

Laplace a posteriori error estimates in a unified framework

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Lecture I/IV

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Outline

- 1 Introduction
- 2 A guaranteed a posteriori error estimate
- 3 Polynomial-degree-robust local efficiency
- 4 Applications
- 5 Numerical results
- 6 References and bibliography

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What is an a posteriori error estimate

A posteriori error estimate

- Let u be a weak solution of a PDE ($-\Delta u = f$ in $\Omega \subset \mathbb{R}^d$, $u = 0$ on $\partial\Omega$).
- Let u_h be its approximate numerical solution.
- A priori error estimate: $\|\nabla(u - u_h)\| \leq C(u)h^k$. **Dependent on u , not computable.** Useful in theoretical assessment of convergence.
- A posteriori error estimate: $\|\nabla(u - u_h)\| \leq C\eta(u_h)$. **Only uses u_h , computable.** Great in practical calculation.

Usual form

- Element indicators $\eta_K(u_h)$, $K \in \mathcal{T}_h$.
- Can be used to determine mesh elements with large error.
- We can then refine these elements: mesh adaptivity.

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What an a posteriori error estimate should fulfill

Optimal estimate for $-\Delta u = f$ in $\Omega \subset \mathbb{R}^d$, $u = 0$ on $\partial\Omega$

- **guaranteed upper bound:**

$$\|\nabla(u - u_h)\| \leq \left\{ \sum_{K \in \mathcal{T}_h} \eta_K(u_h)^2 \right\}^{1/2}$$

- **local efficiency:**

$$\eta_K(u_h) \leq C_{\text{eff}} \|\nabla(u - u_h)\|_{\mathfrak{I}_K} \quad \forall K \in \mathcal{T}_h$$

- **asymptotic exactness:**

$$\frac{\left\{ \sum_{K \in \mathcal{T}_h} \eta_K(u_h)^2 \right\}^{1/2}}{\|\nabla(u - u_h)\|} \searrow 1$$

- **robustness:** the three previous properties hold independently of the parameters of the problem and of their variation (size of Ω , shape of Ω , regularity of u , local refinement of \mathcal{T}_h , sizes h_K , polynomial degree of u_h)
- **small evaluation cost** of $\eta_K(u_h)$
- *error components identification*

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Model problem

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$$\begin{aligned} -\Delta u &= f && \text{in } \Omega, \\ u &= 0 && \text{on } \partial\Omega \end{aligned}$$

- $\Omega \subset \mathbb{R}^d$, $d = 2, 3$ polygon/polyhedron
- $f \in L^2(\Omega)$

Weak formulation

Find $u \in H_0^1(\Omega)$ such that

$$(\nabla u, \nabla v) = (f, v) \quad \forall v \in H_0^1(\Omega)$$

Properties of the weak solution

- $u \in H_0^1(\Omega)$ (constraint)
- $\sigma := -\nabla u$ (constitutive relation)
- $\nabla \cdot \sigma = f$ (equilibrium)
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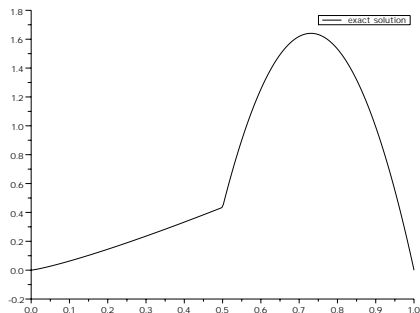
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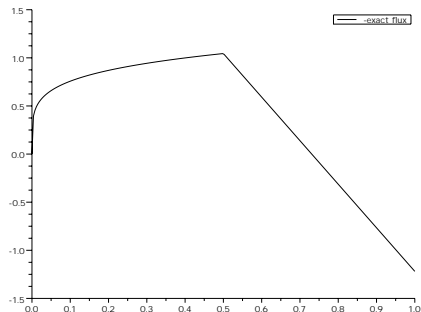
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Exact solution and flux

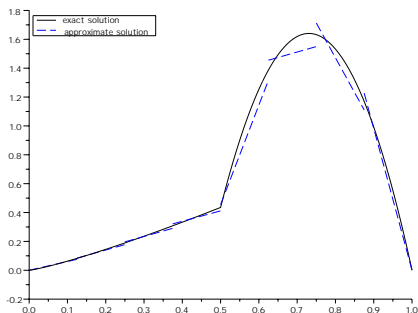


Solution u is continuous

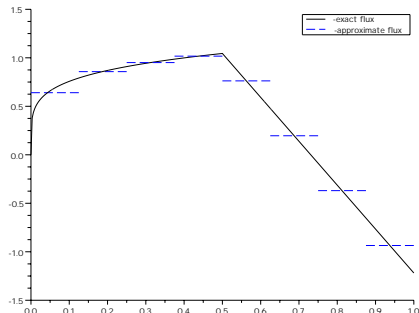


Flux $\sigma := -\underline{K}\nabla u$ is continuous

Approximate solution and flux

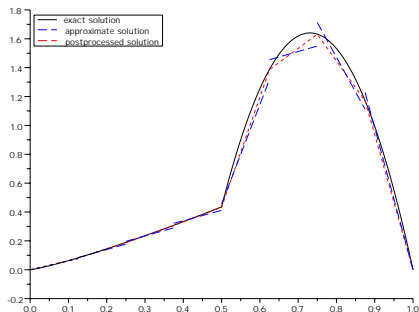


Approximate solution u_h is **not** necessarily continuous

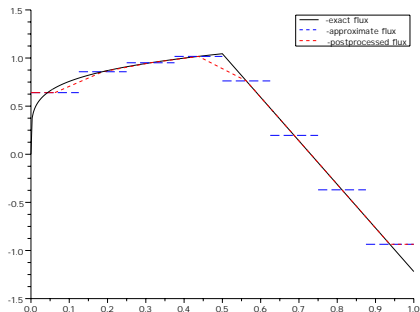


Approximate flux $-\mathbf{K}\nabla u_h$ is **not** necessarily continuous

Potential and flux reconstructions



Potential reconstruction



Flux reconstruction

A posteriori error estimate

Theorem (A guaranteed a posteriori error estimate, Prager and Synge (1947), Dari, Durán, Padra, and Vampa (1996), Ainsworth (2005), Kim (2007))

- Let $u \in H_0^1(\Omega)$ be the weak solution;
- $u_h \in H^1(\mathcal{T}_h) := \{v \in L^2(\Omega), v|_K \in H^1(K) \quad \forall K \in \mathcal{T}_h\}$ be arbitrary;
- $s_h \in H_0^1(\Omega)$ and $\sigma_h \in \mathbf{H}(\text{div}, \Omega)$ be such that

$$(\nabla \cdot \sigma_h, 1)_K = (f, 1)_K \text{ for all } K \in \mathcal{T}_h.$$

Then

$$\begin{aligned} \|\nabla(u - u_h)\|^2 \leq & \sum_{K \in \mathcal{T}_h} \left(\underbrace{\|\nabla u_h + \sigma_h\|_K}_{\text{constitutive relation}} + \frac{h_K}{\pi} \underbrace{\|f - \nabla \cdot \sigma_h\|_K}_{\text{equilibrium}} \right)^2 \\ & + \sum_{K \in \mathcal{T}_h} \underbrace{\|\nabla(u_h - s_h)\|_K^2}_{\text{constraint}}. \end{aligned}$$

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A posteriori error estimate

Proof.

- define $s \in H_0^1(\Omega)$ by

$$(\nabla s, \nabla v) = (\nabla u_h, \nabla v) \quad \forall v \in H_0^1(\Omega)$$

- develop (Pythagoras)

$$\|\nabla(u - u_h)\|^2 = \|\nabla(u - s)\|^2 + \|\nabla(s - u_h)\|^2$$

- projection definition of s :

$$\|\nabla(s - u_h)\|^2 = \underbrace{\min_{v \in H_0^1(\Omega)} \|\nabla(v - u_h)\|^2}_{\text{distance of } u_h \text{ to } H_0^1(\Omega)}$$

- dual norm characterization definition of s :

$$\|\nabla(u - s)\|^2 = \underbrace{\sup_{\varphi \in H_0^1(\Omega); \|\nabla\varphi\|=1} (\nabla(u - s), \nabla\varphi)^2}_{\text{dual norm of the residual}}$$

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Proof (continuation).

- nonconformity upper bound:

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- weak solution definition, equilibrated flux, Green theorem:

$$\begin{aligned} (\nabla(u - u_h), \nabla\varphi) &= (f, \varphi) - (\nabla u_h, \nabla\varphi) \\ &= (f - \nabla \cdot \sigma_h, \varphi) - (\nabla u_h + \sigma_h, \nabla\varphi) \end{aligned}$$

- Cauchy–Schwarz and Poincaré inequalities, equilibration:

$$\begin{aligned} -(\nabla u_h + \sigma_h, \nabla\varphi) &\leq \sum_{K \in \mathcal{T}_h} \|\nabla u_h + \sigma_h\|_K \|\nabla\varphi\|_K, \\ (f - \nabla \cdot \sigma_h, \varphi) &= \sum_{K \in \mathcal{T}_h} (f - \nabla \cdot \sigma_h, \varphi - \varphi_K)_K \\ &\leq \sum_{K \in \mathcal{T}_h} \frac{h_K}{\pi} \|f - \nabla \cdot \sigma_h\|_K \|\nabla\varphi\|_K \end{aligned}$$

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Global potential and flux reconstructions

Ideally

$$\sigma_h := \arg \min_{\mathbf{v}_h \in \mathbf{V}_h, \nabla \cdot \mathbf{v}_h = \Pi_{Q_h} f} \|\nabla u_h + \mathbf{v}_h\|$$

$$s_h := \arg \min_{v_h \in V_h} \|\nabla(u_h - v_h)\|$$

- $\mathbf{V}_h \subset \mathbf{H}(\text{div}, \Omega)$, $Q_h \subset L^2(\Omega)$, $V_h \subset H_0^1(\Omega)$
- too expensive, **global minimization** problems (the hypercircle method)

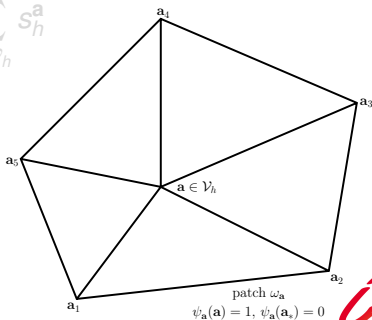
Local potential and flux reconstructions

Partition of unity localization

$$\sigma_h^{\mathbf{a}} := \arg \min_{\mathbf{v}_h \in \mathbf{V}_h^{\mathbf{a}}, \nabla \cdot \mathbf{v}_h = ?} \|\psi_{\mathbf{a}} \nabla u_h + \mathbf{v}_h\|_{\omega_{\mathbf{a}}}$$

$$s_h^{\mathbf{a}} := \arg \min_{\mathbf{v}_h \in \mathbf{V}_h^{\mathbf{a}}} \|\nabla(\psi_{\mathbf{a}} u_h - \mathbf{v}_h)\|_{\omega_{\mathbf{a}}}$$

- **cut-off** by hat basis functions $\psi_{\mathbf{a}} \in \mathbb{P}_1(\mathcal{T}_h) \cap H^1(\Omega)$
- $\sigma_h := \sum_{\mathbf{a} \in \mathcal{V}_h} \sigma_h^{\mathbf{a}}, s_h := \sum_{\mathbf{a} \in \mathcal{V}_h} s_h^{\mathbf{a}}$
- **local** minimizations



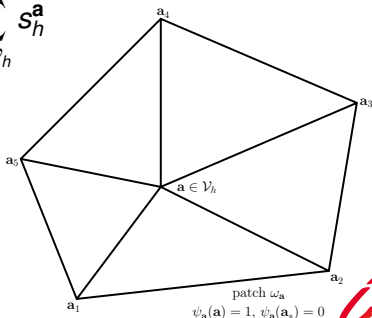
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Partition of unity localization

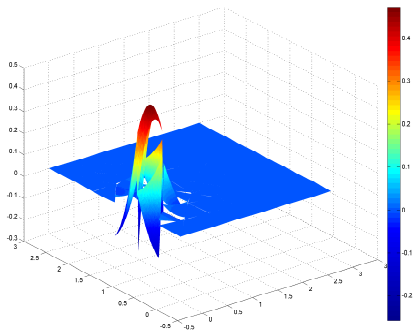
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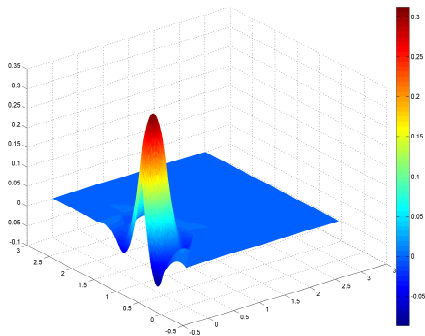
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- **local** minimizations



Potential reconstruction

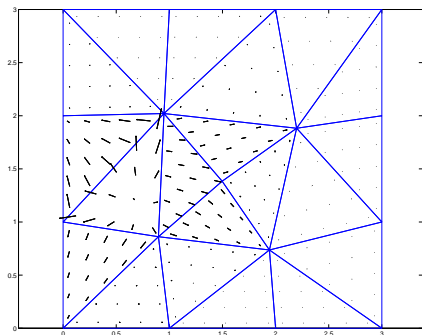


Potential u_h

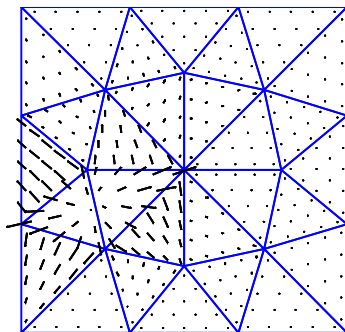


Potential reconstruction s_h

Equilibrated flux reconstruction



Flux $-\nabla u_h$



Flux reconstruction σ_h

Local equilibrated flux reconstruction

Assumption A (Galerkin orthogonality wrt hat functions)

There holds $u_h \in H^1(\mathcal{T}_h)$ and

$$(\nabla u_h, \nabla \psi_{\mathbf{a}})_{\omega_{\mathbf{a}}} = (f, \psi_{\mathbf{a}})_{\omega_{\mathbf{a}}} \quad \forall \mathbf{a} \in \mathcal{V}_h^{\text{int}}.$$

$\mathbf{V}_h^{\mathbf{a}} \times Q_h^{\mathbf{a}}$: MFE spaces (hom. Neumann BC for $\mathbf{a} \in \mathcal{V}_h^{\text{int}}$, homogeneous Dirichlet BC on $\partial\omega_{\mathbf{a}} \cap \partial\Omega$ for $\mathbf{a} \in \mathcal{V}_h^{\text{ext}}$)

Definition (Constr. of σ_h , Destuynder and Métivet (1999) & Braess and Schöberl (2008))

Let Assumption A be satisfied. For each $\mathbf{a} \in \mathcal{V}_h$, prescribe $\sigma_h^{\mathbf{a}} \in \mathbf{V}_h^{\mathbf{a}}$ and $\bar{r}_h^{\mathbf{a}} \in Q_h^{\mathbf{a}}$ by solving the **local mixed FE problem**

$$\sigma_h^{\mathbf{a}} := \arg \min_{\mathbf{v}_h \in \mathbf{V}_h^{\mathbf{a}}, \nabla \cdot \mathbf{v}_h = \Pi_{Q_h^{\mathbf{a}}}(\psi_{\mathbf{a}} f - \nabla \psi_{\mathbf{a}} \cdot \nabla u_h)} \|\psi_{\mathbf{a}} \nabla u_h + \mathbf{v}_h\|_{\omega_{\mathbf{a}}}$$

$$\Updownarrow$$

$$(\sigma_h^{\mathbf{a}}, \mathbf{v}_h)_{\omega_{\mathbf{a}}} - (\bar{r}_h^{\mathbf{a}}, \nabla \cdot \mathbf{v}_h)_{\omega_{\mathbf{a}}} = -(\psi_{\mathbf{a}} \nabla u_h, \mathbf{v}_h)_{\omega_{\mathbf{a}}} \quad \forall \mathbf{v}_h \in \mathbf{V}_h^{\mathbf{a}},$$

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$\mathbf{H}(\operatorname{div}, \Omega)$ -conformity

- $\sigma_h^{\mathbf{a}} \in \mathbf{H}(\operatorname{div}, \Omega) \Rightarrow \sigma_h = \sum_{\mathbf{a} \in \mathcal{V}_h} \sigma_h^{\mathbf{a}} \in \mathbf{H}(\operatorname{div}, \Omega)$

Neumann compatibility condition

- for $\mathbf{a} \in \mathcal{V}_h^{\text{int}}$, one needs

$$(\psi_{\mathbf{a}} f - \nabla \psi_{\mathbf{a}} \cdot \nabla u_h, 1)_{\omega_{\mathbf{a}}} = 0$$

- but Assumption A gives

$$0 = (f, \psi_{\mathbf{a}})_{\omega_{\mathbf{a}}} - (\nabla u_h, \nabla \psi_{\mathbf{a}})_{\omega_{\mathbf{a}}} = (\psi_{\mathbf{a}} f - \nabla \psi_{\mathbf{a}} \cdot \nabla u_h, 1)_{\omega_{\mathbf{a}}}$$

Divergence

- Neumann compatibility condition gives

$$\nabla \cdot \sigma_h^{\mathbf{a}}|_K = \Pi_{Q_h}(\psi_{\mathbf{a}} f - \nabla \psi_{\mathbf{a}} \cdot \nabla u_h)|_K \quad \forall K \in \mathcal{T}_h$$

- the fact that $\sigma_h|_K = \sum_{\mathbf{a} \in \mathcal{V}_K} \sigma_h^{\mathbf{a}}|_K$ and the partition of unity $\sum_{\mathbf{a} \in \mathcal{V}_K} \psi^{\mathbf{a}}|_K = 1|_K$ yield

$$\nabla \cdot \sigma_h|_K = \Pi_{Q_h} f|_K \quad \forall K \in \mathcal{T}_h$$

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Local potential reconstruction

$V_h^{\mathbf{a}}$: FE space (hom. Dirichlet BC on $\partial\omega_{\mathbf{a}}$ for all $\mathbf{a} \in \mathcal{V}_h$)

Definition (Construction of s_h , \approx Carstensen and Merdon (2013))

Let $u_h \in H^1(\mathcal{T}_h)$. For each $\mathbf{a} \in \mathcal{V}_h$, prescribe $s_h^{\mathbf{a}} \in V_h^{\mathbf{a}}$ by solving the **local conforming finite element problem**

$$s_h^{\mathbf{a}} := \arg \min_{v_h \in V_h^{\mathbf{a}}} \|\nabla(\psi_{\mathbf{a}} u_h - v_h)\|_{\omega_{\mathbf{a}}}$$



$$(\nabla s_h^{\mathbf{a}}, \nabla v_h)_{\omega_{\mathbf{a}}} = (\nabla(\psi_{\mathbf{a}} u_h), \nabla v_h)_{\omega_{\mathbf{a}}} \quad \forall v_h \in V_h^{\mathbf{a}}.$$

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Equivalent local potential reconstruction ($d = 2$)

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Definition (Equivalent construction of s_h)

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$$\begin{aligned} (\sigma_h^{\mathbf{a}}, \mathbf{v}_h)_{\omega_{\mathbf{a}}} - (\bar{r}_h^{\mathbf{a}}, \nabla \cdot \mathbf{v}_h)_{\omega_{\mathbf{a}}} &= -(\mathbf{R}_{\frac{\pi}{2}} \nabla(\psi_{\mathbf{a}} U_h), \mathbf{v}_h)_{\omega_{\mathbf{a}}} \quad \forall \mathbf{v}_h \in \mathbf{V}_h^{\mathbf{a}}, \\ (\nabla \cdot \sigma_h^{\mathbf{a}}, q_h)_{\omega_{\mathbf{a}}} &= (0, q_h)_{\omega_{\mathbf{a}}} \quad \forall q_h \in Q_h^{\mathbf{a}}. \end{aligned}$$

Set

$$\begin{aligned} -\mathbf{R}_{\frac{\pi}{2}} \nabla s_h^{\mathbf{a}} &= \sigma_h^{\mathbf{a}}, \\ s_h^{\mathbf{a}} &= 0 \text{ on } \partial\omega_{\mathbf{a}}, \\ s_h &:= \sum_{\mathbf{a} \in \mathcal{V}_h} s_h^{\mathbf{a}}. \end{aligned}$$

Remark

- Same problem as for flux, **only RHS/BC different.**

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Outline

- 1 Introduction
- 2 A guaranteed a posteriori error estimate
- 3 Polynomial-degree-robust local efficiency**
- 4 Applications
- 5 Numerical results
- 6 References and bibliography

Continuous efficiency, flux reconstruction

Theorem (Cont. efficiency Carstensen & Funken (1999), Braess, Pillwein, & Schöberl (2009))

Let u be the *weak solution* and let $u_h \in H^1(\mathcal{T}_h)$ be *arbitrary*. Let $\mathbf{a} \in \mathcal{V}_h$ and let $r_{\mathbf{a}} \in H_*^1(\omega_{\mathbf{a}})$ be such that

$$(\nabla r_{\mathbf{a}}, \nabla v)_{\omega_{\mathbf{a}}} = -(\psi_{\mathbf{a}} \nabla u_h, \nabla v)_{\omega_{\mathbf{a}}} + (\psi_{\mathbf{a}} f - \nabla \psi_{\mathbf{a}} \cdot \nabla u_h, v)_{\omega_{\mathbf{a}}}$$

for all $v \in H_*^1(\omega_{\mathbf{a}})$, where

$$H_*^1(\omega_{\mathbf{a}}) := \{v \in H^1(\omega_{\mathbf{a}}); (v, 1)_{\omega_{\mathbf{a}}} = 0\}, \quad \mathbf{a} \in \mathcal{V}_h^{\text{int}},$$

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Then there exists a constant $C_{\text{cont,PF}} > 0$ *only depending* on the mesh shape-regularity parameter $\kappa_{\mathcal{T}}$ such that

$$\|\nabla r_{\mathbf{a}}\|_{\omega_{\mathbf{a}}} \leq C_{\text{cont,PF}} \|\nabla(u - u_h)\|_{\omega_{\mathbf{a}}}.$$

Continuous efficiency, flux reconstruction

Theorem (Cont. efficiency Carstensen & Funken (1999), Braess, Pillwein, & Schöberl (2009))

Let u be the *weak solution* and let $u_h \in H^1(\mathcal{T}_h)$ be *arbitrary*. Let $\mathbf{a} \in \mathcal{V}_h$ and let $r_{\mathbf{a}} \in H_*^1(\omega_{\mathbf{a}})$ be such that

$$(\nabla r_{\mathbf{a}}, \nabla v)_{\omega_{\mathbf{a}}} = -(\psi_{\mathbf{a}} \nabla u_h, \nabla v)_{\omega_{\mathbf{a}}} + (\psi_{\mathbf{a}} f - \nabla \psi_{\mathbf{a}} \cdot \nabla u_h, v)_{\omega_{\mathbf{a}}}$$

for all $v \in H_*^1(\omega_{\mathbf{a}})$, where

$$H_*^1(\omega_{\mathbf{a}}) := \{v \in H^1(\omega_{\mathbf{a}}); (v, 1)_{\omega_{\mathbf{a}}} = 0\}, \quad \mathbf{a} \in \mathcal{V}_h^{\text{int}},$$

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Then there exists a constant $C_{\text{cont,PF}} > 0$ *only depending* on the mesh shape-regularity parameter $\kappa_{\mathcal{T}}$ such that

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Proof.

- dual norm characterization

$$\|\nabla r_{\mathbf{a}}\|_{\omega_{\mathbf{a}}} = \sup_{v \in H_*^1(\omega_{\mathbf{a}}); \|\nabla v\|_{\omega_{\mathbf{a}}}=1} (\nabla r_{\mathbf{a}}, \nabla v)_{\omega_{\mathbf{a}}}$$

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Continuous efficiency, potential reconstruction ($d = 2$)

Assumption B (Weak continuity)

There holds $\langle \llbracket u_h \rrbracket, 1 \rangle_e = 0 \quad \forall e \in \mathcal{E}_h.$

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Continuous efficiency, potential reconstruction ($d = 2$)

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$$\|\nabla(\psi_{\mathbf{a}}(\tilde{u} - u_h))\|_{\omega_{\mathbf{a}}} \leq (1 + \mathcal{C}_{\text{bPF}, \omega_{\mathbf{a}}} h_{\omega_{\mathbf{a}}} \|\nabla \psi_{\mathbf{a}}\|_{\infty, \omega_{\mathbf{a}}}) \|\nabla(\tilde{u} - u_h)\|_{\omega_{\mathbf{a}}}$$

- define $\tilde{u} := u - \text{cnst}$ for $\mathbf{a} \in \mathcal{V}_h^{\text{int}}$ and $\tilde{u} := u$ for $\mathbf{a} \in \mathcal{V}_h^{\text{ext}}$

Mixed finite elements stability ($d = 2$)

Assumption C (Piecewise polynomials, data, and meshes)

The approximation u_h and the datum f are *piecewise polynomial*. The **degrees** of the MFE reconstructions σ_h and s_h are *chosen correspondingly*. The meshes \mathcal{T}_h are *shape-regular*.

Theorem (MFE stability / continuous right inverse of the divergence operator Braess, Pillwein, and Schöberl (2009); Costabel and McIntosh (2010); Demkowicz, Gopalakrishnan, and Schöberl (2012))

Let u be the weak solution and let u_h , f , and the reconstructions satisfy *Assumption C*. Then there exists a constant $C_{st} > 0$ **only depending** on the shape-regularity parameter $\kappa_{\mathcal{T}}$ such that

$$\|\sigma_h^a + \tau_h^a\|_{\omega_a} \leq C_{st} \|\sigma^a + \tau_h^a\|_{\omega_a} = C_{st} \|\nabla f_a\|_{\omega_a}$$

with $\tau_h^a = \psi_a \nabla u_h$ for the flux reconstruction and $\sigma_h^a = R_{\frac{a}{2}} \nabla(\psi_a u_h)$ for the potential reconstruction.

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Polynomial-degree-robust efficiency

Theorem (Polynomial-degree-robust efficiency)

Let u be the weak solution and let *Assumptions A, B, and C* hold. Then

$$\|\nabla u_h + \sigma_h\|_K \leq C_{\text{st}} C_{\text{cont,PF}} \sum_{\mathbf{a} \in \mathcal{V}_K} \|\nabla(u - u_h)\|_{\omega_{\mathbf{a}}},$$

$$\|\nabla(u_h - s_h)\|_K \leq C_{\text{st}} C_{\text{cont,bPF}} \sum_{\mathbf{a} \in \mathcal{V}_K} \|\nabla(u - u_h)\|_{\omega_{\mathbf{a}}}.$$

Remarks

- C_{st} can be bounded by solving the local Neumann problems by conforming FEs: find $r_h^{\mathbf{a}} \in V_h^{\mathbf{a}} \subset H_*^1(\omega_{\mathbf{a}})$ s.t.

$$(\nabla r_h^{\mathbf{a}}, \nabla v_h)_{\omega_{\mathbf{a}}} = -(\tau_h^{\mathbf{a}}, \nabla v_h)_{\omega_{\mathbf{a}}} + (g^{\mathbf{a}}, v_h)_{\omega_{\mathbf{a}}} \quad \forall v_h \in V_h^{\mathbf{a}};$$

then $C_{\text{st}} \leq \|\tau_h^{\mathbf{a}} + \sigma_h^{\mathbf{a}}\|_{\omega_{\mathbf{a}}} / \|\nabla r_h^{\mathbf{a}}\|_{\omega_{\mathbf{a}}}$

- \Rightarrow maximal overestimation factor guaranteed

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- 2 A guaranteed a posteriori error estimate
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- 4 Applications**
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Conforming finite elements

Conforming finite elements

Find $u_h \in V_h$ such that

$$(\nabla u_h, \nabla v_h) = (f, v_h) \quad \forall v_h \in V_h.$$

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- Assumption A: take $v_h = \psi_a$
- $V_h \subset H_0^1(\Omega)$: $s_h := u_h$, no need for Assumption B

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$$\langle \llbracket v_h \rrbracket, q_h \rangle_e = 0 \quad \forall q_h \in \mathbb{P}_{\rho-1}(e), \forall e \in \mathcal{E}_h$$

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Discontinuous Galerkin finite elements

Find $u_h \in V_h$ such that

$$\sum_{K \in \mathcal{T}_h} (\nabla u_h, \nabla v_h)_K - \sum_{e \in \mathcal{E}_h} \{ \langle \{\{\nabla u_h\}\} \cdot \mathbf{n}_e, \llbracket v_h \rrbracket \rangle_e + \theta \langle \{\{\nabla v_h\}\} \cdot \mathbf{n}_e, \llbracket u_h \rrbracket \rangle_e \} \\ + \sum_{e \in \mathcal{E}_h} \langle \alpha h_e^{-1} \llbracket u_h \rrbracket, \llbracket v_h \rrbracket \rangle_e = (f, v_h) \quad \forall v_h \in V_h.$$

- $V_h := \mathbb{P}_\rho(\mathcal{T}_h)$, $\rho \geq 1$
- **Assumption A:** take $v_h = \psi_a$ for $\theta = 0$, otherwise:
 - estimates for the discrete gradient

$$\mathfrak{G}(u_h) := \nabla u_h - \theta \sum_{e \in \mathcal{E}_h} \mathfrak{l}_e(\llbracket u_h \rrbracket)$$

- jumps lifting operator $\mathfrak{l}_e : L^2(e) \rightarrow [\mathbb{P}_0(\mathcal{T}_e)]^2$

$$(\mathfrak{l}_e(\llbracket u_h \rrbracket), \mathbf{v}_h) = \langle \{\{\mathbf{v}_h\}\} \cdot \mathbf{n}_e, \llbracket u_h \rrbracket \rangle_e \quad \forall \mathbf{v}_h \in [\mathbb{P}_0(\mathcal{T}_e)]^2$$
- \Rightarrow modified Galerkin orthogonality

$$(\mathfrak{G}(u_h), \nabla \psi_a)_{\omega_a} = (f, \psi_a)_{\omega_a} \quad \forall a \in \mathcal{V}_h^{\text{int}}$$

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Discontinuous Galerkin finite elements: Assumption B

Nonsymmetric and incomplete versions

- broken Poincaré–Friedrichs inequality with jumps:

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- include the **jump terms** in the **error** and **estimators**

Symmetric version

- discrete gradient \mathfrak{G} satisfies

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- modified potential reconstruction**: local MFE problems with $\tau_h^{\mathbf{a}} := \psi_{\mathbf{a}} R_{\frac{\pi}{2}} \mathfrak{G}(u_h)$ and $g^{\mathbf{a}} := (R_{\frac{\pi}{2}} \nabla \psi_{\mathbf{a}}) \cdot \mathfrak{G}(u_h)$
- local efficiency

$$\|\mathfrak{G}(u_h - s_h)\|_K \leq C_{\text{st}} C_{\text{cont},P} \sum_{\mathbf{a} \in \mathcal{V}_K} \|\mathfrak{G}(u - u_h)\|_{\omega_{\mathbf{a}}}$$

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Find a couple $(\sigma_h, \bar{u}_h) \in \mathbf{V}_h \times Q_h$ such that

$$\begin{aligned} (\sigma_h, \mathbf{v}_h) - (\bar{u}_h, \nabla \cdot \mathbf{v}_h) &= 0 & \forall \mathbf{v}_h \in \mathbf{V}_h, \\ (\nabla \cdot \sigma_h, q_h) &= (f, q_h) & \forall q_h \in Q_h. \end{aligned}$$

- postprocessed solution $u_h \in V_h$, $V_h := \mathbb{P}_p(\mathcal{T}_h)$, $p \geq 1$;
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Numerics: smooth test case

Model problem

$$\begin{aligned} -\Delta u &= f & \text{in } \Omega &:=]0, 1[^2 \\ u &= u_D & \text{on } \partial\Omega \end{aligned}$$

Exact solution

$$\begin{aligned} u(\mathbf{x}) &= (c_1 + c_2(1 - x_1) + e^{-\alpha x_1})(c_1 + c_2(1 - x_2) + e^{-\alpha x_2}) \\ c_1 &= -e^{-\alpha}, \quad c_2 = -1 - c_1, \quad \alpha = 10 \end{aligned}$$

Discretization

- incomplete interior penalty discontinuous Galerkin method
- unstructured nested triangular grids
- uniform refinement

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Estimates, errors, and effectivity indices

h	p	$\ \nabla(u-u_h)\ $	$\ u-u_h\ _{DG}$	$\ \nabla u_h + \sigma_h\ $	$\ \nabla(u_h-s_h)\ $	η_{osc}	η	η_{DG}	f^{eff}	f_{DG}^{eff}
$h_0/1$	1	1.21E+00	1.22E+00	1.24E+00	1.07E-01	5.56E-02	1.30E+00	1.31E+00	1.07	1.07
$h_0/2$		6.18E-01 (0.97)	6.22E-01 (0.97)	6.38E-01 (0.96)	5.09E-02 (1.07)	7.02E-03 (2.99)	6.47E-01 (1.01)	6.50E-01 (1.01)	1.05	1.05
$h_0/4$		3.12E-01 (0.99)	3.13E-01 (0.99)	3.22E-01 (0.99)	2.43E-02 (1.07)	8.80E-04 (3.00)	3.24E-01 (1.00)	3.25E-01 (1.00)	1.04	1.04
$h_0/8$		1.56E-01 (1.00)	1.57E-01 (1.00)	1.61E-01 (1.00)	1.18E-02 (1.05)	1.10E-04 (3.00)	1.62E-01 (1.00)	1.63E-01 (1.00)	1.04	1.04
$h_0/1$	2	1.50E-01	1.53E-01	1.49E-01	2.76E-02	5.10E-03	1.56E-01	1.59E-01	1.04	1.04
$h_0/2$		3.85E-02 (1.96)	3.92E-02 (1.96)	3.83E-02 (1.96)	7.99E-03 (1.79)	3.22E-04 (3.98)	3.94E-02 (1.98)	4.01E-02 (1.98)	1.03	1.02
$h_0/4$		9.70E-03 (1.99)	9.88E-03 (1.99)	9.68E-03 (1.98)	2.12E-03 (1.92)	2.02E-05 (4.00)	9.93E-03 (1.99)	1.01E-02 (1.99)	1.02	1.02
$h_0/8$		2.43E-03 (1.99)	2.48E-03 (1.99)	2.43E-03 (1.99)	5.42E-04 (1.96)	1.26E-06 (4.00)	2.49E-03 (1.99)	2.54E-03 (1.99)	1.02	1.02
$h_0/1$	3	1.32E-02	1.34E-02	1.29E-02	2.52E-03	3.58E-04	1.35E-02	1.37E-02	1.03	1.03
$h_0/2$		1.67E-03 (2.98)	1.69E-03 (2.98)	1.65E-03 (2.97)	3.13E-04 (3.01)	1.13E-05 (4.99)	1.70E-03 (3.00)	1.71E-03 (3.00)	1.01	1.01
$h_0/4$		2.11E-04 (2.99)	2.13E-04 (2.99)	2.09E-04 (2.99)	3.83E-05 (3.03)	3.53E-07 (5.00)	2.12E-04 (3.00)	2.15E-04 (3.00)	1.01	1.01
$h_0/8$		2.64E-05 (3.00)	2.67E-05 (3.00)	2.61E-05 (3.00)	4.69E-06 (3.03)	1.10E-08 (5.00)	2.66E-05 (3.00)	2.69E-05 (3.00)	1.01	1.01
$h_0/1$	4	9.36E-04	9.54E-04	9.05E-04	2.41E-04	2.12E-05	9.57E-04	9.74E-04	1.02	1.02
$h_0/2$		5.93E-05 (3.98)	6.05E-05 (3.98)	5.77E-05 (3.97)	1.68E-05 (3.84)	3.36E-07 (5.98)	6.04E-05 (3.99)	6.16E-05 (3.98)	1.02	1.02
$h_0/4$		3.72E-06 (3.99)	3.80E-06 (3.99)	3.63E-06 (3.99)	1.10E-06 (3.94)	5.31E-09 (5.98)	3.80E-06 (3.99)	3.87E-06 (3.99)	1.02	1.02
$h_0/8$		2.33E-07 (4.00)	2.38E-07 (4.00)	2.27E-07 (4.00)	7.02E-08 (3.97)	8.30E-11 (6.00)	2.38E-07 (4.00)	2.43E-07 (3.99)	1.02	1.02
$h_0/1$	5	5.41E-05	5.50E-05	5.22E-05	1.38E-05	1.06E-06	5.50E-05	5.58E-05	1.02	1.02
$h_0/2$		1.70E-06 (4.99)	1.72E-06 (5.00)	1.65E-06 (4.98)	4.39E-07 (4.98)	9.35E-09 (6.82)	1.72E-06 (5.00)	1.74E-06 (5.00)	1.01	1.01
$h_0/4$		5.32E-08 (5.00)	5.39E-08 (5.00)	5.19E-08 (4.99)	1.40E-08 (4.97)	7.67E-11 (6.93)	5.38E-08 (5.00)	5.45E-08 (5.00)	1.01	1.01
$h_0/8$		1.66E-09 (5.00)	1.69E-09 (5.00)	1.62E-09 (5.00)	4.41E-10 (4.99)	5.99E-13 (7.00)	1.68E-09 (5.00)	1.70E-09 (5.00)	1.01	1.01

Numerics: singular test case & *hp*-adaptivity

Model problem

$$\begin{aligned} -\Delta u &= 0 & \text{in } \Omega := \Omega :=]-1, 1[^2 \setminus [0, 1]^2, \\ u &= u_D & \text{on } \partial\Omega \end{aligned}$$

Exact solution

$$u(r, \phi) = r^{2/3} \sin(2\phi/3)$$

Discretization

- incomplete interior penalty discontinuous Galerkin method
- unstructured non-nested triangular grids
- *hp*-adaptive refinement

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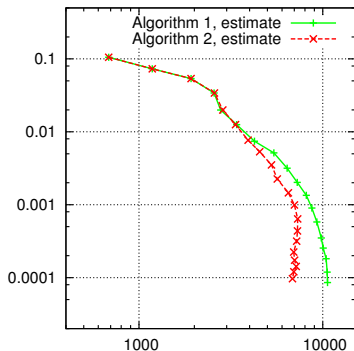
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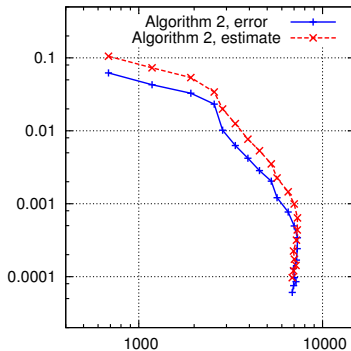
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hp-adaptive refinement algorithms

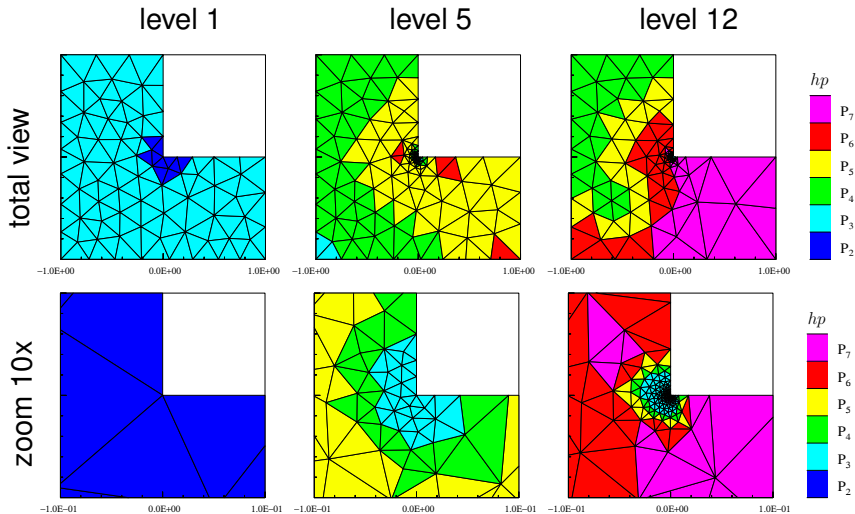


Algorithm 1 (only refinement) and Algorithm 2 (refinement & derefinement) wrt DoF



Exponential convergence of Algorithm 2 wrt DoF

hp-refinement grids



Estimates, errors, and effectivity indices

lev	$ T_h $	DoF	$\ \nabla(u - u_h)\ $	$\ \nabla u_h + \sigma_h\ $	η_{osc}	$\ \nabla(u_h - s_h)\ $	η_{BC}	η	ρ^{eff}
0	114	684	6.22E-02	6.63E-02	1.89E-15	4.48E-02	3.81E-02	1.05E-01	1.69
1	122	1180	4.28E-02	4.27E-02	1.18E-14	3.08E-02	2.92E-02	7.29E-02	1.70
2	139	1919	3.28E-02	3.37E-02	8.21E-14	2.09E-02	2.12E-02	5.36E-02	1.64
3	165	2573	2.32E-02	2.30E-02	3.88E-13	1.50E-02	1.03E-02	3.41E-02	1.47
4	174	2858	1.02E-02	1.01E-02	4.48E-13	8.22E-03	9.19E-03	1.99E-02	1.96
5	199	3351	6.27E-03	6.21E-03	1.12E-12	4.81E-03	6.18E-03	1.25E-02	2.00
6	237	3926	4.21E-03	4.23E-03	1.98E-12	3.15E-03	3.29E-03	7.66E-03	1.82
7	285	4537	2.84E-03	2.91E-03	7.47E-12	2.13E-03	2.42E-03	5.33E-03	1.88
8	338	5257	2.04E-03	2.19E-03	4.63E-11	1.45E-03	1.32E-03	3.51E-03	1.72
9	372	5658	1.21E-03	1.23E-03	1.11E-11	9.07E-04	9.99E-04	2.26E-03	1.87
10	426	6500	7.70E-04	7.69E-04	5.69E-11	5.55E-04	6.95E-04	1.46E-03	1.89
11	453	7010	4.95E-04	5.04E-04	9.77E-11	3.97E-04	4.74E-04	9.91E-04	2.00
12	469	7308	3.41E-04	3.47E-04	1.13E-10	2.55E-04	2.88E-04	6.40E-04	1.88
13	463	7286	2.42E-04	2.42E-04	1.39E-10	1.73E-04	1.94E-04	4.37E-04	1.81
14	458	7215	1.69E-04	1.69E-04	1.23E-10	1.19E-04	1.53E-04	3.17E-04	1.88
15	440	6955	1.29E-04	1.31E-04	1.45E-10	9.21E-05	9.10E-05	2.24E-04	1.73
16	435	7035	9.71E-05	9.91E-05	1.39E-10	6.89E-05	7.63E-05	1.74E-04	1.79
17	434	7167	8.52E-05	8.97E-05	1.41E-10	5.76E-05	5.47E-05	1.42E-04	1.67
18	419	6960	7.51E-05	7.97E-05	1.44E-10	5.00E-05	4.15E-05	1.21E-04	1.60
19	410	6838	6.06E-05	6.35E-05	1.47E-10	3.87E-05	3.65E-05	9.69E-05	1.60

Outline

- 1 Introduction
- 2 A guaranteed a posteriori error estimate
- 3 Polynomial-degree-robust local efficiency
- 4 Applications
- 5 Numerical results
- 6 References and bibliography

Previous results

Global flux reconstructions

- Prager and Synge (1947):

$$\|\nabla u + \sigma_h\|^2 + \|\nabla(u - u_h)\|^2 = \|\nabla u_h + \sigma_h\|^2$$

for **any** $u_h \in H_0^1(\Omega)$ and **any** $\sigma_h \in \mathbf{H}(\text{div}, \Omega)$ s.t. $\nabla \cdot \sigma_h = f$

- Hlaváček, Haslinger, Nečas, and Lovíšek (1979), Repin (1997), . . . : **global construction** of σ_h : unprecise/costly

Local flux reconstructions

- Ladevèze and Leguillon (1983), equilibrated face fluxes
- Destuynder and Métivet (1999), discrete flux σ_h
- Luce and Wohlmuth (2004), local efficiency proof
- Vejchodský (2006), equilibration–hypercylinder approach
- Kim (2007) & Ern, Nicaise, and Vohralík (2007), discontinuous Galerkin method elementwise prescription
- Braess and Schöberl (2008), Vohralík (2008), Ern and Vohralík (2009), **local Neumann MFE problems**

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Unified frameworks

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- Carstensen (2005)
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- DOLEJŠÍ V., ERN A., VOHRALÍK M., *hp*-adaptation driven by polynomial-degree-robust a posteriori error estimates for elliptic problems, HAL Preprint 01165187, submitted for publication.

Thank you for your attention!