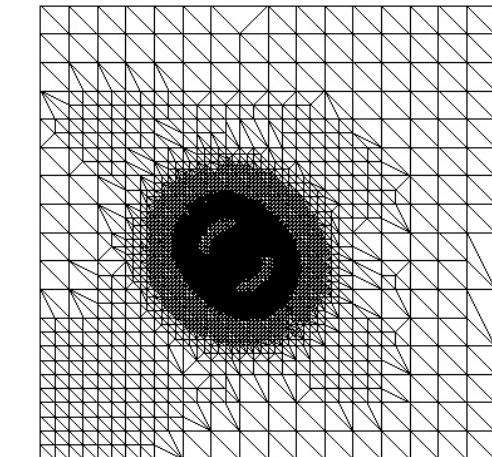
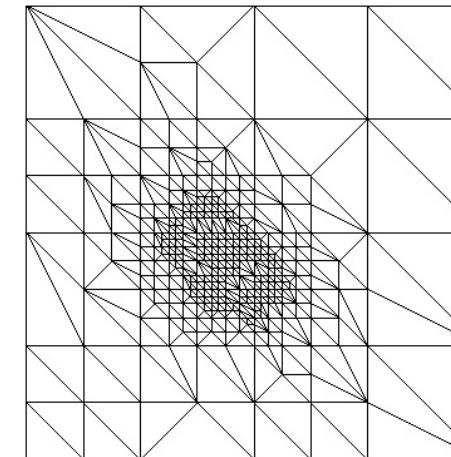
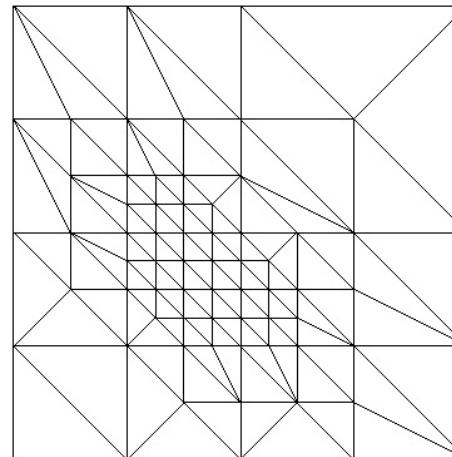
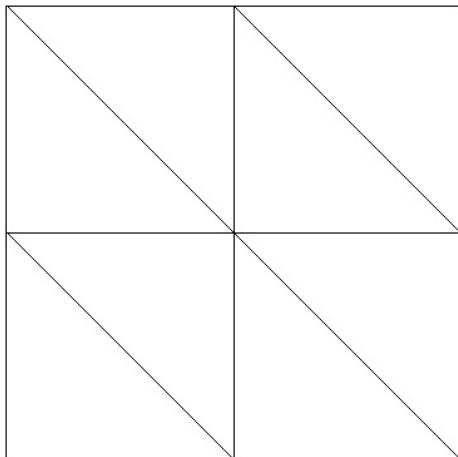
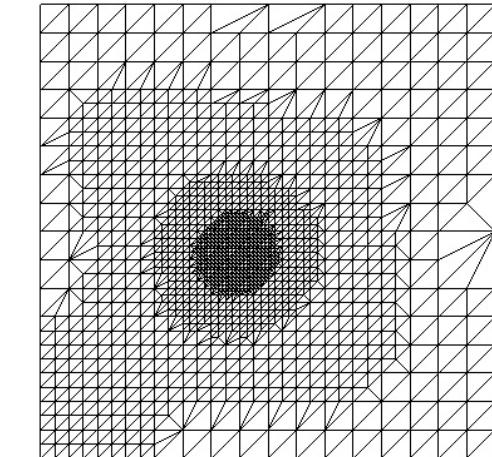
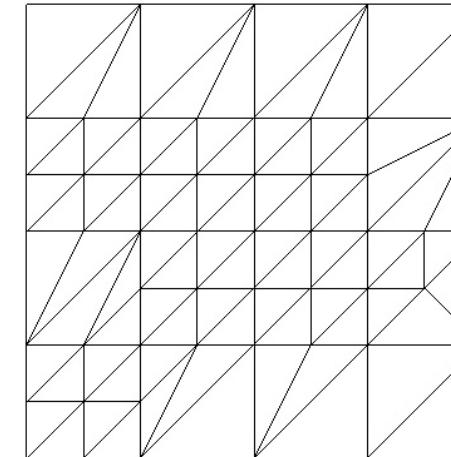
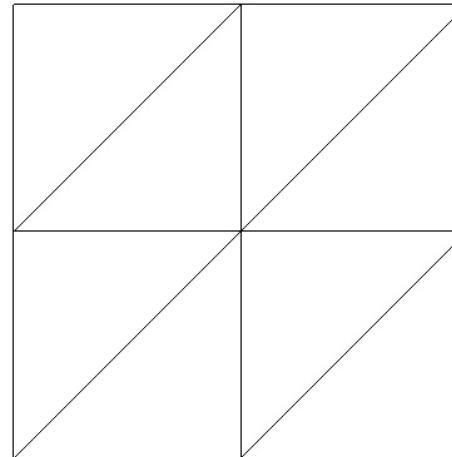
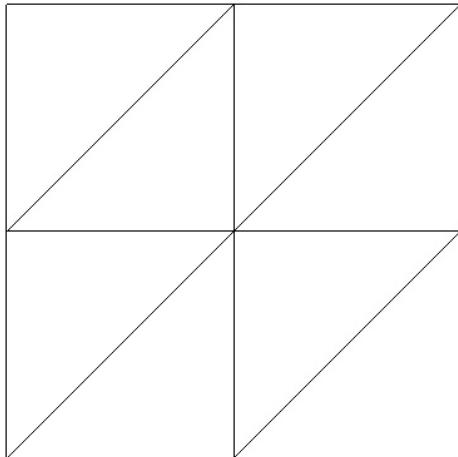
Solution without restriction



Solution of contact problem



# Non-matching adaptive meshes

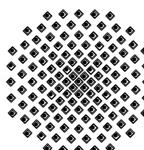


Level 0

Level 3

Level 5

Level 8



# Obstacle problem between two membranes

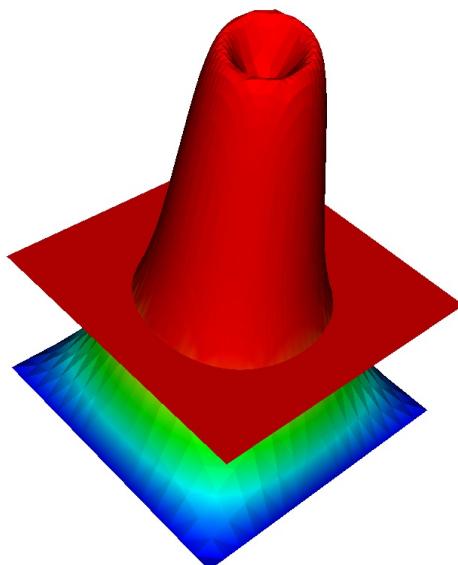
Problem Setting (unconstrained):

$$u_m = 0.5$$

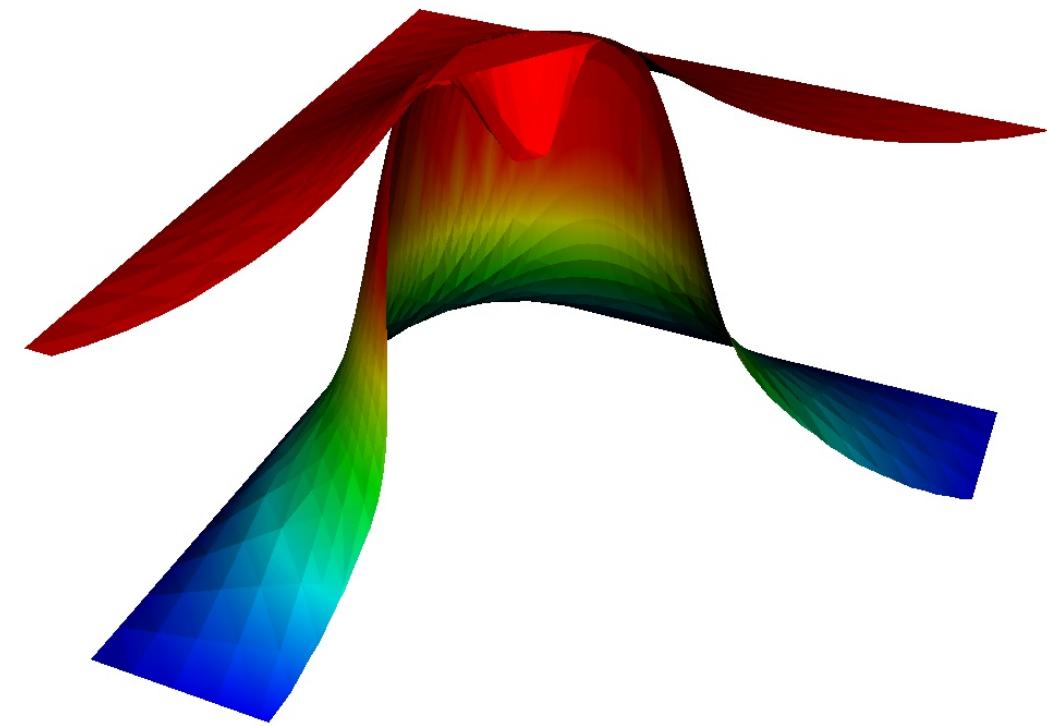
$$u_s = e^{-1000(\|x - (0.45, 0.57)\|^2 - 0.1^2)^2}$$

$$K_m = 3Id, K_s = Id$$

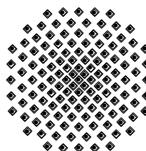
Dirichlet boundary conditions



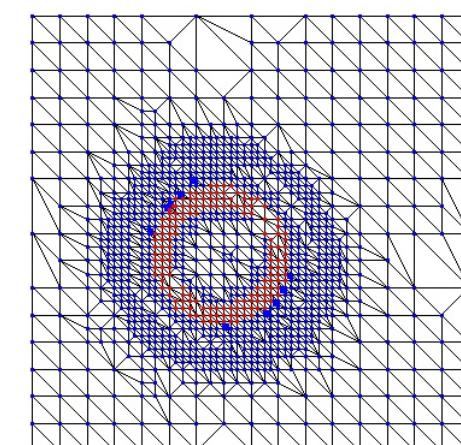
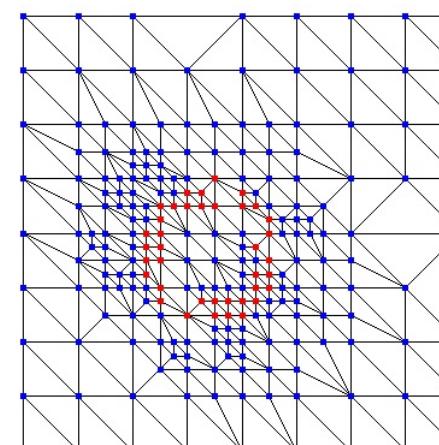
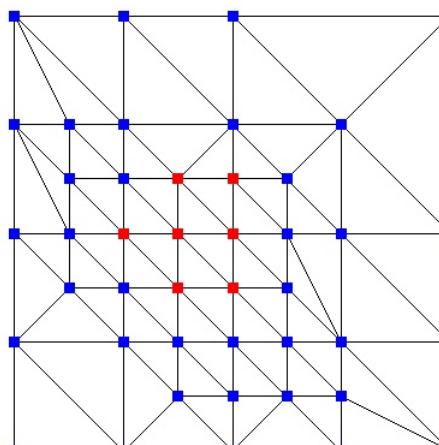
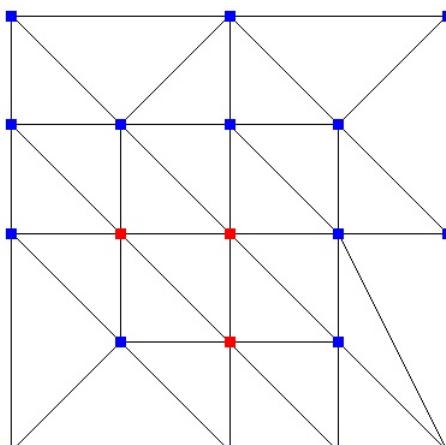
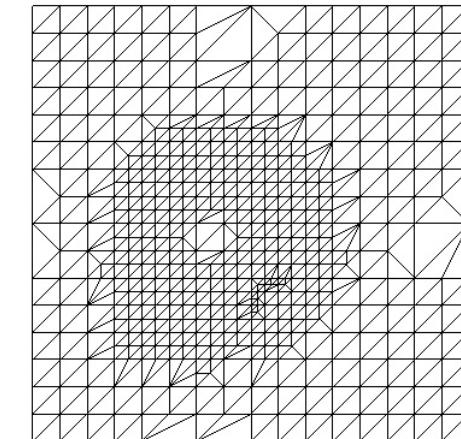
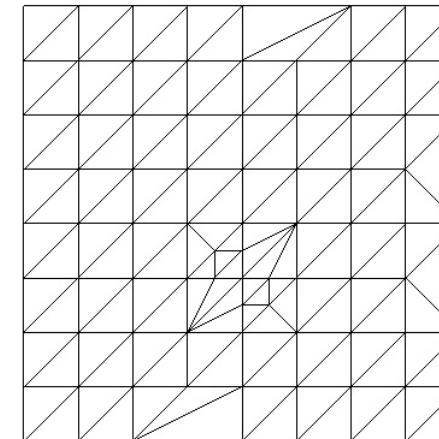
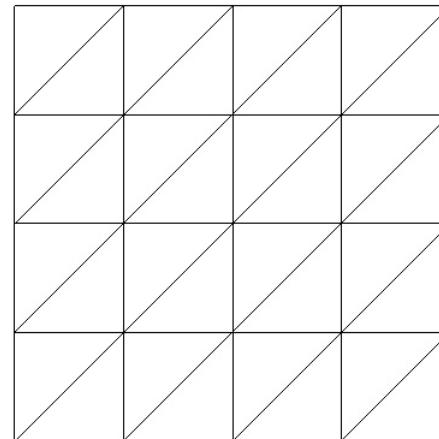
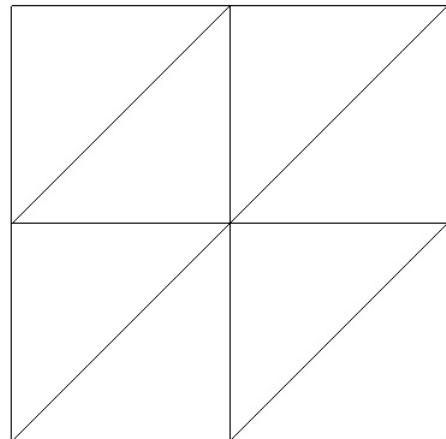
Solution without restriction



Solution of contact problem (cut)



# Non-matching meshes and active sets

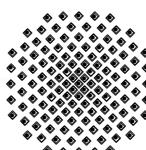


Level 1

Level 2

Level 4

Level 6



# Contact problem with Coulomb friction

## Linear Elasticity:

$$-\operatorname{div} \boldsymbol{\sigma} = \mathbf{f} \quad \text{in } \Omega,$$

$$\mathbf{u} = 0 \quad \text{on } \Gamma_D, \quad \boldsymbol{\sigma} \mathbf{n} = \mathbf{p} \quad \text{on } \Gamma_N$$

## Non-penetration:

$$[\mathbf{u}]_n - g \leq 0,$$

$$\sigma_{\mathbf{n}} := \boldsymbol{\sigma}_{\mathbf{n}}(\mathbf{u}_m) = \boldsymbol{\sigma}_{\mathbf{n}}(\mathbf{u}_s) \leq 0,$$

$$\sigma_{\mathbf{n}}([\mathbf{u}]_n - g) = 0$$

jump:  $[\mathbf{u}] := (\mathbf{u}_s - P_m^s \mathbf{u}_m)$

$$[\mathbf{u}]_n := [\mathbf{u}] \cdot \mathbf{n}, \quad [\mathbf{u}]_{\mathbf{t}} := [\mathbf{u}] - [\mathbf{u}]_n \mathbf{n}$$

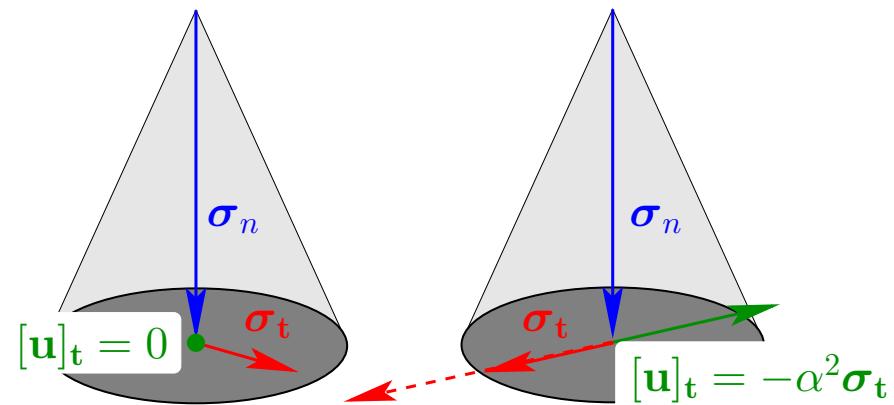
stress:  $\boldsymbol{\sigma}_{\mathbf{n}} := \boldsymbol{\sigma} \mathbf{n} \cdot \mathbf{n}, \quad \boldsymbol{\sigma}_{\mathbf{t}} := \boldsymbol{\sigma} \mathbf{n} - \boldsymbol{\sigma}_{\mathbf{n}} \mathbf{n}$

## Coulomb friction:

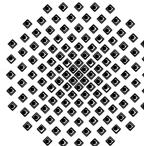
$$|\boldsymbol{\sigma}_{\mathbf{t}}| - \mathfrak{F} |\boldsymbol{\sigma}_{\mathbf{n}}| \leq 0,$$

$$[\mathbf{u}]_{\mathbf{t}} + \alpha^2 \boldsymbol{\sigma}_{\mathbf{t}} = 0,$$

$$[\mathbf{u}]_{\mathbf{t}} (|\boldsymbol{\sigma}_{\mathbf{t}}| - \mathfrak{F} |\boldsymbol{\sigma}_{\mathbf{n}}|) = 0$$



⇒ Discretization in terms of **mortar finite elements** and **dual Lagrange multipliers**



# Discretization on non-matching meshes

- Constraints are **weakly** satisfied in terms of Lagrange multipliers:

Displacement  $\mathbf{u}$ : primal variable

Contact stress  $\boldsymbol{\lambda} := -\sigma \mathbf{n}$ : dual variable

- **Discrete hybrid formulation:**  $(\mathbf{u}_h, \boldsymbol{\lambda}_h) \in (X_h, M_h(\boldsymbol{\lambda}_h))$

$$a(\mathbf{u}_h, \mathbf{v}_h) + b(\boldsymbol{\lambda}_h, \mathbf{v}_h) = f(\mathbf{v}_h), \quad \mathbf{v}_h \in X_h,$$

$$b(\boldsymbol{\mu}_h - \boldsymbol{\lambda}_h, \mathbf{u}_h) \leq \langle g, (\boldsymbol{\mu}_h - \boldsymbol{\lambda}_h)_n \rangle, \quad \boldsymbol{\mu}_h \in M_h(\boldsymbol{\lambda}_h).$$

$a(\mathbf{u}_h, \cdot)$  elasticity linear form,  $b(\cdot, \cdot) := \langle [\cdot], \cdot \rangle$  contact bilinear form

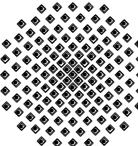
$(X_h, M_h)$  stable pair of mortar finite elements,  $W_h$  trace space of  $X_h$

$M_h(\boldsymbol{\lambda}_h) := \{ \boldsymbol{\mu} \in M_h; \langle \mathbf{v}, \boldsymbol{\mu} \rangle \leq \langle |\mathbf{v}_t|_h, \mathfrak{F}(\boldsymbol{\lambda}_h)_n \rangle, \mathbf{v} \in W_h, \mathbf{v}_n \leq 0 \}$

Local static elimination of  $\boldsymbol{\lambda}_h$  possible due to biorthogonality

- **Coulomb friction:** quasi variational inequality

**No friction ( $\mathfrak{F} = 0$ ) / Tresca friction ( $|(\boldsymbol{\lambda}_h)_n|_h \rightarrow g_f$ ):** variational inequality



# A posteriori error estimator for contact

**Observation:** Discrete displacement satisfies a variational equality for given Lagrange multiplier  $\lambda_h$

**Idea:** Find a  $H(\text{div})$ -conforming approximation  $\sigma_h$  for the stress such that

- the divergence satisfies

$$(\text{CD}) \quad \text{div} \sigma_h = -\Pi_1 \mathbf{f},$$

where  $\Pi_1$  is the  $L^2$ -projection onto piecewise affine functions.

- the surface traction satisfies

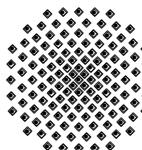
$$(\text{CS}) \quad (\sigma_h \mathbf{n}^l)_{|\Gamma_N^l} = \mathbf{0}, \quad \text{and} \quad (\sigma_h \mathbf{n}^l)_{|\Gamma_C^l} = -\mathbf{n}^l \cdot \mathbf{n}^s \Pi_l^* \lambda_h, \quad l \in \{m, s\}$$

where  $\Pi_l^*$  is the dual mortar projection onto the Lagrange multiplier space.

## Definition of the error estimator

$$\eta^2 := \sum_T \eta_T^2, \quad \eta_T^2 := \|\mathcal{C}^{-1/2}(\sigma_h - \sigma(\mathbf{u}_h))\|_{0;T}^2.$$

**Remark:** The error estimator is elementwise defined.



# How to obtain a suitable $\sigma_h$ ?

**Idea:** A posteriori error estimator based on equilibrated fluxes  
 [Ainsworth-Oden 99, Ladeveze/Leguillon 83, Stein et al 97-01]

Let  $\mathbf{u}_h$  be the mortar finite element solution of the variational inequality, i.e.,

$$a(\mathbf{u}_h, \mathbf{v}_h) = (\mathbf{f}, \mathbf{v}_h)_{0; \Omega_i} - b(\boldsymbol{\lambda}_h, \mathbf{v}_h), \quad \mathbf{v}_h \in X_h^i, \quad i \in \{s, m\}$$

$\implies \boldsymbol{\lambda}_h$  plays role of Neumann boundary condition

## Equilibrated fluxes

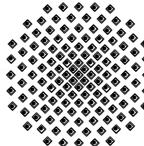
Then there exists a  $\mathbf{g}_e \in [P_1(e)]^2$  such that  $\mathbf{g}_e = -\mathbf{n}^l \cdot \mathbf{n}^s \boldsymbol{\Pi}_1 \boldsymbol{\Pi}_l^* \boldsymbol{\lambda}_h$  on  $\Gamma_C^l$

$$\int_{\partial T} (\mathbf{n}_T \cdot \mathbf{n}_e) \mathbf{g}_e \cdot \mathbf{v} \, ds = \Delta_T(\mathbf{v}) := a_T(\mathbf{u}_h, \mathbf{v}) + b_T(\boldsymbol{\lambda}_h, \mathbf{v}) - (\mathbf{f}, \mathbf{v})_{0; T}, \quad \mathbf{v} \in [P_1(T)]^2$$

Moreover,  $\mathbf{g}_e$  can be locally computed by rewriting

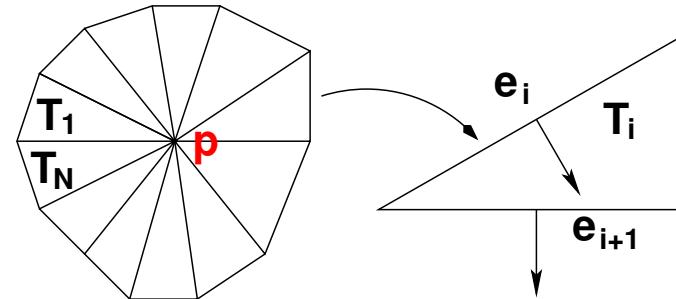
$$\mathbf{g}_e = \boldsymbol{\mu}_{e,p_1} \psi_{p_1} + \boldsymbol{\mu}_{e,p_2} \psi_{p_2},$$

where  $\int_e \psi_{p_j} \phi_{p_i} \, ds = \delta_{ij}$ . Then the moments  $\boldsymbol{\mu}_{e,p_i}$  are given by  $\boldsymbol{\mu}_{e,p_i} := \int_e \mathbf{g}_e \phi_{p_i} \, ds$ .



# Local postprocess to compute the moments

For each vertex  $p$  a local system has to be solved for the moments

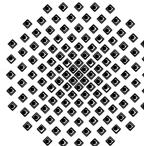


**Singular system** (interior vertex), but compatible rhs:  $\sum_i \Delta_{T_i}(\phi_p) = 0$

$$\begin{pmatrix} -\text{Id} & \text{Id} & & & \\ & \ddots & \ddots & & \\ & & -\text{Id} & \text{Id} & \\ & & & \text{Id} & -\text{Id} \\ \text{Id} & & & & \end{pmatrix} \begin{pmatrix} \mu_{e_1,p} \\ \mu_{e_2,p} \\ \vdots \\ \mu_{e_N,p} \end{pmatrix} = \begin{pmatrix} \Delta_{T_1}(\phi_p) \\ \Delta_{T_2}(\phi_p) \\ \vdots \\ \Delta_{T_N}(\phi_p) \end{pmatrix}$$

Set e.g.  $\mu_{e_1,p} = 0 \Rightarrow$  lower tridiagonal matrix

$\mathbf{g}_e$  is conservative, i.e.,  $\int_{\partial T} (\mathbf{n}_T \cdot \mathbf{n}_e) \mathbf{g}_e \, ds = \int_T \mathbf{f} \, dx$



# Alternative choice

Better approximation of the flux:

$$\min_{\mathbf{g}_e} \sum_e h_e \|\mathbf{g}_e - \{\boldsymbol{\sigma}(\mathbf{u}_h)\} \mathbf{n}_e\|_{0;e}^2$$

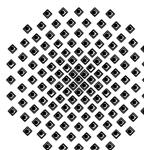
⇒ **minimization problem** (Lagrange multipliers on elements)

**New local system** (interior vertex):

$$\begin{pmatrix} \text{Id} & -\frac{1}{2}\text{Id} & 0 & \cdots & 0 & -\frac{1}{2}\text{Id} \\ -\frac{1}{2}\text{Id} & \text{Id} & -\frac{1}{2}\text{Id} & 0 & \cdots & 0 \\ 0 & \ddots & \ddots & \ddots & \ddots & \vdots \\ \vdots & \ddots & \ddots & \ddots & \ddots & 0 \\ 0 & \cdots & 0 & -\frac{1}{2}\text{Id} & \text{Id} & -\frac{1}{2}\text{Id} \\ -\frac{1}{2}\text{Id} & 0 & \cdots & 0 & -\frac{1}{2}\text{Id} & \text{Id} \end{pmatrix} \begin{pmatrix} \tilde{\mu}_1 \\ \vdots \\ \vdots \\ \vdots \\ \tilde{\mu}_N \end{pmatrix} = \begin{pmatrix} \widetilde{\Delta}_1 \\ \vdots \\ \vdots \\ \vdots \\ \widetilde{\Delta}_N \end{pmatrix}$$

Similar systems for boundary nodes depending on boundary cond. (D-D, D-N, N-N)

$\mathbf{g}_e$  is uniquely defined [Ainsworth-Oden, Ladeveze, Stein et al]



# Arnold–Winther [02] elements

The Arnold–Winther element is locally defined on each  $T$  by the 24-dimensional space

$$X_T := \{ \boldsymbol{\tau}_h \in [P_3(T)]^{2 \times 2}, \ (\boldsymbol{\tau}_h)_{12} = (\boldsymbol{\tau}_h)_{21}, \ \operatorname{div} \boldsymbol{\tau}_h \in [P_1(T)]^2 \} ,$$

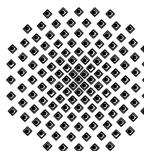
and a global finite element space  $X_h := X_s \times X_m$  which is on each body  $H(\operatorname{div})$ -conforming can be obtained using

- the **nodal values** (3 dof) at each node  $p$ ,
- the **zero and first order moments** of  $\boldsymbol{\tau}_h n_e$  (4 dof) on each edge  $e$ ,
- the **mean value** (3 dof) on each element  $T$

as degrees of freedom on each of the two bodies.

## Norm equivalence

$$\|\boldsymbol{\tau}\|_0^2 \equiv \underbrace{\sum_p |T| \|\boldsymbol{\tau}(p)\|^2}_{=:m_p(\boldsymbol{\tau})} + \underbrace{\sum_e \left\| \int_e \boldsymbol{\tau} \mathbf{n}_e \, ds \right\|^2 + \left\| \int_e \boldsymbol{\tau} \mathbf{n}_e \phi_e \, ds \right\|^2}_{=:m_e(\boldsymbol{\tau})} + \underbrace{\frac{1}{|T|} \left\| \int_T \boldsymbol{\tau} \, dx \right\|^2}_{=:m_i(\boldsymbol{\tau})}$$



## Definition of $\boldsymbol{\sigma}_h$

$$\boldsymbol{\sigma}_h(p) := \frac{1}{N_T^p} \sum_{T \in \mathcal{T}_p} \boldsymbol{\sigma}(\mathbf{u}_h)|_T(p) + \boldsymbol{\alpha}(p), \quad (1)$$

$$\int_e \boldsymbol{\sigma}_h \mathbf{n}_e \cdot q ds := \int_e \mathbf{g}_e \cdot \mathbf{q} ds, \quad \mathbf{q} \in [P_1(e)]^2, \quad (2)$$

$$\int_T \boldsymbol{\sigma}_h : \nabla \mathbf{v} ds := a_T(\mathbf{u}_h, \mathbf{v}), \quad \mathbf{v} \in [P_1(T)]^2. \quad (3)$$

$\boldsymbol{\alpha}(p)$  depends on the type of the node, e.g.  $\boldsymbol{\alpha}(p) = \mathbf{0}$  if  $p$  is an interior node

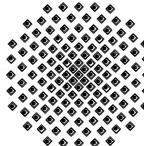
### Lemma:

i) Let  $\boldsymbol{\sigma}_h \in X_h$  be defined such that (2) and (3) hold. Then,

$$\operatorname{div} \boldsymbol{\sigma}_h = -\boldsymbol{\Pi}_1 \mathbf{f}.$$

ii) Let  $\boldsymbol{\sigma}_h \in X_h$  be defined such that (1) and (2) hold. Then,

$$(\boldsymbol{\sigma}_h \mathbf{n}^l)|_{\Gamma_N^l} = 0, \quad \text{and} \quad (\boldsymbol{\sigma}_h \mathbf{n}^l)|_{\Gamma_C^l} = -\mathbf{n}^l \cdot \mathbf{n}^s \boldsymbol{\Pi}_l^* \boldsymbol{\lambda}_h.$$



# Reliability of the error estimator

**Theorem:** Under suitable regularity assumptions the error estimator  $\eta$  yields a global upper bound for the discretization error

$$a(\mathbf{u} - \mathbf{u}_h, \mathbf{u} - \mathbf{u}_h)^{\frac{1}{2}} \leq \eta + \mathcal{O}(h^{\frac{3}{2}})$$

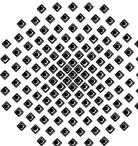
The definition of the error estimator yields

$$\|\mathbf{u} - \mathbf{u}_h\|_a^2 \leq \eta \|\mathbf{u} - \mathbf{u}_h\|_a + \underbrace{\sum_{l \in \{m,s\}} \int_{\Omega^l} (\boldsymbol{\sigma}(\mathbf{u}) - \boldsymbol{\sigma}_h) : (\boldsymbol{\epsilon}(\mathbf{u}) - \boldsymbol{\epsilon}(\mathbf{u}_h)) \, dx}_{=:I}$$

To bound  $I$  one has to exploit:

- the properties **(CD)** and **(CS)** of  $\boldsymbol{\sigma}_h$
- the a priori results for  $b(\mathbf{u} - \mathbf{u}_h, \boldsymbol{\lambda}_h - \boldsymbol{\lambda})$
- the approximation property of  $\boldsymbol{\Pi}_l^*$ , i.e.,  $\int_{\Gamma_C^m} (\boldsymbol{\lambda}_h - \boldsymbol{\Pi}_m^* \boldsymbol{\lambda}_h) \cdot (\mathbf{u}^m - \mathbf{u}_h^m) \, ds$

**Remark:** There is no constant in the upper bound  
No additional terms enter due to the contact



# Efficiency of the error estimator

**Theorem:** Under suitable regularity assumptions the error estimator  $\eta_T$  yields a local lower bound for the discretization error

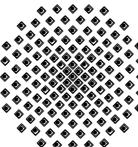
$$\eta_T \leq Ca_{\omega_T}(\mathbf{u} - \mathbf{u}_h, \mathbf{u} - \mathbf{u}_h)^{\frac{1}{2}} + \mathcal{O}(h^{\frac{3}{2}})$$

Proof is based on the norm equivalence and  $\mathcal{C}^{-1/2}(\boldsymbol{\sigma}_h - \boldsymbol{\sigma}(\mathbf{u}_h)) \in X_T$ :

- $m_i(\mathcal{C}^{-1/2}(\boldsymbol{\sigma}_h - \boldsymbol{\sigma}(\mathbf{u}_h))) = 0$
- $m_e(\mathcal{C}^{-1/2}(\boldsymbol{\sigma}_h - \boldsymbol{\sigma}(\mathbf{u}_h))) \leq C \sum_e h_e (\|\mathbf{g}_e - \boldsymbol{\sigma}(\mathbf{u}_h)\mathbf{n}_e\|_e^2 + \|\boldsymbol{\Pi}_l^* \lambda_h - \boldsymbol{\sigma}(\mathbf{u}_h)\mathbf{n}_m\|_e^2)$
- $m_p(\mathcal{C}^{-1/2}(\boldsymbol{\sigma}_h - \boldsymbol{\sigma}(\mathbf{u}_h))) \leq C \sum_e h_e \|[\boldsymbol{\sigma}(\mathbf{u}_h)\mathbf{n}_e]\|_e^2$

These terms can be found in the analysis of

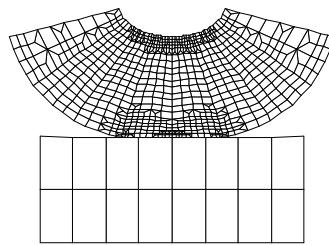
- the residual based error estimator for a variational equality
- the equilibrated error estimator for a variational equality
- the a priori estimates for the variational inequality



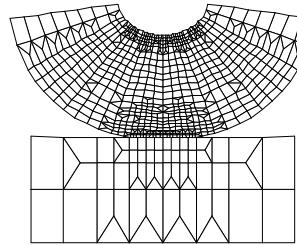
# Influence of the material parameters $E_i$

Poisson number:  $\nu_1 = \nu_2 = 0.3$ , Coulomb friction coefficient:  $\mathcal{F} = 0.4$ ;

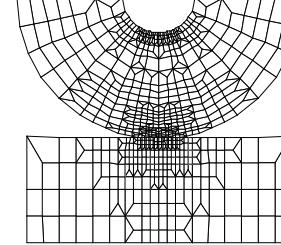
$$\begin{aligned} E_1 &= 500 \\ E_2 &= 10^6 \end{aligned}$$



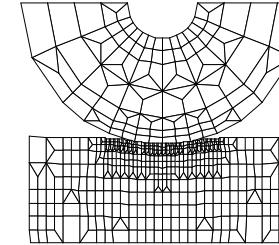
$$\begin{aligned} E_1 &= 10^3 \\ E_2 &= 10^5 \end{aligned}$$



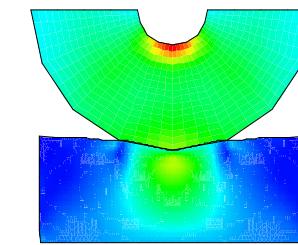
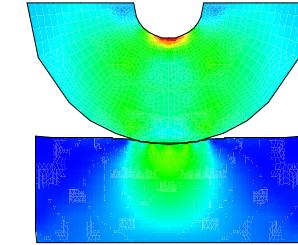
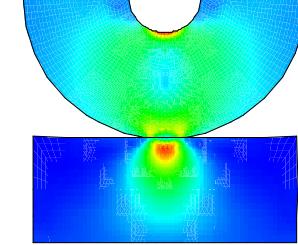
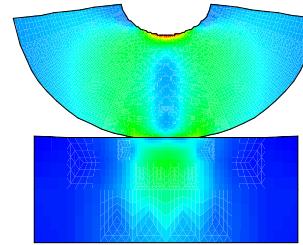
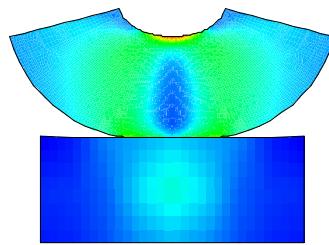
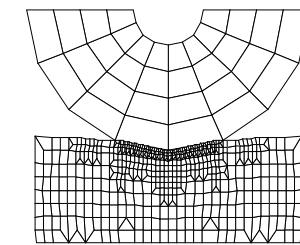
$$\begin{aligned} E_1 &= 10^4 \\ E_2 &= 10^4 \end{aligned}$$



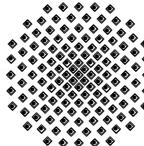
$$\begin{aligned} E_1 &= 10^5 \\ E_2 &= 10^3 \end{aligned}$$



$$\begin{aligned} E_1 &= 10^6 \\ E_2 &= 500 \end{aligned}$$



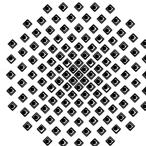
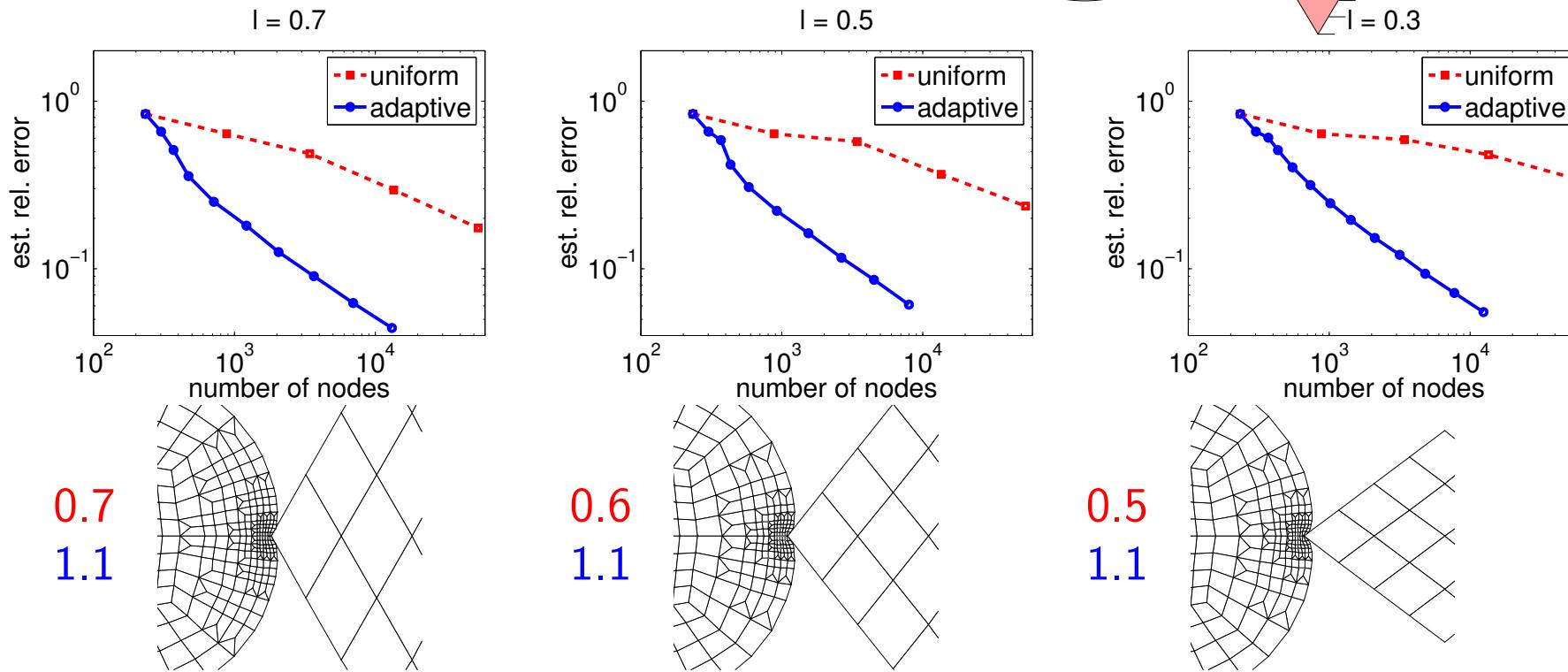
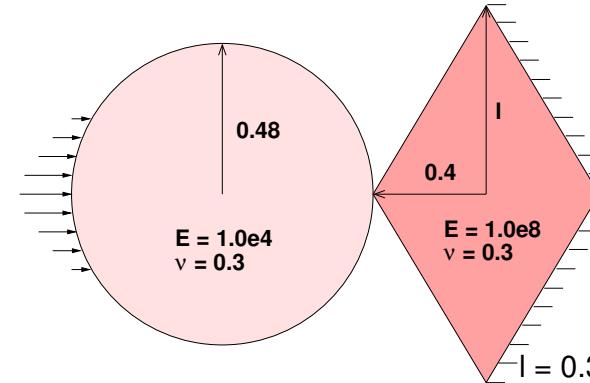
Solution after 4 refinement steps; top: deformed mesh, bottom: effective stress



# Adaptivity preserves optimality

**Low-regularity problem:**  
soft ball on hard diamond

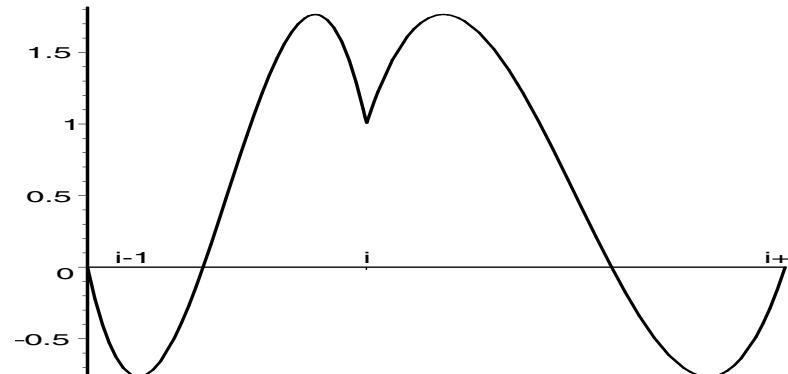
height  $l$  determines regularity of problem



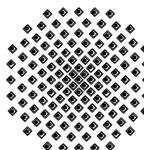
# Why is the high order term non standard?

**Observation:** Higher order term  $\mathcal{O}(h^{\frac{3}{2}})$  depends not only on given data **but** also on unknown solution

- **Primal nonconformity:** Non-matching meshes  
     $\Rightarrow$  weak but no strong non-penetration
- **Dual nonconformity:** Biorthogonality  
     $\Rightarrow$  LM is weakly but not in a strong sense non-negative

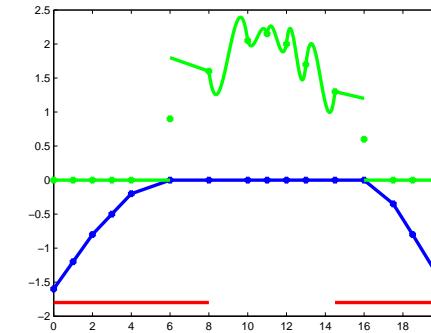
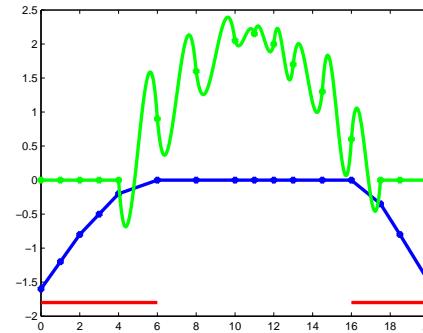


**Remedy:** Postprocessing of the discrete LM  $\lambda_h$



# Operator on the Lagrange multiplier

**Observation:** Discrete Lagrange multiplier  $\lambda_h$  is not non-negative

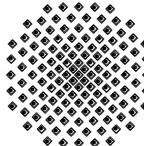


Orthogonality between the normal components of  $\mathbf{u}_h$  and  $\lambda_h$  (left) and  $P_{\mathbf{u}_h}\lambda_h$  (right)

$$P_{\mathbf{u}_h} \mu_h := \begin{cases} 0 & e \in \mathcal{E}_h^s \\ \mu_h & e \in \mathcal{E}_h^i \text{ and if } (\mu_h)_n \geq 0 \text{ on } e \\ (\alpha_e^1 \phi_e^1 + \alpha_e^2 \phi_e^2) \mathbf{n} & e \in \mathcal{E}_h^i \text{ and otherwise} \\ (\alpha_e^1 w_e^1 \phi_e^1 + \alpha_e^2 w_e^2 \phi_e^2) \mathbf{n} & e \in \mathcal{E}_h^b \end{cases},$$

where  $\phi_e^1, \phi_e^2$  are the local nodal Lagrange basis functions, and

$$w_e^i := \begin{cases} \frac{\text{meas}(\text{supp} \psi_{p_{ge}(i)})}{\text{meas}(e)} & \text{if } \text{supp } \psi_{p_{ge}(i)} \subset \text{supp}_h \mathbf{u}_h, \\ 1 & \text{otherwise} \end{cases}$$



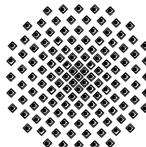
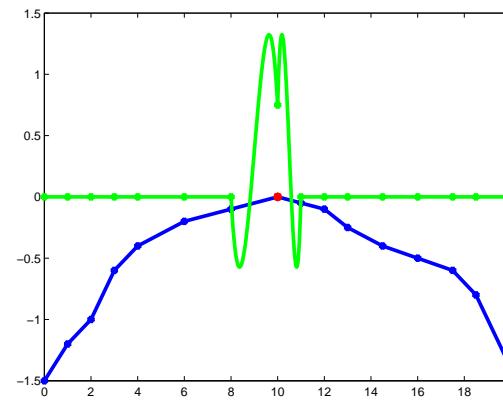
# Modified error estimator

In addition to  $\eta$ , we define the quantity

$$\eta_C^2 := \sum_{e \in \mathcal{E}_h^C} \eta_e^2, \quad \eta_e^2 := \frac{h_e}{\sqrt{2\mu}} \|\boldsymbol{\lambda}_h - P_{\mathbf{u}_h} \boldsymbol{\lambda}_h\|_{0;e}^2.$$

**Assumption:** For each edge  $e \subset \text{supp } \boldsymbol{\lambda}_h \cap \text{supp } \mathbf{u}_h$ , we assume that there exists an adjacent edge  $\hat{e}$  such that  $\hat{e} \subset \Gamma_C \setminus \text{supp } \mathbf{u}_h$ .

This assumption excludes **isolated points** such as



# A posteriori error estimator for a one-body problem

As it is standard for a posteriori estimates, we define a higher order term which only depends on the given data

$$\xi^2 := \sum_{T \in \mathcal{T}_h} \xi_T^2, \quad \xi_T^2 := \frac{h_T^2}{2\mu} \|\mathbf{f} - \boldsymbol{\Pi}_1 \mathbf{f}\|_{0;T}^2.$$

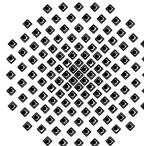
**Theorem:** Under the Assumption, there exist constants  $C_1, C_2 < \infty$  independent of the mesh-size such that

$$\|\mathbf{u} - \mathbf{u}_h\|_a \leq \eta + C_1 \eta_C + C_2 \xi.$$

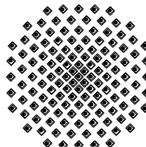
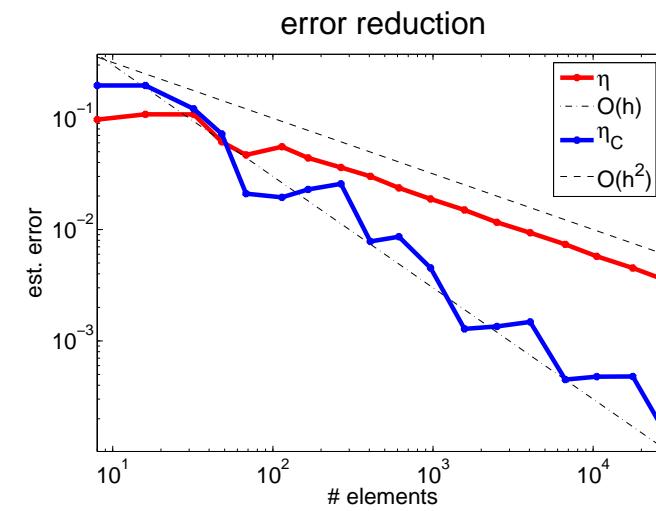
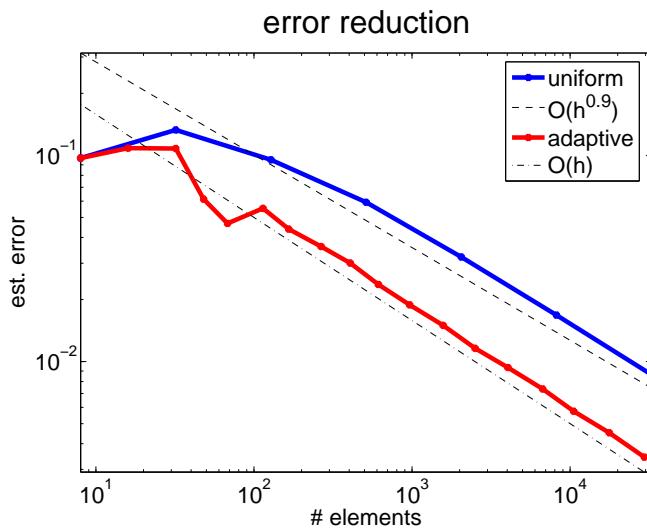
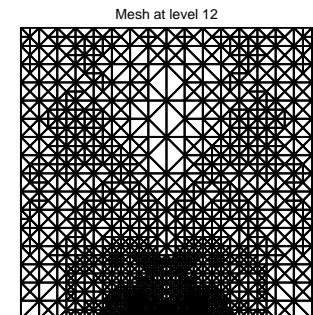
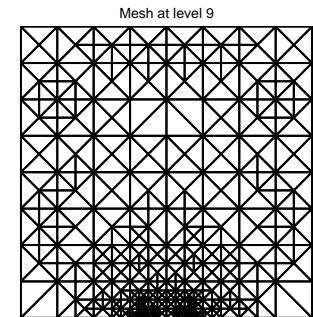
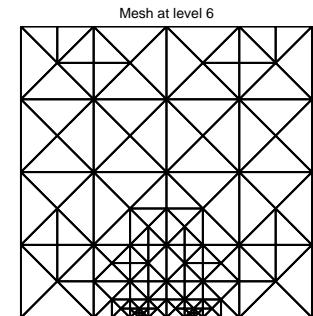
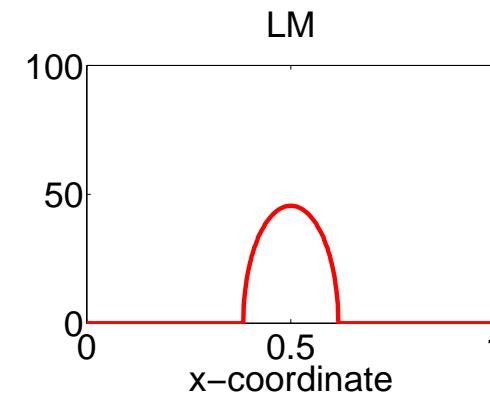
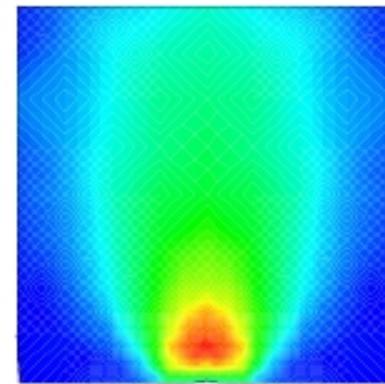
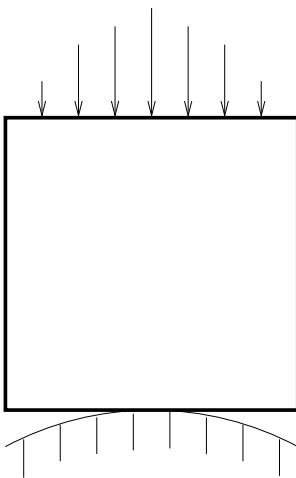
**Theorem:** Under the Assumption, there exists a constant  $C < \infty$  independent of the mesh-size such that  $\beta(h) \leq C$  and

$$\|\mathbf{u} - \mathbf{u}_h\|_a \leq (1 + C_1 \beta(h)) \eta + C_2 \xi.$$

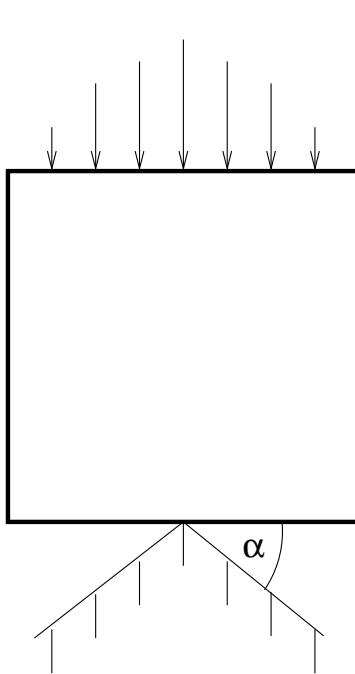
**Remark:** The numerical results show that  $\beta(h)$  tends asymptotically to zero and the upper bound  $1 + C_1 \beta(h)$  tends to one.



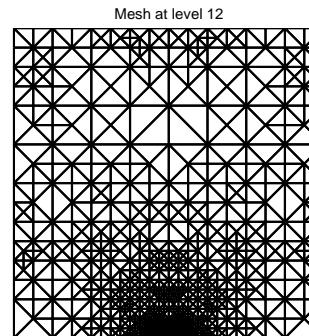
# Hertz-problem



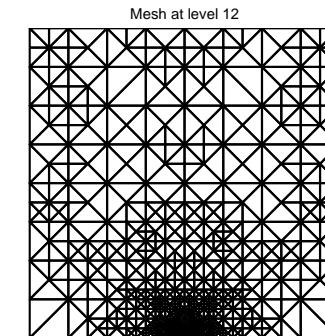
# Square on triangle



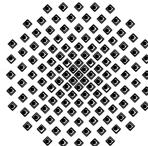
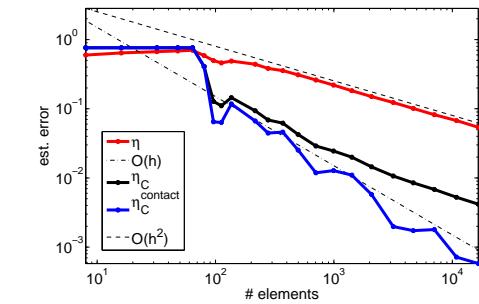
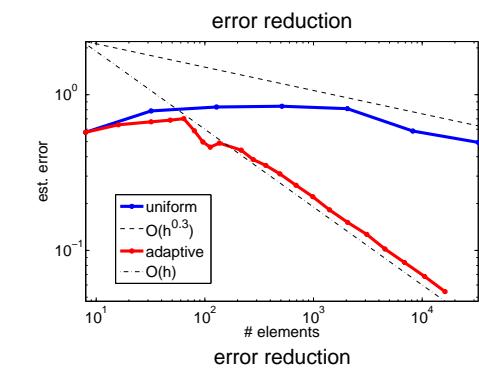
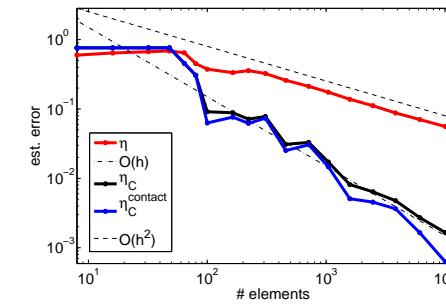
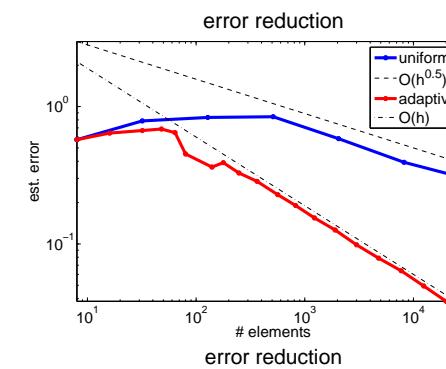
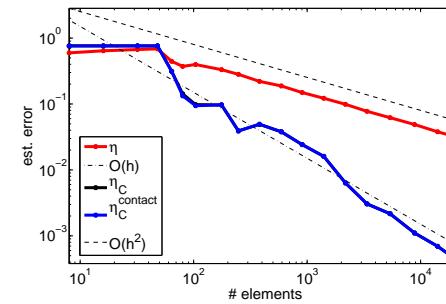
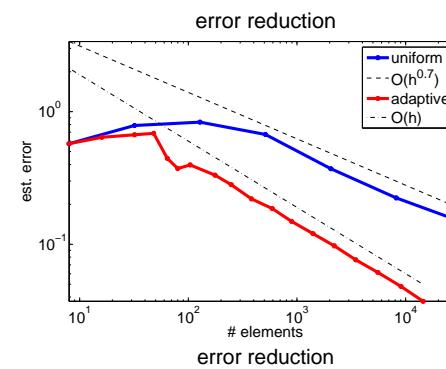
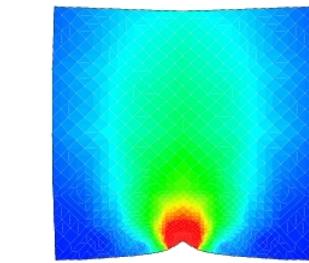
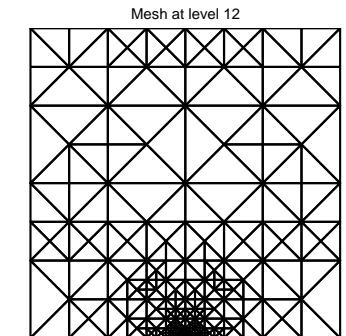
$$\alpha = \pi/6$$



$$\alpha = \pi/4$$



$$\alpha = \pi/3$$



# A posteriori estimator for the Lagrange multiplier

Standard a priori estimate:

$$\|\lambda - \lambda_h\|_{-\frac{1}{2};\Gamma_C} \leq C \left( \underbrace{\inf_{\mu_h \in \mathbf{M}_h} \|\lambda - \mu_h\|_{-\frac{1}{2};\Gamma_C}}_{\mathcal{O}(h^{\frac{3}{2}})} + \|\mathbf{u} - \mathbf{u}_h\|_a \right).$$

**But:**  $\lambda$  is not a given data

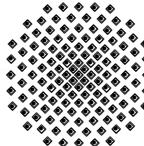
**Data oscillation term:**

$$\tilde{\xi}^2 := \sum_{T \in \mathcal{T}_h} \tilde{\xi}_T^2, \quad \tilde{\xi}_T^2 := \frac{h_T^2}{2\mu} \|\mathbf{f} - \mathbf{Q}^* \mathbf{f}\|_{0;T}^2$$

$\tilde{\xi} = 0$  if  $\mathbf{f}$  is constant on  $\Omega$ ,  $\mathbf{Q}^*$  Scott–Zhang type operator

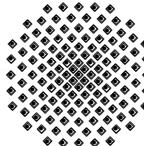
**Theorem:** There exists a constant  $C < \infty$  independent of the mesh-size such that

$$\|\lambda - \lambda_h\|_{-\frac{1}{2};\Gamma_C} \leq C \left( \|\mathbf{u} - \mathbf{u}_h\|_a + \tilde{\xi} \right).$$



# Conclusion

- Equilibration techniques can be generalized to elasticity
- Error bound for the LM in terms of the primal bound
- Variational inequality does not bring in extra terms
- Sign controlling terms are of higher order
- Non-matching meshes are problematic (theory)



# AFEM based strategies

**AFEM for standard fe estimates:** guaranteed error decay

W. DÖRFLER, SIAM J. Numer. Anal., 33 (1996), pp. 1106–1124 .

P. BINEV, W. DAHMEN, R. DEVORE, Numer. Math., 97 (2004), pp. 219–268

**AFEM for obstacle problems:**

No Galerkin orthogonality but minimization property on convex set

D. BRAESS, C. CARSTENSEN, R. HOPPE, Numer. Math., 107 (2007), pp. 455–471

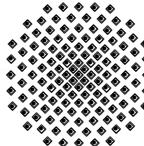
These results can be applied for one-body contact problems

**In the case of a two-body contact problem on non-matching meshes:**

- Convex sets are non-nested, i.e.,  $K_l \not\subset K_{l+1} \not\subset K$
- Higher order term cannot be controlled by given data
- No classical inverse estimate for the discrete trace, i.e.,

$$\|[v_h]\|_{\frac{1}{2};\Gamma_C}^2 \cancel{\leq} C \frac{1}{h} \|[v_h]\|_{0;\Gamma_C}^2 \quad \text{BUT} \quad \|[v_h]\|_{\frac{1}{2};\Gamma_C}^2 \leq C \frac{|\ln \epsilon| + 1}{h} \|[v_h]\|_{0;\Gamma_C}^2$$

$\epsilon$  minimal relative shift



# Strong monotonicity in the energy

**Corollary:** There exists a constant independent of the mesh-size such that

$$J(\mathbf{u}_h) - J(\mathbf{u}) \leq C(\eta^2 + \xi^2),$$

where the energy  $J(\mathbf{v})$  is given by  $J(\mathbf{v}) := \frac{1}{2}a(\mathbf{v}, \mathbf{v}) - f(\mathbf{v})$ .

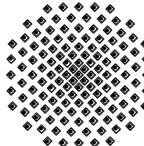
The variational inequality is equivalent to a constrained minimization problem, i.e.,  $J(\mathbf{u}) \leq J(\mathbf{v})$ ,  $\mathbf{v} \in K$ , and in terms of  $K_l \subset K_{l+1}$ , we have

$$0 \leq \delta_{l+1} \leq \delta_l := J(\mathbf{u}_l) - J(\mathbf{u}).$$

**Theorem:** There exist constants  $\rho_1, \rho_2 < 1$  and  $c_\xi, C_\xi < \infty$  such that

$$\begin{aligned}\delta_{l+1} &\leq \rho_1 \delta_l + c_\xi \hat{\xi}_l^2, \\ \delta_{l+1} + C_\xi \hat{\xi}_{l+1}^2 &\leq \rho_2 (\delta_l + C_\xi \hat{\xi}_l^2).\end{aligned}$$

**Remark:** We observe that  $\hat{\xi}_l = 0$  for a constant  $f$ . In that case, the energy term  $\delta_l$  is a strictly decreasing function with respect to the refinement level  $l$ .

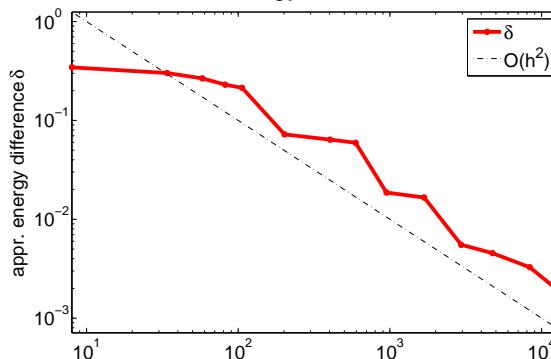


# AFEM strategy for example 3

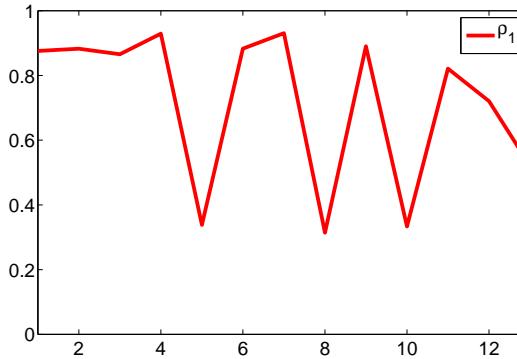
$$\text{Energy difference } \delta_l := J(\mathbf{u}_l) - J(\mathbf{u})$$

$\alpha = \pi/6$

energy reduction

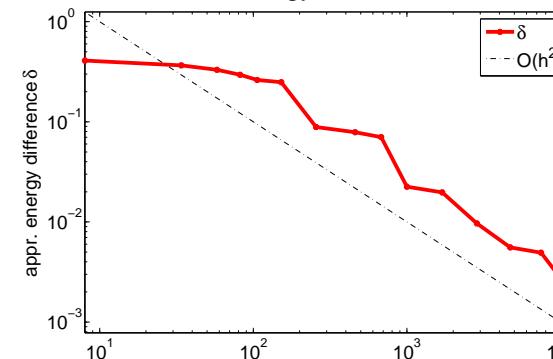


energy reduction factor

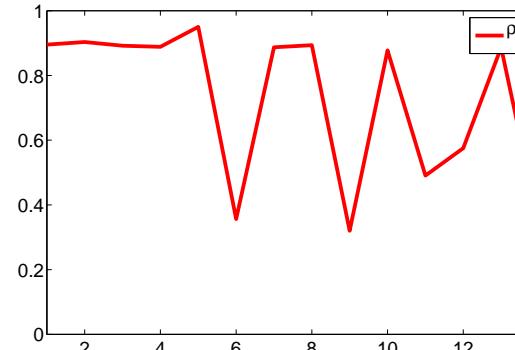


$\alpha = \pi/4$

energy reduction

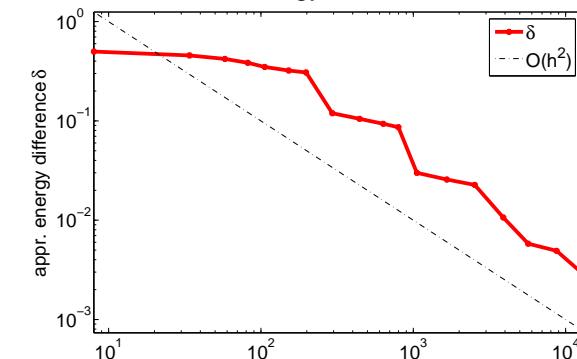


energy reduction factor

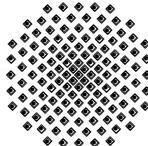
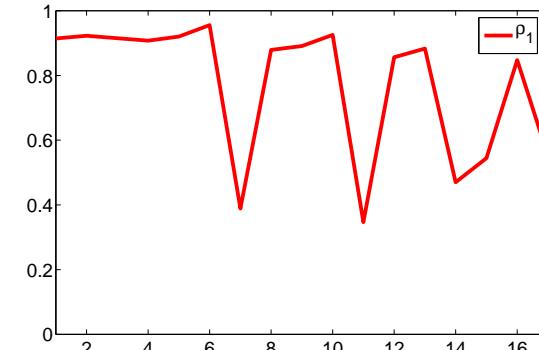


$\alpha = \pi/3$

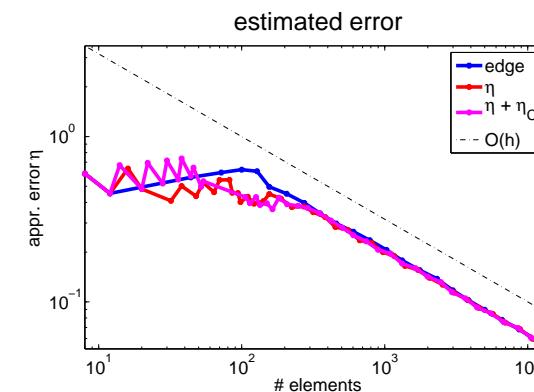
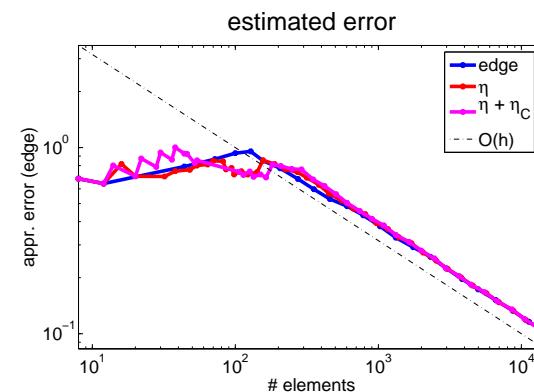
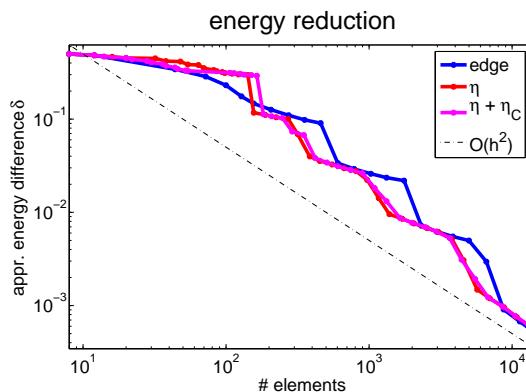
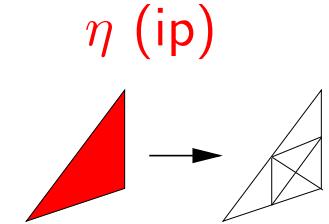
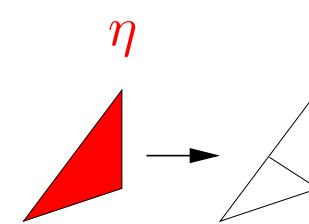
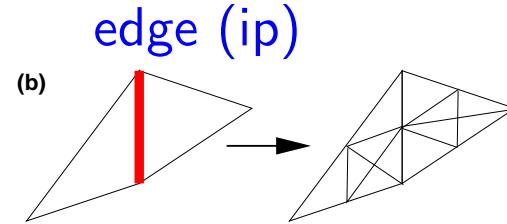
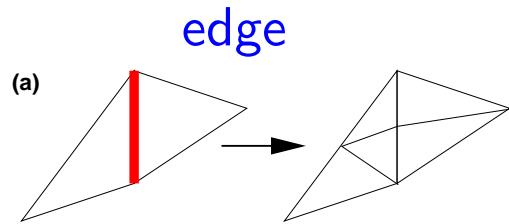
energy reduction



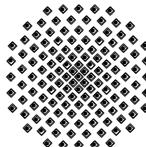
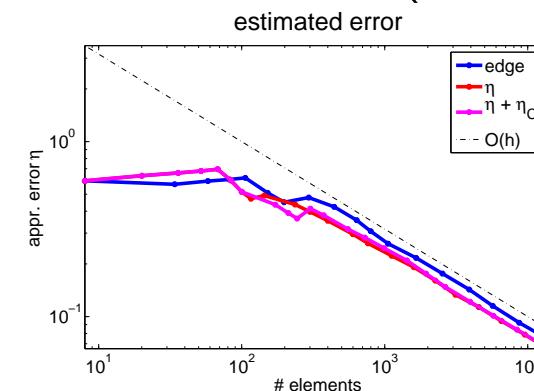
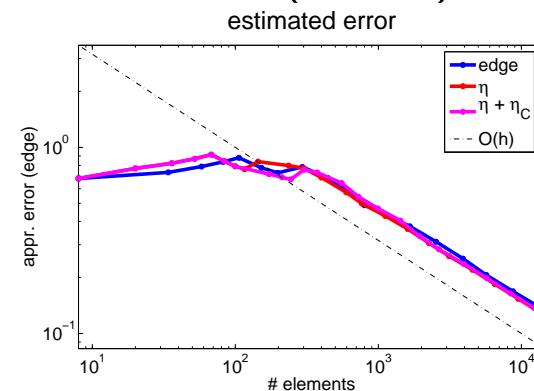
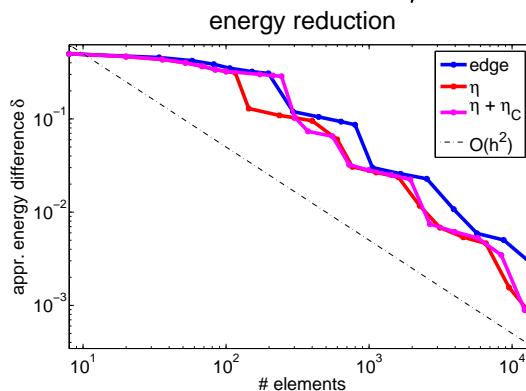
energy reduction factor



# Comparison of different refinement strategies

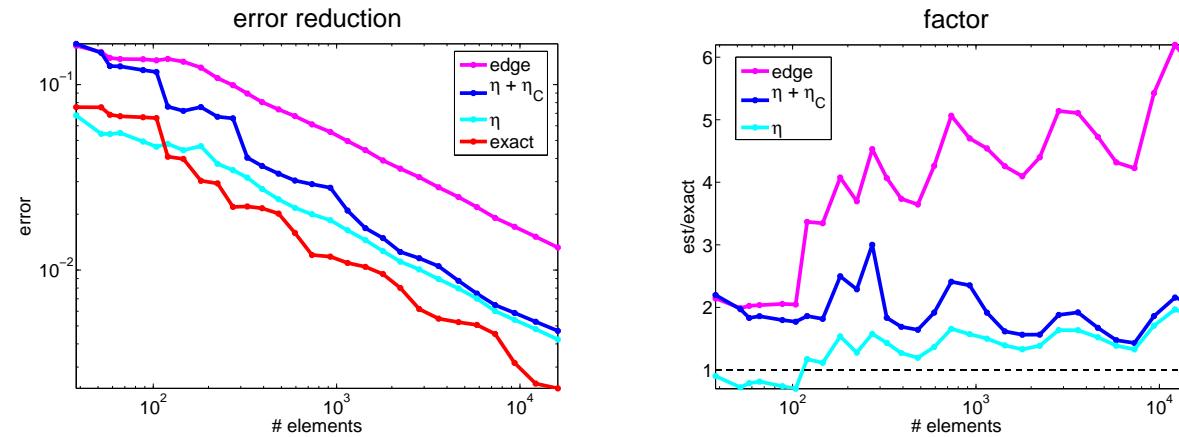


Example 3,  $\alpha = \pi/3$ : no interior point (above) and with interior point (below)



# Comparison of different error estimators

Example 2:



Example 3: ( $\alpha = \pi/3$ )

