

Potential and flux reconstructions for optimal a priori and a posteriori error estimates

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Outline

- 1 Introduction
- 2 Potential reconstruction
- 3 Flux reconstruction
- 4 A priori estimates
 - Global-best – local-best equivalence in H^1
 - Constrained global-best – local-best equivalence in $\mathbf{H}(\text{div})$
 - Stable commuting local projector in $\mathbf{H}(\text{div})$
- 5 A posteriori estimates
 - Guaranteed upper bound
 - Polynomial-degree-robust local efficiency
 - Applications and numerical results
- 6 Tools
- 7 Conclusions and outlook

Potential and flux reconstructions

Potential reconstruction

- discontinuous pw polynomial \rightarrow continuous pw polynomial

- *a posteriori* analysis of mixed and nonconforming FEs:

show it can give polynomial degree-robustness

- show it can be used in *a priori* analysis of conforming FEs:

global best-local best equivalence \mathbb{H}^1

approximation continuous pw pols \approx_p discontinuous pw pols

Flux reconstruction

- pw vector-valued polynomial with discontinuous normal trace and no equilibrium \rightarrow pw vector-valued polynomial with continuous normal trace and equilibrium

- *a posteriori* analysis of conforming FEs

recovery errors

Potential and flux reconstructions

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$\mathcal{V}_h = \{v \in H^1(\Omega) : v|_K \in \mathcal{P}_k, \forall K \in \mathcal{T}_h\}$

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Equilibrated flux reconstruction

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estimate \approx error

- show global-best-local-best eq. in $H(\text{div})$: *a priori* mixed FEs

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$\text{mixed FEs} \approx \text{conforming FEs} \approx \text{nonconforming FEs}$
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polynomial degree-robustness Braess, Pflüweil, Schöberl (2009)

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Potential reconstruction: datum $\xi_h \in \mathbb{P}_p(\mathcal{T})$, $p \geq 1$

Definition (Construction of s_h EV (2015), \approx Carstensen and Merdon (2013))

For each vertex $a \in \mathcal{V}$, solve the **local minimization problem**

$$s_h^a := \arg \min_{v_h \in V_h^a = \mathbb{P}_{p'}(\mathcal{T}_a) \cap H_0^1(\omega_a)} \|\nabla_h(\psi_a \xi_h - v_h)\|_{\omega_a}$$

and define

$$s_h = \sum_{a \in \mathcal{V}} s_h^a$$

Equivalent form: conforming FEs

Find $s_h^a \in V_h^a$ such that

$$(\nabla s_h^a, \nabla v_h)_{\omega_a} = (\nabla_h(\psi_a \xi_h), \nabla v_h)_{\omega_a} \quad \forall v_h \in V_h^a.$$

Key points

- localization to patches \mathcal{T}_a
- cut-off by hat basis functions ψ_a
- projection of the discontinuous $\psi_a \xi_h$ to conforming space
- homogeneous Dirichlet BC on $\partial\omega_a$: $s_h \in \mathbb{P}_{p'}(\mathcal{T}) \cap H_0^1(\Omega)$
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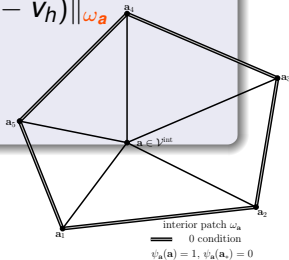
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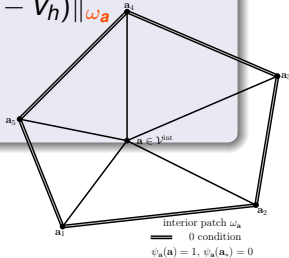
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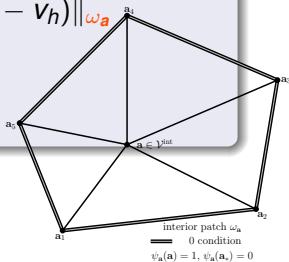
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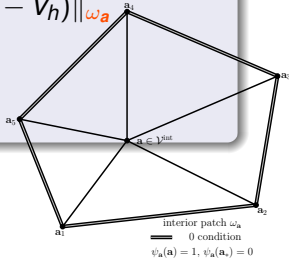
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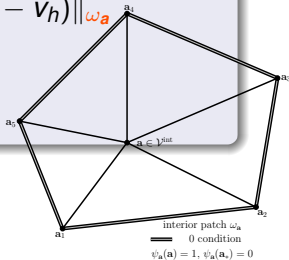
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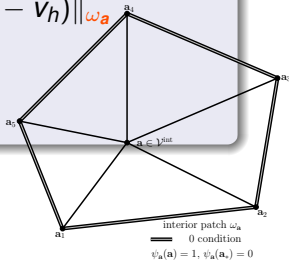
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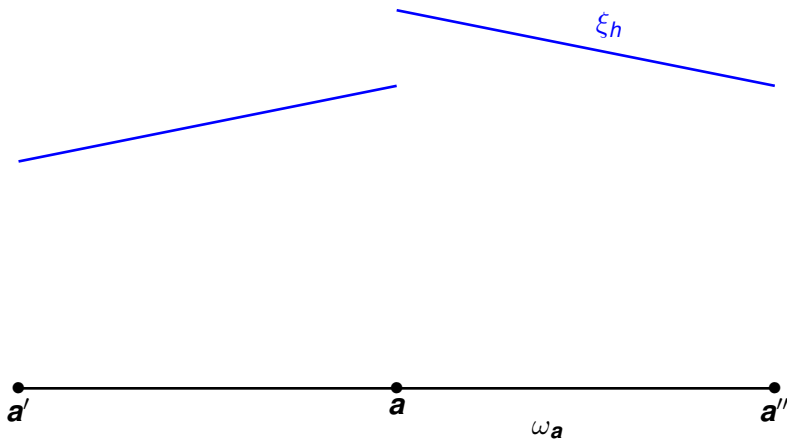
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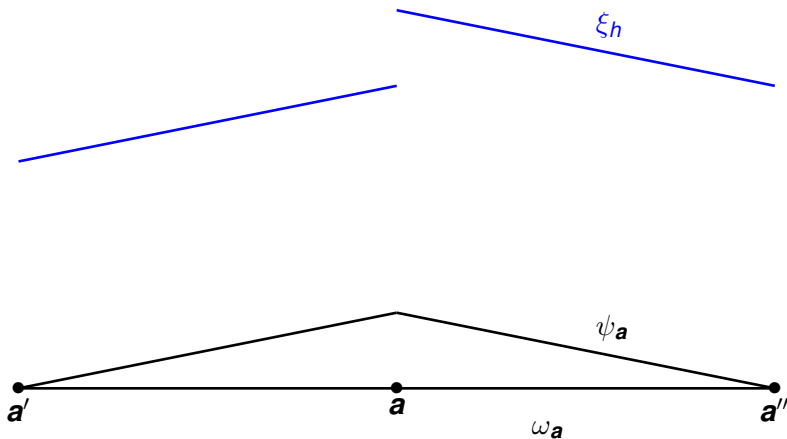
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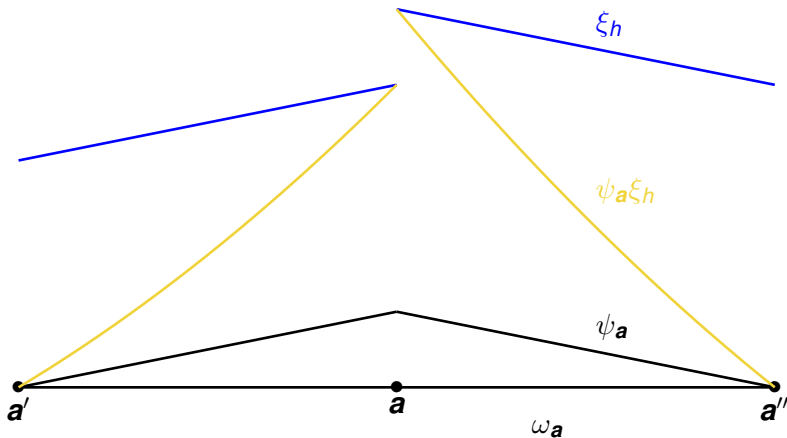
Potential reconstruction in 1D, $p = 1, p' = 2$



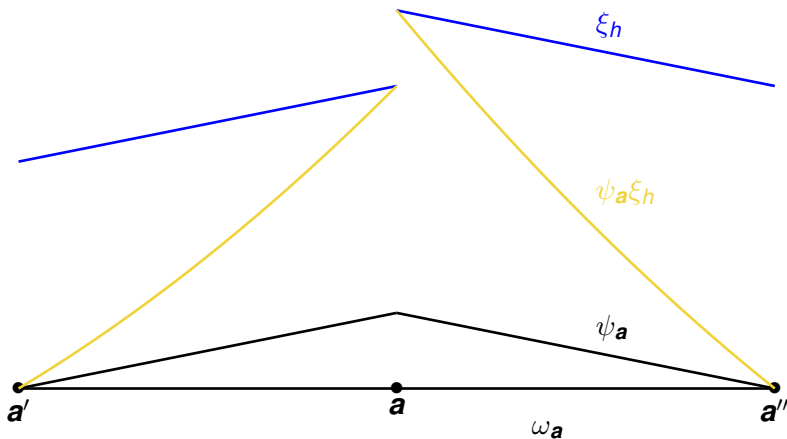
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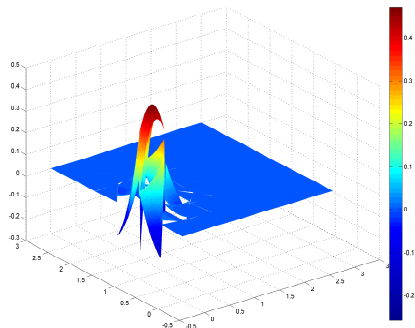
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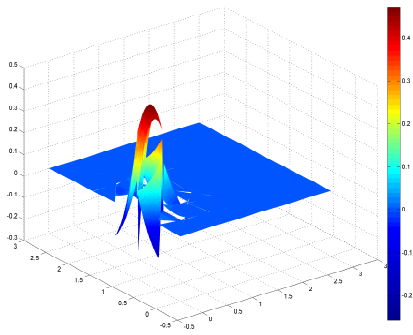


Potential reconstruction in 2D, $p = 2, p' = 2$

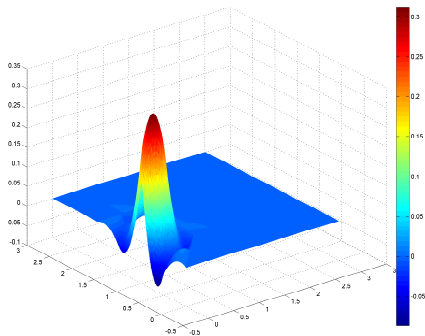


Potential ξ_h

Potential reconstruction in 2D, $p = 2, p' = 2$



Potential ξ_h



Potential reconstruction s_h

Stability of the potential reconstruction

Theorem (Local stability EV (2015, 2016), using [Tools](#))

There holds

$$\min_{v_h \in \mathbb{P}_{p'}(\mathcal{T}_a) \cap H_0^1(\omega_a)} \|\nabla_h(I_{p'}(\psi_{\mathbf{a}}\xi_h) - v_h)\|_{\omega_a} \lesssim \min_{v \in H_0^1(\omega_a)} \|\nabla_h(I_{p'}(\psi_{\mathbf{a}}\xi_h) - v)\|_{\omega_a}.$$

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Corollary (Global stability; $p' = p + 1$)

For any $u \in H_0^1(\Omega)$,

$$\|\nabla_h(\xi_h - s_h)\| \lesssim \|\nabla_h(\xi_h - u)\| + \left\{ \sum_{F \in \mathcal{F}} h_F^{-1} \|\Pi_0^F[\xi_h]\|_F^2 \right\}^{1/2}.$$

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Flux reconstruction: $\xi_h \in \mathbf{RTN}_p(\mathcal{T})$, $p \geq 0$, $f \in L^2(\Omega)$

Assumption (Orthogonality wrt hat functions)

There holds

$$(f, \psi_a)_{\omega_a} + (\xi_h, \nabla \psi_a)_{\omega_a} = 0 \quad \forall a \in \mathcal{V}^{\text{int}}.$$

Definition (Constr. of σ_h , Destuynder and Métivet (1999) & Braess and Schöberl (2008))

For each $a \in \mathcal{V}$, solve the **local constrained minimization pb**

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and combine

$$\sigma_h = \sum_{a \in \mathcal{V}} \sigma_h^a$$

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- hom. Neumann BC on $\partial\omega_a$: $\sigma_h \in \mathbf{RTN}_{p'}(\mathcal{T}) \cap \mathbf{H}(\text{div}, \Omega)$
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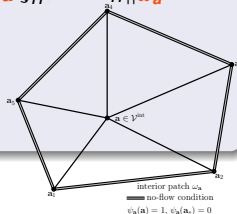
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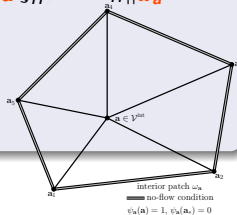
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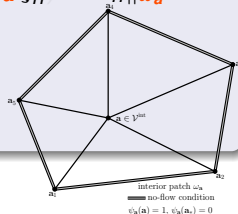
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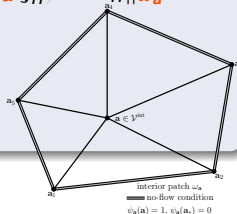
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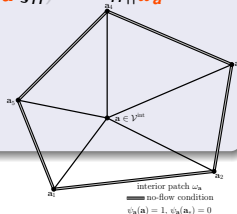
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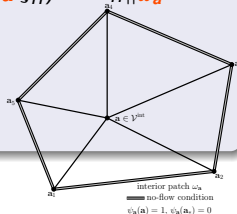
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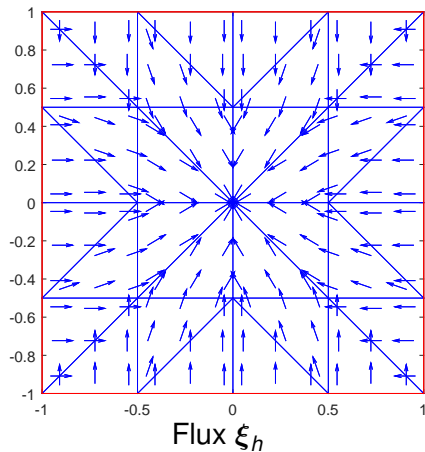
Equilibrated flux reconstruction

Equivalent form: mixed FEs

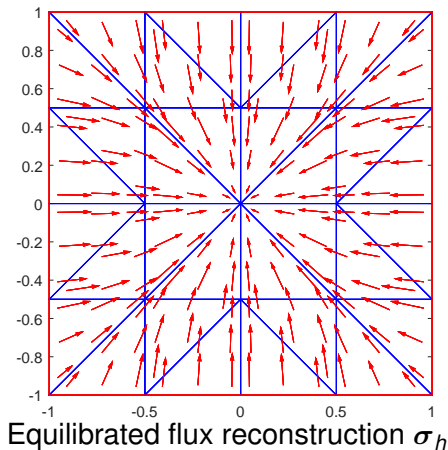
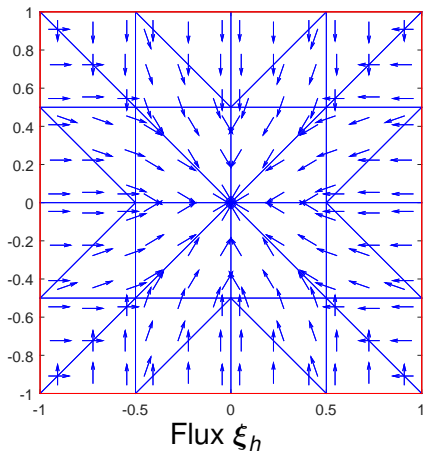
Find $(\boldsymbol{\sigma}_h^{\mathbf{a}}, \gamma_h^{\mathbf{a}}) \in \mathbf{V}_h^{\mathbf{a}} \times \mathbb{P}_{p'}(\mathcal{T}_a)$ such that

$$\begin{aligned} (\boldsymbol{\sigma}_h^{\mathbf{a}}, \mathbf{v}_h)_{\omega_a} - (\gamma_h^{\mathbf{a}}, \nabla \cdot \mathbf{v}_h)_{\omega_a} &= (\mathbf{I}_{p'}(\psi_{\mathbf{a}} \boldsymbol{\xi}_h), \mathbf{v}_h)_{\omega_a} & \forall \mathbf{v}_h \in \mathbf{V}_h^{\mathbf{a}}, \\ (\nabla \cdot \boldsymbol{\sigma}_h^{\mathbf{a}}, q_h)_{\omega_a} &= (f \psi_{\mathbf{a}} + \boldsymbol{\xi}_h \cdot \nabla \psi_{\mathbf{a}}, q_h)_{\omega_a} & \forall q_h \in \mathbb{P}_{p'}(\mathcal{T}_a) \end{aligned}$$

Equilibrated flux reconstruction in 2D, $p = 0$, $p' = 1$



Equilibrated flux reconstruction in 2D, $p = 0$, $p' = 1$



Stability of the flux reconstruction

Theorem (Local stability) Braess, Pillwein, Schöberl (2009; 2D), EV (2016; 3D), using [Tools](#)

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$$\min_{\substack{\mathbf{v}_h \in \mathbf{RTN}_{p'}(\mathcal{T}_a) \cap \mathbf{H}_0(\operatorname{div}, \omega_a) \\ \nabla \cdot \mathbf{v}_h = \Pi_{p'}(f\psi_a + \xi_h \cdot \nabla \psi_a)}} \|I_{p'}(\psi_a \xi_h) - \mathbf{v}_h\|_{\omega_a} \lesssim \min_{\substack{\mathbf{v} \in \mathbf{H}_0(\operatorname{div}, \omega_a) \\ \nabla \cdot \mathbf{v} = \Pi_{p'}(f\psi_a + \xi_h \cdot \nabla \psi_a)}} \|I_{p'}(\psi_a \xi_h) - \mathbf{v}\|_{\omega_a}.$$

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Corollary (Global stability; $p' = p + 1$)

For any $\boldsymbol{\sigma} \in \mathbf{H}(\operatorname{div}, \Omega)$ such that $\nabla \cdot \boldsymbol{\sigma} = f$,

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- 2 Potential reconstruction
- 3 Flux reconstruction
- 4 **A priori estimates**
 - Global-best – local-best equivalence in H^1
 - Constrained global-best – local-best equivalence in $\mathbf{H}(\text{div})$
 - Stable commuting local projector in $\mathbf{H}(\text{div})$
- 5 A posteriori estimates
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Theorem (Equivalence in H^1 , $p \geq 1$ Veerer (2016))

Let $u \in H_0^1(\Omega)$ be *arbitrary*. Then,

$$\min_{v_h \in \mathbb{P}_p(\mathcal{T}) \cap H_0^1(\Omega)} \|\nabla(u - v_h)\|^2 \lesssim_p \sum_{K \in \mathcal{T}} \min_{v_h \in \mathbb{P}_p(K)} \|\nabla(u - v_h)\|_K^2.$$

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Proof via potential reconstruction.

- define discontinuous $\xi_h \in \mathbb{P}_p(\mathcal{T})$ by

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$$\|\nabla_h(\xi_h - s_h)\| \lesssim_p \|\nabla_h(\xi_h - u)\| + \left\{ \sum_{F \in \mathcal{F}} h_F^{-1} \|\llbracket \xi_h \rrbracket\|_F^2 \right\}^{1/2} \lesssim \|\nabla_h(u - \xi_h)\|$$

- bound on minimum, triangle inequality

$$\min_{v_h \in \mathbb{P}_p(\mathcal{T}) \cap H_0^1(\Omega)} \|\nabla(u - v_h)\| \leq \|\nabla(u - s_h)\| \leq \|\nabla_h(u - \xi_h)\| + \|\nabla_h(\xi_h - s_h)\|$$

Global-best – local-best equivalence in H^1

Theorem (Equivalence in H^1 , $p \geq 1$ Veeser (2016))

Let $u \in H_0^1(\Omega)$ be *arbitrary*. Then,

$$\min_{v_h \in \mathbb{P}_p(\mathcal{T}) \cap H_0^1(\Omega)} \|\nabla(u - v_h)\|^2 \lesssim_p \sum_{K \in \mathcal{T}} \min_{v_h \in \mathbb{P}_p(K)} \|\nabla(u - v_h)\|_K^2.$$

Proof via potential reconstruction.

- define **discontinuous** $\xi_h \in \mathbb{P}_p(\mathcal{T})$ by

$$\xi_h|_K := \arg \min_{v_h \in \mathbb{P}_p(K)} \|\nabla(u - v_h)\|_K, \quad (\xi_h, 1)_K = (u, 1)_K \quad \forall K \in \mathcal{T}$$

- ξ_h : **potential reconstruction** $s_h \in \mathbb{P}_p(\mathcal{T}) \cap H_0^1(\Omega)$
- global** **H^1 stability** ($p' = p$), jump term efficiency + mean ξ_h

$$\|\nabla_h(\xi_h - s_h)\| \lesssim_p \|\nabla_h(\xi_h - u)\| + \left\{ \sum_{F \in \mathcal{F}} h_F^{-1} \|\llbracket \xi_h \rrbracket\|_F^2 \right\}^{1/2} \lesssim \|\nabla_h(u - \xi_h)\|$$

- bound on minimum, triangle inequality

$$\min_{v_h \in \mathbb{P}_p(\mathcal{T}) \cap H_0^1(\Omega)} \|\nabla(u - v_h)\| \leq \|\nabla(u - s_h)\| \leq \|\nabla_h(u - \xi_h)\| + \|\nabla_h(\xi_h - s_h)\|$$

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 - Global-best – local-best equivalence in H^1
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Global-best – local-best equivalence in $\mathbf{H}(\text{div})$

Theorem (Constrained equivalence in $\mathbf{H}(\text{div})$, $p \geq 0$ EGSV (2018))

Let $\sigma \in \mathbf{H}(\text{div}, \Omega)$ with $f := \nabla \cdot \sigma$ be *arbitrary*. Then,

$$\min_{\substack{\mathbf{v}_h \in \text{RTN}_p(\mathcal{T}) \cap \mathbf{H}(\text{div}, \Omega) \\ \nabla \cdot \mathbf{v}_h = \Pi_p f}} \|\sigma - \mathbf{v}_h\|^2 \lesssim_p \sum_{K \in \mathcal{T}} \left[\min_{\substack{\mathbf{v}_h \in \text{RTN}_p(K) \\ \nabla \cdot \mathbf{v}_h = \Pi_p f}} \|\sigma - \mathbf{v}_h\|_K^2 + h_K^2 \|f - \Pi_p f\|_K^2 \right].$$

Global-best – local-best equivalence in $\mathbf{H}(\text{div})$

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Proof.

- define **discontinuous** $\xi_h \in \mathbf{RTN}_p(\mathcal{T})$ by

$$\xi_h|_K := \arg \min_{\mathbf{v}_h \in \mathbf{RTN}_p(K)} [\|\sigma - \mathbf{v}_h\|_K^2 + h_K^2 \|\nabla \cdot (\sigma - \mathbf{v}_h)\|_K^2] \quad \forall K \in \mathcal{T}$$

- since $\nabla \psi_a \in \mathbf{RTN}_p(K)$, $a \in \mathcal{V}_K$,

$$(\sigma - \xi_h, \nabla \psi_a)_K + h_K^2 (\nabla \cdot (\sigma - \xi_h), \overbrace{\nabla \cdot (\nabla \psi_a)}^0)_K = 0 \quad \forall K \in \mathcal{T};$$

- as $\sigma \in \mathbf{H}(\text{div}, \omega_a)$ and $\psi_a \in H_0^1(\omega_a)$

$$(\sigma, \nabla \psi_a)_{\omega_a} = -(\nabla \cdot \sigma, \psi_a)_{\omega_a}$$

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Proof.

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$$\xi_h|_K := \arg \min_{\mathbf{v}_h \in \mathbf{RTN}_p(K)} [\|\sigma - \mathbf{v}_h\|_K^2 + h_K^2 \|\nabla \cdot (\sigma - \mathbf{v}_h)\|_K^2] \quad \forall K \in \mathcal{T}$$

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- as $\sigma \in \mathbf{H}(\text{div}, \omega_a)$ and $\psi_a \in H_0^1(\omega_a) \Rightarrow \psi_a$ -orthogonality

$$(\sigma, \nabla \psi_a)_{\omega_a} = -(\nabla \cdot \sigma, \psi_a)_{\omega_a} \Rightarrow (f, \psi_a)_{\omega_a} + (\xi_h, \nabla \psi_a)_{\omega_a} = 0 \quad \forall a \in \mathcal{V}^{\text{int}}$$

Global-best – local-best equivalence in $\mathbf{H}(\text{div})$

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Proof.

- define **discontinuous** $\xi_h \in \mathbf{RTN}_p(\mathcal{T})$ by

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Global-best – local-best equivalence in $\mathbf{H}(\text{div})$

Theorem (Constrained equivalence in $\mathbf{H}(\text{div})$, $p \geq 0$ EGSV (2018))

Let $\sigma \in \mathbf{H}(\text{div}, \Omega)$ with $f := \nabla \cdot \sigma$ be *arbitrary*. Then,

$$\min_{\substack{\mathbf{v}_h \in \mathbf{RTN}_p(\mathcal{T}) \cap \mathbf{H}(\text{div}, \Omega) \\ \nabla \cdot \mathbf{v}_h = \Pi_p f}} \|\sigma - \mathbf{v}_h\|^2 \lesssim_p \sum_{K \in \mathcal{T}} \left[\min_{\substack{\mathbf{v}_h \in \mathbf{RTN}_p(K) \\ \nabla \cdot \mathbf{v}_h = \Pi_p f}} \|\sigma - \mathbf{v}_h\|_K^2 + h_K^2 \|f - \Pi_p f\|_K^2 \right].$$

Proof.

- define **discontinuous** $\xi_h \in \mathbf{RTN}_p(\mathcal{T})$ by

$$\xi_h|_K := \arg \min_{\mathbf{v}_h \in \mathbf{RTN}_p(K)} [\|\sigma - \mathbf{v}_h\|_K^2 + h_K^2 \|\nabla \cdot (\sigma - \mathbf{v}_h)\|_K^2] \quad \forall K \in \mathcal{T}$$

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Global-best – local-best equivalence in $H(\text{div})$

Proof continuation.

- ξ_h, f : flux reconstruction $\sigma_h \in \mathbf{RTN}_p(\mathcal{T}) \cap \mathbf{H}(\text{div}, \Omega)$

- global $H(\text{div})$ stability ($p' = p$)

$$\|\xi_h - \sigma_h\| \lesssim_p \|\xi_h - \sigma\| + \left\{ \sum_{K \in \mathcal{T}} h_K^2 \|f - \nabla \cdot \xi_h\|_K^2 \right\}^{1/2}$$

- bound on minimum, triangle inequality

$$\begin{aligned} \min_{\substack{\mathbf{v}_h \in \mathbf{RTN}_p(\mathcal{T}) \cap \mathbf{H}(\text{div}, \Omega) \\ \nabla \cdot \mathbf{v}_h = \Pi_p f}} \|\sigma - \mathbf{v}_h\| &\leq \|\sigma - \sigma_h\| \leq \|\sigma - \xi_h\| + \|\xi_h - \sigma_h\| \\ &\lesssim_p \left\{ \sum_{K \in \mathcal{T}} \|\sigma - \xi_h\|_K^2 + h_K^2 \|f - \nabla \cdot \xi_h\|_K^2 \right\}^{1/2} \end{aligned}$$

• σ_h is the local best approximation

• ξ_h is the global best approximation

Global-best – local-best equivalence in $\mathbf{H}(\text{div})$

Proof continuation.

- ξ_h, f : flux reconstruction $\sigma_h \in \mathbf{RTN}_p(\mathcal{T}) \cap \mathbf{H}(\text{div}, \Omega)$

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- introducing the constraint

$$\min_{\substack{\mathbf{v}_h \in \mathbf{RTN}_p(K) \\ \nabla \cdot \mathbf{v}_h = \Pi_p f}} \left[\|\sigma - \mathbf{v}_h\|_K^2 + h_K^2 \|f - \nabla \cdot \mathbf{v}_h\|_K^2 \right] \leq \min_{\substack{\mathbf{v}_h \in \mathbf{RTN}_p(K) \\ \nabla \cdot \mathbf{v}_h = \Pi_p f}} \left[\|\sigma - \mathbf{v}_h\|_K^2 + h_K^2 \|f - \Pi_p f\|_K^2 \right]$$

Global-best – local-best equivalence in $\mathbf{H}(\text{div})$

Proof continuation.

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- global ▶ $\mathbf{H}(\text{div})$ stability ($p' = p$)

$$\|\xi_h - \sigma_h\| \lesssim_p \|\xi_h - \sigma\| + \left\{ \sum_{K \in \mathcal{T}} h_K^2 \|f - \nabla \cdot \xi_h\|_K^2 \right\}^{1/2}$$

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Global-best – local-best equivalence in $\mathbf{H}(\text{div})$

Proof continuation.

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Global-best – local-best equivalence in $H(\text{div})$

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Stable commuting local projector in $H(\text{div})$

Theorem (Stable commuting local projector, $p \geq 0$ EGSV (2018))

Let $\sigma \in \mathbf{H}(\text{div}, \Omega)$ be *arbitrary*. Then, $P_p \sigma := \sigma_h \in \mathbf{RTN}_p(\mathcal{T}) \cap \mathbf{H}(\text{div}, \Omega)$ is *locally constructed*, such that

$$\Pi_p(\nabla \cdot \sigma) = \nabla \cdot (P_p \sigma) \quad \textit{commuting},$$

$$P_p \sigma = \sigma \text{ if } \sigma \in \mathbf{RTN}_p(\mathcal{T}) \cap \mathbf{H}(\text{div}, \Omega) \quad \textit{projector},$$

$$\|P_p \sigma\| \lesssim_p \|\sigma\| + \|h \nabla \cdot \sigma\| \quad \textit{stable}.$$

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$$\|P_p \sigma\| \lesssim_p \|\sigma\| + \|h \nabla \cdot \sigma\| \quad \text{stable.}$$

Proof.

1 $\nabla \cdot \sigma_h = \Pi_p(\nabla \cdot \sigma)$ by construction

2 $\xi_h = \sigma$ from [construction](#), global [H\(div\) stability](#) ($p' = p$)

$$\|\xi_h - \sigma_h\| \lesssim_p \|\xi_h - \sigma\| + \left\{ \sum_{K \in \mathcal{T}} h_K^2 \|f - \nabla \cdot \xi_h\|_K^2 \right\}^{1/2} = 0 \Rightarrow \sigma_h = \sigma$$

3 using $\mathbf{v}_h = 0$ in [equivalence proof](#)

$$\|\sigma_h\| \leq \|\sigma - \sigma_h\| + \|\sigma\| \lesssim_p \|\sigma\| + \left\{ \sum_{K \in \mathcal{T}} h_K^2 \|\nabla \cdot \sigma\|_K^2 \right\}^{1/2}$$

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Proof.

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$$\|\xi_h - \sigma_h\| \lesssim_p \|\xi_h - \sigma\| + \left\{ \sum_{K \in \mathcal{T}} h_K^2 \|f - \nabla \cdot \xi_h\|_K^2 \right\}^{1/2} = 0 \Rightarrow \sigma_h = \sigma$$

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Laplace model problem: $-\Delta u = f$ in Ω , $u = 0$ on $\partial\Omega$

Theorem (A guaranteed *a posteriori* error estimate Prager and Synge

(1947), Ladevèze (1975), Dari, Durán, Padra, & Vampa (1996), Ainsworth (2005), Kim (2007), Vohralík (2007), ...)

- Let $u \in H_0^1(\Omega)$ be the weak solution;
- $u_h \in \mathbb{P}_p(\mathcal{T})$, $p \geq 1$, be arbitrary subject to

$$(\nabla_h u_h, \nabla \psi_a)_{\omega_a} = (f, \psi_a)_{\omega_a} \quad \forall a \in \mathcal{V}^{\text{int}};$$

- $\xi_h := u_h$: $s_h \in \mathbb{P}_{p+1}(\mathcal{T}) \cap H_0^1(\Omega)$ potential reconstruction;
- $\xi_h := -\nabla_h u_h$, f : $\sigma_h \in RTN_p(\mathcal{T}) \cap H(\text{div}, \Omega)$ stress reconstruction.

Then

$$\begin{aligned} \|\nabla_h(u - u_h)\|^2 &\leq \sum_{K \in \mathcal{T}} \underbrace{\left(\|\nabla_h u_h + \sigma_h\|_K + \frac{h_K}{\pi} \|f - \Pi_p f\|_K \right)^2}_{\text{constitutive relation} \quad \text{equilibrium/data osc.}} \\ &\quad + \sum_{K \in \mathcal{T}} \underbrace{\|\nabla_h(u_h - s_h)\|_K^2}_{\text{primal constraint}}. \end{aligned}$$

Laplace model problem: $-\Delta u = f$ in Ω , $u = 0$ on $\partial\Omega$

Theorem (A guaranteed *a posteriori* error estimate Prager and Synge

(1947), Ladevèze (1975), Dari, Durán, Padra, & Vampa (1996), Ainsworth (2005), Kim (2007), Vohralík (2007), ...)

- Let $u \in H_0^1(\Omega)$ be the weak solution;
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$$(\nabla_h u_h, \nabla \psi_a)_{\omega_a} = (f, \psi_a)_{\omega_a} \quad \forall a \in \mathcal{V}^{\text{int}};$$

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- 2 Potential reconstruction
- 3 Flux reconstruction
- 4 A priori estimates
 - Global-best – local-best equivalence in H^1
 - Constrained global-best – local-best equivalence in $\mathbf{H}(\text{div})$
 - Stable commuting local projector in $\mathbf{H}(\text{div})$
- 5 A posteriori estimates**
 - Guaranteed upper bound
 - Polynomial-degree-robust local efficiency**
 - Applications and numerical results
- 6 Tools
- 7 Conclusions and outlook

Polynomial-degree-robust efficiency

Theorem (Polynomial-degree-robust efficiency; $f \in \mathbb{P}_{p-1}(\mathcal{T})$ for simplicity Braess, Pillwein, and Schöberl (2009), EV (2015, 2016))

Let $u \in H_0^1(\Omega)$ be the weak solution. Then

$$\|\nabla_h(u_h - s_h)\| \lesssim \|\nabla_h(u - u_h)\| + \left\{ \sum_{F \in \mathcal{F}} h_F^{-1} \|\Pi_0^F[u_h]\|_F^2 \right\}^{1/2},$$

$$\|\nabla_h u_h + \sigma_h\| \lesssim \|\nabla_h(u - u_h)\|.$$

Remarks

- immediate consequence of $\hookrightarrow H^1$ stability and $\hookrightarrow H(\text{div})$ stability
- p -robustness
- local efficiency on patches
- maximal overestimation guaranteed (computable bounds on the constants)

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Applications

Discretization methods

- ✓ conforming finite elements
- ✓ nonconforming finite elements
- ✓ discontinuous Galerkin
- ✓ mixed finite elements

Numerics: smooth case

Model problem

$$\begin{aligned} -\Delta u &= f & \text{in } \Omega &:= (0, 1)^2, \\ u &= 0 & \text{on } \partial\Omega \end{aligned}$$

Exact solution

$$u(x, y) = \sin(2\pi x) \sin(2\pi y)$$

Discretization

- symmetric interior penalty discontinuous Galerkin method
- unstructured triangular grids
- uniform h refinement

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Uniform refinement: asymptotic exactness

h	p	$\ \nabla_d(u - u_h)\ $	$\ \nabla_d u_h + \sigma_h\ $	η_{osc}	$\ \nabla_d(u_h - s_h)\ $	η	ρ^{eff}
h_0	1	1.07E-00	1.12E-00	5.55E-02	4.16E-01	1.25E-00	1.17
$\approx h_0/2$		5.56E-01	5.71E-01	7.42E-03	1.82E-01	6.07E-01	1.09
$\approx h_0/4$		2.92E-01	2.96E-01	1.04E-03	8.77E-02	3.10E-01	1.06
$\approx h_0/8$		1.39E-01	1.40E-01	1.10E-04	3.85E-02	1.45E-01	1.04
h_0	2	1.54E-01	1.55E-01	5.10E-03	3.05E-02	1.63E-01	1.06
$\approx h_0/2$		4.07E-02	4.13E-02	3.53E-04	7.55E-03	4.23E-02	1.04
$\approx h_0/4$		1.10E-02	1.12E-02	2.51E-05	1.97E-03	1.14E-02	1.03
$\approx h_0/8$		2.50E-03	2.54E-03	1.30E-06	4.21E-04	2.57E-03	1.03
h_0	3	1.37E-02	1.37E-02	3.58E-04	1.74E-03	1.41E-02	1.03
$\approx h_0/2$		1.85E-03	1.85E-03	1.26E-05	2.10E-04	1.88E-03	1.01
$\approx h_0/4$		2.60E-04	2.60E-04	4.73E-07	2.54E-05	2.62E-04	1.01
$\approx h_0/8$		2.75E-05	2.75E-05	1.15E-08	2.55E-06	2.76E-05	1.01
h_0	4	9.87E-04	9.84E-04	2.12E-05	1.11E-04	1.01E-03	1.02
$\approx h_0/2$		6.92E-05	6.92E-05	3.96E-07	7.44E-06	7.00E-05	1.01
$\approx h_0/4$		5.04E-06	5.04E-06	7.58E-09	4.98E-07	5.07E-06	1.01
$\approx h_0/8$		2.58E-07	2.58E-07	8.96E-11	2.47E-08	2.60E-07	1.01
h_0	5	5.64E-05	5.63E-05	1.06E-06	4.50E-06	5.75E-05	1.02
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$\approx h_0/8$		1.86E-09	1.86E-09	1.70E-12	1.00E-10	1.86E-09	1.00
h_0	6	2.85E-06	2.85E-06	4.70E-08	2.18E-07	2.90E-06	1.02
$\approx h_0/2$		5.42E-08	5.42E-08	2.40E-10	4.02E-09	5.46E-08	1.01
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Uniform refinement: asymptotic exactness

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h_0	1	1.07E-00	1.09E-00	1.12E-00	5.55E-02	4.16E-01	1.25E-00	1.26E-00	1.07	1.16
$\approx h_0/2$		5.56E-01	5.61E-01	5.71E-01	7.42E-03	1.82E-01	6.07E-01	6.11E-01	1.09	1.09
$\approx h_0/4$		2.92E-01	2.93E-01	2.96E-01	1.04E-03	8.77E-02	3.10E-01	3.11E-01	1.06	1.06
$\approx h_0/8$		1.39E-01	1.39E-01	1.40E-01	1.10E-04	3.85E-02	1.45E-01	1.45E-01	1.04	1.04
h_0	2	1.54E-01	1.55E-01	1.55E-01	5.10E-03	3.05E-02	1.63E-01	1.64E-01	1.06	1.06
$\approx h_0/2$		4.07E-02	4.09E-02	4.13E-02	3.53E-04	7.55E-03	4.23E-02	4.26E-02	1.04	1.04
$\approx h_0/4$		1.10E-02	1.11E-02	1.12E-02	2.51E-05	1.97E-03	1.14E-02	1.15E-02	1.03	1.03
$\approx h_0/8$		2.50E-03	2.52E-03	2.54E-03	1.30E-06	4.21E-04	2.57E-03	2.59E-03	1.03	1.03
h_0	3	1.37E-02	1.37E-02	1.37E-02	3.58E-04	1.74E-03	1.41E-02	1.41E-02	1.03	1.03
$\approx h_0/2$		1.85E-03	1.85E-03	1.85E-03	1.26E-05	2.10E-04	1.88E-03	1.88E-03	1.01	1.01
$\approx h_0/4$		2.60E-04	2.60E-04	2.60E-04	4.73E-07	2.54E-05	2.62E-04	2.62E-04	1.01	1.01
$\approx h_0/8$		2.75E-05	2.75E-05	2.75E-05	1.15E-08	2.55E-06	2.76E-05	2.76E-05	1.01	1.01
h_0	4	9.87E-04	9.87E-04	9.84E-04	2.12E-05	1.11E-04	1.01E-03	1.01E-03	1.02	1.02
$\approx h_0/2$		6.92E-05	6.93E-05	6.92E-05	3.96E-07	7.44E-06	7.00E-05	7.00E-05	1.01	1.01
$\approx h_0/4$		5.04E-06	5.04E-06	5.04E-06	7.58E-09	4.98E-07	5.07E-06	5.07E-06	1.01	1.01
$\approx h_0/8$		2.58E-07	2.59E-07	2.58E-07	8.96E-11	2.47E-08	2.60E-07	2.60E-07	1.01	1.01
h_0	5	5.64E-05	5.64E-05	5.63E-05	1.06E-06	4.50E-06	5.75E-05	5.75E-05	1.02	1.02
$\approx h_0/2$		2.01E-06	2.01E-06	2.01E-06	9.88E-09	1.46E-07	2.03E-06	2.03E-06	1.01	1.01
$\approx h_0/4$		7.74E-08	7.74E-08	7.73E-08	1.01E-10	4.35E-09	7.76E-08	7.76E-08	1.00	1.00
$\approx h_0/8$		1.86E-09	1.86E-09	1.86E-09	1.70E-12	1.00E-10	1.86E-09	1.86E-09	1.00	1.00
h_0	6	2.85E-06	2.85E-06	2.85E-06	4.70E-08	2.18E-07	2.90E-06	2.90E-06	1.02	1.02
$\approx h_0/2$		5.42E-08	5.42E-08	5.42E-08	2.40E-10	4.02E-09	5.46E-08	5.46E-08	1.01	1.01
$\approx h_0/4$		1.07E-09	1.07E-09	1.07E-09	1.03E-11	6.90E-11	1.08E-09	1.08E-09	1.01	1.01

Numerics: singular case

Model problem

$$\begin{aligned} -\Delta u &= 0 & \text{in } \Omega &:= (-1, 1)^2 \setminus [0, 1]^2, \\ u &= u_D & \text{on } \partial\Omega \end{aligned}$$

Exact solution

$$u(r, \phi) = r^{2/3} \sin(2\phi/3)$$

Discretization

- incomplete interior penalty discontinuous Galerkin method
- unstructured non-nested triangular grids
- *hp*-adaptive refinement

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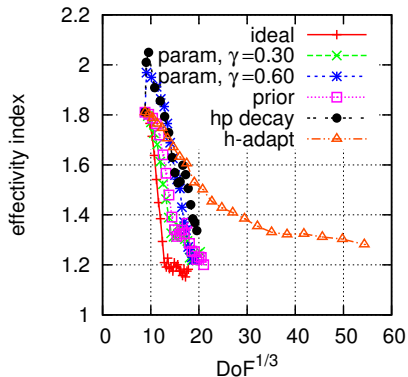
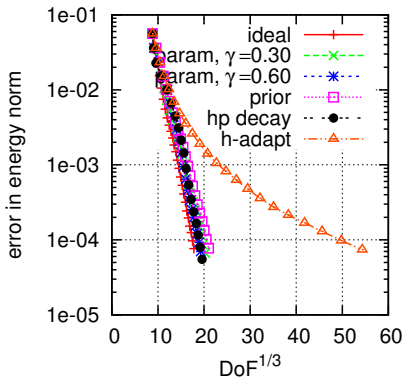
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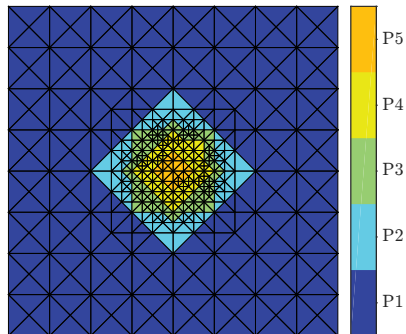
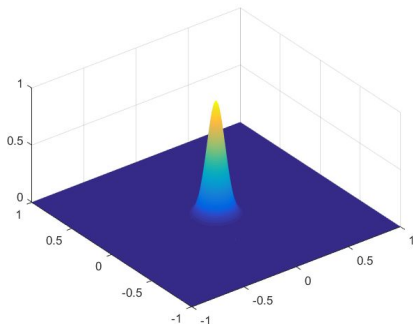
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hp-adaptive refinement: exponential convergence



Numerics: example of *hp*-approximation



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Potentials

Lemma (H^1 polynomial extension on a tetrahedron Babuška, Suri (1987;

2D), Muñoz-Sola (1997), Demkowicz, Gopalakrishnan, Schöberl (2009))

Let $p \geq 1$, $K \in \mathcal{T}$, and $\mathcal{F}_K^D \subset \mathcal{F}_K$. Let $r \in \mathbb{P}_p(\mathcal{F}_K^D)$ be continuous on \mathcal{F}_K^D . Then

$$\min_{\substack{v_h \in \mathbb{P}_p(K) \\ v_h = r_F \text{ on all } F \in \mathcal{F}_K^D}} \|\nabla v_h\|_K \lesssim \underbrace{\min_{\substack{v \in H^1(K) \\ v = r_F \text{ on all } F \in \mathcal{F}_K^D}} \|\nabla v\|_K}_{\|r\|_{H^1/2(\partial K)}} .$$

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Context

$$\begin{aligned} -\Delta \zeta_K &= 0 && \text{in } K, \\ \zeta_K &= r_F && \text{on all } F \in \mathcal{F}_K^D, \\ -\nabla \zeta_K \cdot \mathbf{n}_K &= 0 && \text{on all } F \in \mathcal{F}_K \setminus \mathcal{F}_K^D. \end{aligned}$$

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$$\|\nabla \zeta_{h,K}\|_K \stackrel{FEs}{=} \min_{\substack{v_h \in \mathbb{P}_p(K) \\ v_h = r_F \text{ on all } F \in \mathcal{F}_K^D}} \|\nabla v_h\|_K \lesssim \underbrace{\min_{\substack{v \in H^1(K) \\ v = r_F \text{ on all } F \in \mathcal{F}_K^D}} \|\nabla v\|_K}_{\|r\|_{H^{1/2}(\partial K)}} = \|\nabla \zeta_K\|_K.$$

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Potentials

Theorem (Broken H^1 polynomial extension on a patch EV (2015, 2016))

For $p \geq 1$ and $\mathbf{a} \in \mathcal{V}^{\text{int}}$, let $r \in \mathbb{P}_p(\mathcal{F}_\mathbf{a}^{\text{int}})$. Suppose the compatibility

$$\begin{aligned} r|_{F \cap \partial\omega_\mathbf{a}} &= 0 & \forall F \in \mathcal{F}_\mathbf{a}^{\text{int}}, \\ \sum_{F \in \mathcal{F}_e} \iota_{F,e} r|_F &= 0 & \forall e \in \mathcal{E}_\mathbf{a}. \end{aligned}$$

Then

$$\min_{\substack{v_h \in \mathbb{P}_p(\mathcal{T}_\mathbf{a}) \\ v_h=0 \ \forall F \in \mathcal{F}_\mathbf{a}^{\text{ext}} \\ \llbracket v_h \rrbracket = r_F \ \forall F \in \mathcal{F}_\mathbf{a}^{\text{int}}}} \|\nabla_h v_h\|_{\omega_\mathbf{a}} \lesssim \min_{\substack{v \in H^1(\mathcal{T}_\mathbf{a}) \\ v=0 \ \forall F \in \mathcal{F}_\mathbf{a}^{\text{ext}} \\ \llbracket v \rrbracket = r_F \ \forall F \in \mathcal{F}_\mathbf{a}^{\text{int}}}} \|\nabla_h v\|_{\omega_\mathbf{a}}.$$

Fluxes

Lemma ($\mathbf{H}(\text{div})$ polynomial extension on a tetrahedron Costabel,

McIntosh (2010); Ainsworth, Demkowicz (2009; 2D), Demkowicz, Gopalakrishnan, Schöberl (2012); EV (2016))

Let $p \geq 0$, $K \in \mathcal{T}$, $\mathcal{F}_K^N \subset \mathcal{F}_K$. Let $\mathbf{r} \in \mathbb{P}_p(\mathcal{F}_K^N) \times \mathbb{P}_p(K)$, satisfying $\sum_{F \in \mathcal{F}_K} (r_F, \mathbf{1})_F = (r_K, \mathbf{1})_K$ if $\mathcal{F}_K^N = \mathcal{F}_K$. Then

$$\min_{\substack{\mathbf{v}_h \in \mathbf{RTN}_p(K) \\ \mathbf{v}_h \cdot \mathbf{n}_K = r_F \quad \forall F \in \mathcal{F}_K^N \\ \nabla \cdot \mathbf{v}_h = r_K}} \|\mathbf{v}_h\|_K \lesssim \min_{\substack{\mathbf{v} \in \mathbf{H}(\text{div}, K) \\ \mathbf{v} \cdot \mathbf{n}_K = r_F \quad \forall F \in \mathcal{F}_K^N \\ \nabla \cdot \mathbf{v} = r_K}} \|\mathbf{v}\|_K .$$

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Set $\varphi_K := -\nabla \zeta_K$.

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$$\sum_{K \in \mathcal{T}_a} (r_K, 1)_K - \sum_{F \in \mathcal{F}_a} (r_F, 1)_F = 0.$$

Then

$$\min_{\substack{\mathbf{v}_h \in \mathbf{RTN}_p(\mathcal{T}_a) \\ \mathbf{v}_h \cdot \mathbf{n}_F = r_F \quad \forall F \in \mathcal{F}_a^{\text{ext}} \\ \llbracket \mathbf{v}_h \cdot \mathbf{n}_F \rrbracket = r_F \quad \forall F \in \mathcal{F}_a^{\text{int}} \\ \nabla_h \cdot \mathbf{v}_h|_K = r_K \quad \forall K \in \mathcal{T}_a}} \|\mathbf{v}_h\|_{\omega_a} \lesssim \min_{\substack{\mathbf{v} \in \mathbf{H}(\text{div}, \mathcal{T}_a) \\ \mathbf{v} \cdot \mathbf{n}_F = r_F \quad \forall F \in \mathcal{F}_a^{\text{ext}} \\ \llbracket \mathbf{v} \cdot \mathbf{n}_F \rrbracket = r_F \quad \forall F \in \mathcal{F}_a^{\text{int}} \\ \nabla_h \cdot \mathbf{v}|_K = r_K \quad \forall K \in \mathcal{T}_a}} \|\mathbf{v}\|_{\omega_a}.$$

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Conclusions and outlook

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- simple proof of **global-best – local-best equivalence** in H^1
- constrained **global-best – local-best equivalence** in $\mathbf{H}(\text{div})$
- incidentally leads to **stable commuting local projectors**
- optimal *a priori* error estimates
- **p -robust *a posteriori* error estimates** (**unified framework** for all classical numerical schemes)
- extensions to nonmatching meshes (robust wrt number of hanging nodes), mixed parallelepipedal–simplicial meshes, varying polynomial degree, general BCs, H^{-1} source terms, and others carried out

Ongoing work

- p -robust global-best – local-best equivalence

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Thank you for your attention!